

## 6 Toric Manifolds

**Definition 6.1**  $(M^{2n}, \omega)$  is a toric manifold if it is equipped with the (effective) Hamiltonian action of a torus  $T^n$  for which the dimension of the torus is half the dimension of the manifold.

$$\Phi : M^{2n} \rightarrow \mathbb{R}^n$$

**Comparison with integrable systems:** An *integrable system* is a symplectic manifold  $M^{2n}$  equipped with  $n$  linearly independent Poisson commuting functions  $f_1, \dots, f_n$  (in other words the corresponding Hamiltonian vector fields are linearly independent almost everywhere).

So a toric manifold is an integrable system for which the functions  $f_1, \dots, f_n$  may be chosen in such a way that the Hamiltonian flows of the Poisson commuting functions are periodic with period 1 almost everywhere.

The image of the moment map  $\Phi(M)$  is a convex polyhedron  $B \subset \mathbb{R}^n$  (the Newton polytope of  $M$ ).

All polytopes arising from toric manifolds satisfy the following:

- Proposition 6.2**
1. For each vertex  $p$  there are exactly  $n$  edges leaving it
  2. The edges are of the form  $p + tv_j$  ( $j = 1, \dots, n$ ) where  $v_j \in (\mathbb{Z}^n)^* (= \Lambda^W)$ .
  3. The weights  $v_1, \dots, v_n$  form a basis of the weight lattice  $\Lambda^W$ , for each vertex  $p$ .

Remark:  $M$  is a toric orbifold (rather than a smooth manifold) iff only (1) and (2) are satisfied. (A reference on orbifolds is [23].)

We shall see below that

**Theorem 6.3** (see e.g. Audin Chap. VII or Guillemin Chap. 1) If  $B$  is a convex polytope satisfying (1), (2), (3) then there is a toric manifold  $M$  such that  $\Phi(M) = B$ .

**Theorem 6.4** (Delzant) Toric manifolds are classified by their moment polytopes: in other words, if  $M_1, M_2$  are two toric manifolds with moment maps  $\Phi_1$  and  $\Phi_2$  and  $\Phi_1(M_1) = \Phi_2(M_2)$ , then there is a  $T^n$ -equivariant symplectic diffeomorphism between  $M_1$  and  $M_2$ .

To see Theorem ??, given a polytope  $B$  satisfying (1)-(3) we exhibit a toric manifold  $M$  with  $\Phi(M) = B$ .

Write  $B = \bigcap_{j=1}^d \{x \in \mathbb{R}^n : \langle x, u_j \rangle \leq \lambda_j\}$  for  $u_j \in \mathbb{R}^n$  and  $\lambda_j \in \mathbb{R}$ .

**Definition 6.5** If  $B$  is an  $n$ -dimensional polyhedron in  $\mathbb{R}^n$ , then (a)  $F_i$  is an  $i$ -dimensional face of  $B$  if  $F_i$  is an  $i$ -simplex (b)  $\text{Int}F_i$  is congruent to the interior of the  $i$ -simplex. (c) Every point in  $B$  is in the interior of exactly one face.

**Definition 6.6** *A facet of an  $n$ -dimensional polytope is an  $(n - 1)$ -dimensional face. The number of facets in  $B$  is  $d$ : they are indexed by  $j$ , and have normals  $u_j \in \mathbb{Z}^n$ . The  $u_j$  are assumed to be primitive (in other words they are not given by an integer multiple of another element of  $\mathbb{Z}^n$ ).*

We have a short exact sequence of vector spaces

$$0 \rightarrow \mathbf{n} \xrightarrow{i} \mathbb{R}^d \xrightarrow{\pi} \mathbb{R}^n \rightarrow 0$$

where  $\pi : e_j \mapsto u_j$ . Because  $u_j \in \Lambda^W = \text{Hom}(\mathbb{Z}^n, 2\pi\mathbb{Z})$ , this exponentiates to

$$1 \rightarrow N \xrightarrow{i} U(1)^d \xrightarrow{\pi} U(1)^n \rightarrow 1$$

so  $N = \text{Ker}(\pi)$  is a torus.

We know the moment map for the action of  $U(1)^d$  on  $\mathbb{C}^d$  is

$$J : (z_1, \dots, z_d) \mapsto -\frac{1}{2} (|z_1|^2, \dots, |z_d|^2) + c.$$

Set  $c = (\lambda_1, \dots, \lambda_d)$ .

