

# The many facets of Basmajian's identity

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Hyperbolic Lunch  
University of Toronto  
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# Overview

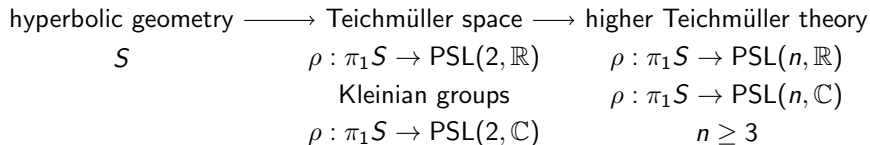
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hyperbolic geometry  
 $S$

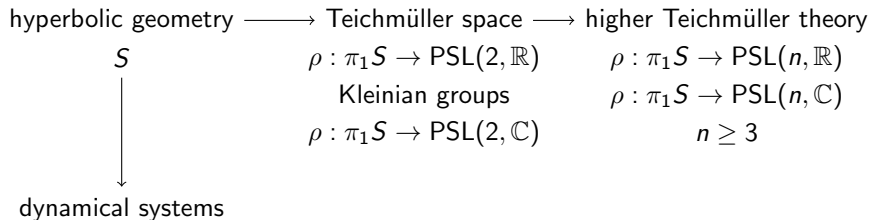
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hyperbolic geometry  $\longrightarrow$  Teichmüller space  
 $S$   $\rho : \pi_1 S \rightarrow \mathrm{PSL}(2, \mathbb{R})$   
Kleinian groups  
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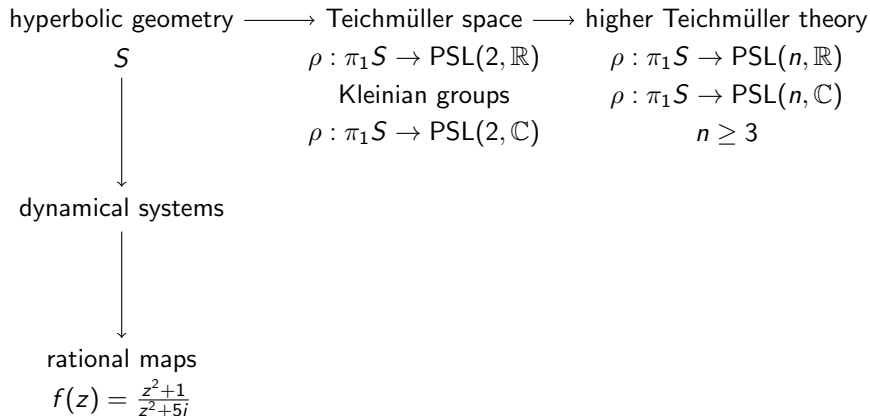
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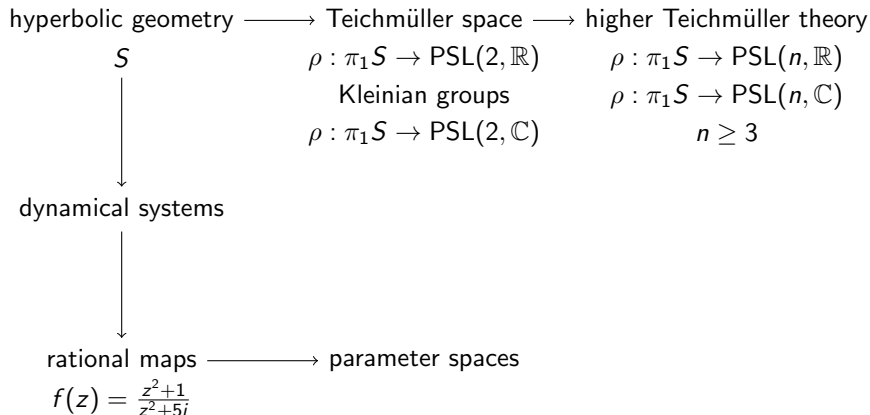
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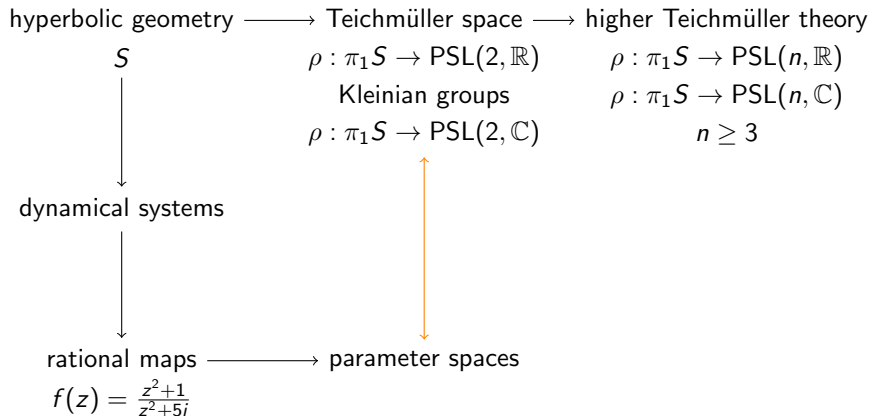


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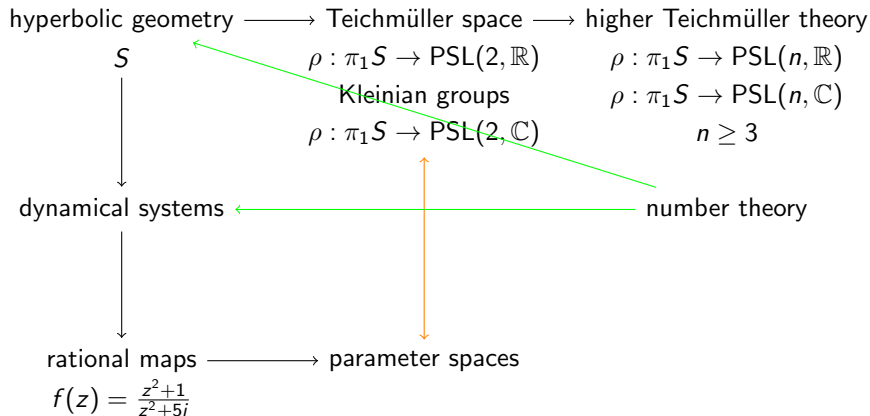




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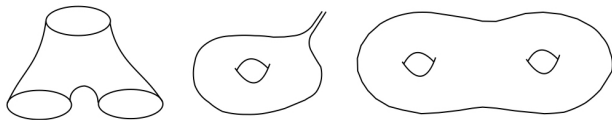


# I. Hyperbolic geometry

A **hyperbolic surface** is a 2-dimensional Riemannian manifold with constant negative curvature.

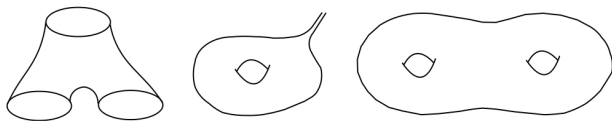
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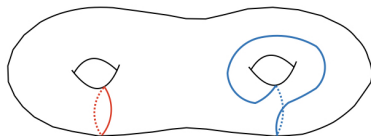


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**Geodesics** on hyperbolic surfaces:

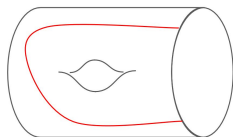


## Basmajian's identity

If  $S$  is a compact hyperbolic surface with geodesic boundary, an **orthogeodesic**  $\gamma$  on  $S$  is a properly immersed geodesic arc perpendicular to the boundary at both ends.

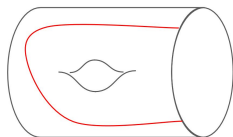
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Theorem (Basmajian, 1991)

$$\text{length}(\partial S) = \sum_{\gamma} 2 \log \coth \left( \frac{\text{length}(\gamma)}{2} \right)$$

where the sum is taken over all orthogeodesics  $\gamma$  in  $S$ .



## II. Teichmüller spaces

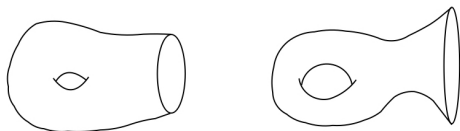
Let  $S$  be a compact surface with negative Euler characteristic. The *Teichmüller space* of  $S$

$$\begin{aligned}\text{Teich}(S) &= \{\text{hyperbolic structures on } S\}/\text{homotopy} \\ &= \{\text{discrete faithful } \rho : \pi_1 S \rightarrow \text{PSL}(2, \mathbb{R})\}/\text{PSL}(2, \mathbb{R})\end{aligned}$$

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# Complexifying Basmajian's identity

Thinking of the hyperbolic structure on  $S$  as a discrete faithful representation  $\rho : \pi_1 S \rightarrow \mathrm{PSL}(2, \mathbb{R})$ , our goal is to **complexify** the identity as we deform the representation into a **Schottky representation**  $\rho : \pi_1 S \rightarrow \mathrm{PSL}(2, \mathbb{C})$ .

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We need to address the convergence issue of the right hand side series.

# Complexified Basmajian's identity: convergence theorem

## Theorem (H., 2018)

*Given a marked Schottky representation  $\rho : F_n \rightarrow \mathrm{PSL}(2, \mathbb{C})$ , the series **converges absolutely** if and only if the Hausdorff dimension of the limit set  $\Lambda_\Gamma$  of the Schottky group  $\Gamma = \rho(F_n)$  is strictly less than one.*

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Proof: conformal dynamics.

# Complexified Basmajian's identity

Denote by  $\mathcal{S}_{<1}$  the space of Schottky groups whose limit set has Hausdorff dimension less than one.

## Theorem (H., 2018)

*Suppose  $\rho_0 : F_n \rightarrow PSL(2, \mathbb{C})$  is a Fuchsian marking corresponding to a hyperbolic surface  $S$  with geodesic boundary  $\partial S$ . Let  $\alpha \in \pi_1 S$  represent the free homotopy class of  $\partial S$ . If  $\rho$  is in the same path component as  $\rho_0$  in  $\mathcal{S}_{<1}$ , then*

$$l(\rho(\alpha)) = \sum_{w \in \mathcal{L}} \log[\infty, 0; \rho(w) \cdot \infty, \rho(w) \cdot 0] \pmod{2\pi i} \quad (1)$$

*Moreover, the series converges absolutely.*

### III. Higher Teichmüller theory

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 $\rho : \pi_1 S \rightarrow G$ , where  $G$  is a Lie group of **higher rank**.
- Let  $K = \mathbb{R}$  or  $\mathbb{C}$ . Following Pozzetti-Sambarino-Wienhard, we study **(1, 1, 2)-hyperconvex** Anosov representations  $\rho : \pi_1 S \rightarrow \mathrm{PGL}(n, K)$  and establish identities for such representations.

# Identities for real $(1, 1, 2)$ -hc Anosov representations

## Theorem (H., 2019)

Let  $S$  be a connected compact oriented hyperbolic surface with geodesic boundary  $\partial S$  whose double  $\hat{S}$  has genus at least 2. Let  $\alpha \in \pi_1 S$  represent the free homotopy classes of  $\partial S$ . If  $\rho : \pi_1 S \rightarrow PGL(n, \mathbb{R})$  is the restriction to  $\pi_1 S$  of a  $(1, 1, 2)$ -hyperconvex representation  $\hat{\rho} : \pi_1 \hat{S} \rightarrow PGL(n, \mathbb{R})$ , then

$$\ell_\rho(\rho(\alpha)) = \sum_{w \in \mathcal{L}} \log C_\rho(\alpha_j^+, \alpha_j^-; w \cdot \alpha_j^+, w \cdot \alpha_j^-) \quad (2)$$

where  $\ell_\rho$  is a notion of length with respect to  $\rho$ ,  $C_\rho$  is a cross ratio defined for four points on the boundary at infinity  $\partial\pi_1 S$  and  $\alpha_j^+, \alpha_j^-$  are the attracting and repelling fixed points of  $\alpha_j$ , respectively. Furthermore, if  $\rho$  is Hitchin, it is Vlamis-Yarmola's identity.

## Identities for complex $(1, 1, 2)$ -hc Anosov representations

Let  $\mathcal{S}_{<1}$  be the space of  $(1, 1, 2)$ -hyperconvex Anosov representations  $\rho : \pi_1 S \rightarrow \mathrm{PGL}(n, \mathbb{C})$  whose limit set  $\zeta^1(\partial\pi_1 S) \subset \mathbb{P}(\mathbb{C}^n)$  has Hausdorff dimension strictly smaller than one.

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Proof: projective dynamics.

## IV. Identities in the context of rational maps

Consider  $f : \mathbb{C} \rightarrow \mathbb{C}$  given by  $f_c(z) = z^2 + c$  where  $c \in \mathbb{C}$ .

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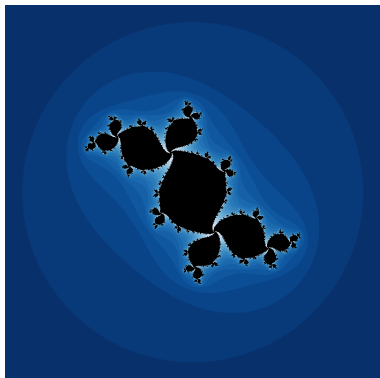
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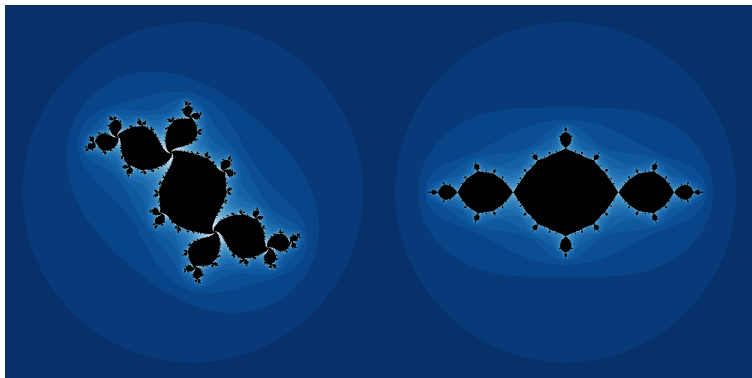
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Example:  $f(z) = z^2$ .  $K_0$  is the closed unit disk and  $J_0 = S^1$ .

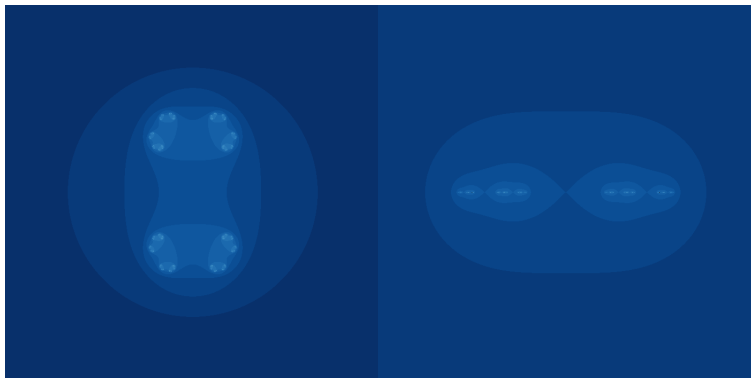
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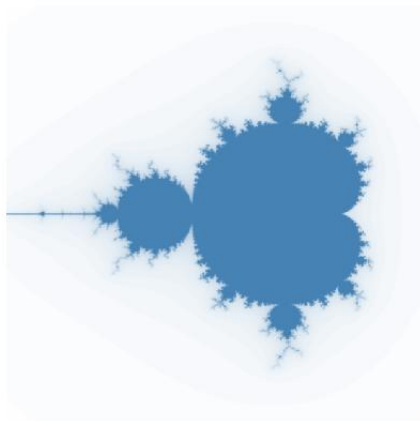


# Cantor Julia sets



# Quadratic polynomials: parameter space

Mandelbrot set  $\mathcal{M} = \{c \in \mathbb{C} \mid f_c^n(0) \not\rightarrow \infty\}$ .



# Basmajian-type identities for $z^2 + c$

Denote by  $(\mathbb{C} \setminus \mathcal{M})_{<1}$  the set of  $c \in \mathbb{C} \setminus \mathcal{M}$  such that  $\dim_{\mathbb{H}} J_c < 1$ .

## Theorem (H., 2018)

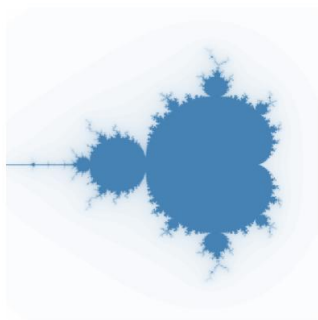
*For complex parameter  $c \in (\mathbb{C} \setminus \mathcal{M})_{<1}$ , let  $T_1$  and  $T_2$  be the two branches of  $f_c^{-1}$  and  $z_1$  be the fixed point of  $T_1$ , then the following identity holds*

$$z_1 - (-z_1) = \sum_{w \in \{T_1, T_2\}^*} (-1)^\eta \left( w(T_1(-z_1)) - w(T_2(-z_1)) \right)$$

*where  $\eta$  is the number of  $T_2$ 's in the word  $w$ .*

## V. Geometry and topology of parameter spaces

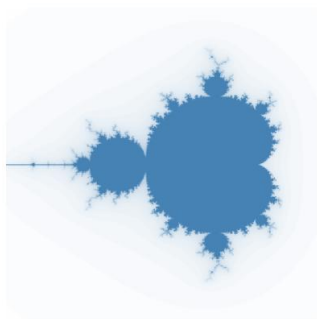
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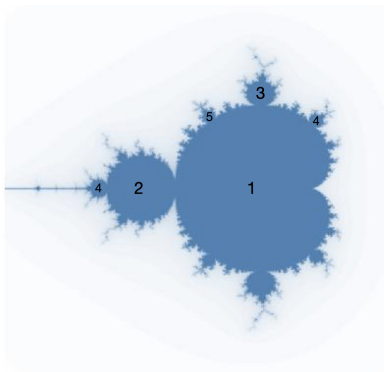
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- Topology of the complement of the Mandelbrot set.

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- Topology of the complement of the Mandelbrot set.
- Geometry of a **hyperbolic component** of  $\mathcal{M}$ .

# Topology of the shift locus

We consider the space  $X_d$  of monic and centered complex polynomials of degree  $d \geq 2$ , i.e. the space of polynomials of the form

$$f(z) = z^d + a_{d-2}z^{d-2} + \cdots + a_1z + a_0$$

Hence,  $X_d$  is naturally homeomorphic to  $\mathbb{C}^{d-1}$ .

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Example: 0 is the only critical point of  $f_c(z) = z^2 + c$ .

Recall: Mandelbrot set  $\mathcal{M} = \{c \in \mathbb{C} \mid f_c^n(0) \not\rightarrow \infty\}$ . Therefore  $S_2$  is the complement of the Mandelbrot set.

## Topology of the shift locus (Cont'd)

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Theorem (Bavard-Calegari-H.-Koch-Walker, 2019)

*For  $d \geq 2$ , we develop a combinatorial model for the shift locus  $S_d$ . Using this model, we compute the fundamental group of  $S_3$  and study the monodromy map  $\pi_1 S_d \rightarrow \text{MCG}(\mathbb{R}^2 - \text{Cantor set})$ .*



# Geometry of hyperbolic components of rational maps

For each  $d \geq 2$ , let  $\text{Rat}_d$  be the space of degree  $d$  rational maps. Denote  $\text{rat}_d := \text{Rat}_d / \text{Aut}(\mathbb{P}^1)$  the moduli space of degree  $d$  rational maps.

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## Theorem (H.-Nie, 2020)

*Let  $\mathcal{H}$  be a hyperbolic component in  $\text{rat}_d$  such that  $\dim_{\mathbb{H}}(\mathcal{H}) \subset (1, 2)$ . Then we construct a Riemannian metric on  $\mathcal{H}$  which is conformal equivalent to the standard pressure metric.*

## VI. Number theory: relations to $L$ -functions

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Basmajian's identity expresses in a similar way a (co)volume as a series over topological terms.

# The Riemann zeta function

Recall the *Riemann zeta function*  $\zeta(s)$

$$\zeta(s) = \sum_{n=1}^{\infty} \frac{1}{n^s}$$

As a complex function of  $s$ ,

1.  $\zeta(s)$  is analytic in the half-plane  $\operatorname{Re}(s) > 1$ .
2.  $\zeta(s)$  has an analytic continuation to the whole  $s$ -plane except for a simple pole at  $s = 1$  with residue 1.
3.  $\zeta(s)$  has no zeros in the half-plane  $\operatorname{Re}(s) > 1$ . Zeros of  $\zeta(s)$  are mysterious.

# The Prime Number Theorem

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Theorem (Hadamard, de la Vallée-Poussin, 1899)

$$\pi(n) = Li(n) + O(ne^{-a\sqrt{\log n}}) \text{ as } n \rightarrow \infty$$

for some positive constant  $a$ .

$$Li(x) = \int_2^x \frac{dt}{\log t}.$$



# The Prime Ideal Theorem

## Theorem (Hecke's Prime Ideal Theorem, 1918)

1. The number of *prime ideals* in Gaussian integers  $\mathbb{Z}[i]$  with norm less than  $n$  grows like  $Li(n)$ ;
2. The angular components of Gaussian primes are equidistributed over the circle.

## $L$ -functions from the identities

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$$F(s, m) = \sum_{w \in \mathcal{L}} \chi \left( \frac{\log c_w}{|\log c_w|} \right) |\log c_w|^s$$

where  $\chi : S^1 \rightarrow S^1$  is a unitary character given by  $\chi(z) = z^m$  for some  $m \in \mathbb{Z}$  and  $s \in \mathbb{C}$ . Moreover,  $F(1, 1)$  gives the RHS series in the identity.

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For quadratic polynomials:

$$G(s, m) = \sum_{w \in \{T_1, T_2\}^*} \left( \frac{w(I)}{|w(I)|} \right)^m |w(I)|^s$$

where  $s \in \mathbb{C}$ ,  $m \in \mathbb{Z}$ .

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where  $s \in \mathbb{C}$ ,  $m \in \mathbb{Z}$ . Note that  $F(s)$  and  $G(s)$  converge absolutely at least when  $\operatorname{Re}(s)$  is large.

# Analytic properties of $F(s, m)$ (resp. $G(s, m)$ )

## Theorem (H., 2018)

*For any  $m \in \mathbb{Z}$ ,  $F(s, m)$  (resp.  $G(s, m)$ ) converges absolutely if and only if  $\operatorname{Re}(s) > \delta$ , where  $\delta$  is the Hausdorff dimension of the limit set (resp. Julia set).*

# Analytic properties of $F(s, m)$ (resp. $G(s, m)$ )

## Theorem (H., 2018)

*For any  $m \in \mathbb{Z}$ ,  $F(s, m)$  (resp.  $G(s, m)$ ) converges absolutely if and only if  $\operatorname{Re}(s) > \delta$ , where  $\delta$  is the Hausdorff dimension of the limit set (resp. Julia set).*

## Theorem (H., 2018)

- 1. If  $m = 0$ ,  $F(s, m)$  (resp.  $G(s, m)$ ) is analytic on the half-plane  $\operatorname{Re}(s) > \delta - \varepsilon$  for some  $\varepsilon > 0$  except a simple pole at  $s = \delta$ .*
- 2. If  $m \neq 0$ ,  $F(s, m)$  (resp.  $G(s, m)$ ) is analytic on the half-plane  $\operatorname{Re}(s) > \delta - \varepsilon$  for some  $\varepsilon > 0$ .*

# Counting complex orthospectrum

## Theorem (H. 2018)

*There exist constants  $C_1 > 0$  and  $d_1 \in (0, \delta)$  such that*

$$\#\{w \in \mathcal{L} \mid \Re(d(\ell, w \cdot \ell)) < x\} = C_1 e^{\delta x} + O(e^{d_1 x}) \text{ as } x \rightarrow \infty.$$

*where  $\ell$  is the axis of the boundary element.*

This result also appeared in Parkkonen-Paulin, Pollicott.



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Equidistribution of holonomy:

### Theorem (H. 2018)

*For  $m \neq 0$  and any non-Fuchsian Schottky group, there exist  $C > 0$  and  $0 < d_1 < \delta$  such that for any  $f \in C^2(S^1)$ , we have*

$$\sum_{|\log c_w|^{-1} \leq x} f\left(\frac{\log c_w}{|\log c_w|}\right) = C x^\delta \int_0^1 f(e^{2\pi i t}) dt + O(x^{d_1})$$

*where the implied constant depends on the  $C^2$ -norm of  $f$ .*

# Orbit counting for quadratic polynomials

Parallel counting results for quadratic polynomials:

Theorem (H., 2018)

*There exist constants  $C_2 > 0$  and  $d_2 \in (0, \delta)$  such that*

$$\#\{w \in \{T_1, T_2\}^* \mid |w(I)| > 1/x\} = C_2 x^\delta + O(x^{d_2}) \text{ as } x \rightarrow \infty.$$

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Thank you!