For the action of  $N$  on  $\mathbb{C}^d$  the moment map is  $i^* \circ J$  where

$$0 \rightarrow (\mathbb{R}^n)^* \xrightarrow{\pi^*} (\mathbb{R}^d)^* \xrightarrow{i^*} \mathbf{n}^* \rightarrow 0$$

(for  $\mathbf{n} = \text{Lie}(N) \cong \mathbb{R}^{n-d}$ ). Reduce  $\mathbb{C}^d$  with respect to the action of  $N$  :

**Proposition 6.7** *(a)  $(i^* \circ J)^{-1}(0)/N$  is a symplectic manifold  $M$  (b)  $M$  is equipped with the Hamiltonian action of  $T^n$  with moment map  $\Phi$  and  $\Phi(M) = B$ .*

**Example 6.8**

$$\mathbb{C}P^2$$

*The moment polytope is the right triangle with vertices  $(0, 0)$ ,  $(0, 1)$  and  $(1, 0)$ . Let  $u_i$  be the normal vector to the  $i$ -th face.  $u_1 = (0, -1)$ ,  $u_2 = (-1, 0)$  and  $u_3 = \frac{1}{2}(1, 1)$ .*

$$\mathbf{n} \rightarrow \mathbb{R}^3 \xrightarrow{\pi} \mathbb{R}^2$$

$$\pi : e_i \mapsto u_i$$

$$(1, 0, 0) \mapsto (0, -1)$$

$$(0, 1, 0) \mapsto (-1, 0)$$

$$(0, 0, 1) \mapsto (1, 1)$$

$$\lambda_1 = \lambda_2 = 0; \lambda_3 = \frac{1}{2}$$

$$\pi = \begin{pmatrix} 0 & -1 & 1 \\ -1 & 0 & 1 \end{pmatrix}$$

$$\mathfrak{n} = \mathbb{R}(1, 1, 1) \subset \mathbb{R}^3 = \text{Ker}(\pi)$$

$$N \cong U(1)$$

Reduce  $\mathbb{C}^3$  with respect to action of  $N$ :

$$i : (1, 1, 1) \mapsto \mathbb{R}^3$$

$$i^* : \mathbb{R}^3 \rightarrow \mathbb{R}$$

$$i^*(v) = \langle v, (1, 1, 1) \rangle$$

$$J(z_1, z_2, z_3) = -\frac{1}{2}(|z_1|^2, |z_2|^2, |z_3|^2) + (\lambda_1, \lambda_2, \lambda_3)$$

$$i^* \circ J(z_1, z_2, z_3) = -\frac{1}{2} \sum_j |z_j|^2 + (\lambda_1 + \lambda_2 + \lambda_3)$$

$$= -\frac{1}{2} \sum_j |z_j|^2 + \frac{1}{2}$$

$$(i^* \circ J)^{-1}(0)/N = \mathbb{C}P^2$$

**Proof of Proposition ??:** breaks down into

**Lemma 1**  $N$  acts freely on  $(i^* \circ J)^{-1}(0)$  (by section on symplectic quotients)

Define  $B \subset (\mathbb{R}^n)^*$ ;  $B' = \pi^*(B) \subset (\mathbb{R}^d)^*$

**Lemma 2:** Claim

$$(i^* \circ J)^{-1}(0) = J^{-1}(B') = \{z \in \mathbb{R}^d : i^* \circ J(z) = 0\}$$

**Proof:**

$$J(\mathbb{R}^d) = \{(x_1, \dots, x_d) \in \mathbb{R}^d : \langle e_i, x \rangle \leq \lambda_i, i = 1, \dots, d\}.$$

$i^*(x) = 0$  iff  $x = \pi^*(y)$  for  $y \in (\mathbb{R}^n)^*$ ; in other words  $i^*(J(z)) = 0$  iff  $J(z) = \pi^*(y)$  for some  $y \in (\mathbb{R}^n)^*$  and

$$\langle e_i, \pi^*(y) \rangle \leq \lambda_i, \quad i = 1, \dots, d$$

iff

$$\langle \pi(e_i), y \rangle \leq \lambda_i, \quad i = 1, \dots, d$$

iff

$$\langle u_i, y \rangle \leq \lambda_i, \quad i = 1, \dots, d$$

iff  $y \in B$  iff  $\pi^*(y) \in B'$  so  $J(z) \in B'$ .

**Lemma 3:** If  $z \in \mathbb{C}^d$ , define  $I \subset \{1, \dots, d\}$  by  $z_i = 0$  iff  $i \in I$ .

$$\text{Stab}(z) = T_I = \{(u_1, \dots, u_d) : i \in I \Rightarrow u_i = 1\}.$$

This is

$$U(1)^{d-|I|}.$$

**Lemma 4:** For any  $z \in J^{-1}(\Delta')$ ,  $\text{Stab}(z)$  is transverse to  $N$ , which acts freely at  $z$ .

**Proof** Faces  $F_I$  of  $\Delta$  (or of its image  $\Delta'$ ) are determined by choosing a subset

$$\langle u_i, y \rangle = \lambda_i, \quad y \in \mathbb{R}^{n^*}$$

$$\langle e_i, \pi^*(y) \rangle = \lambda_i$$

These determine a torus  $T_I \subset T^d$ .

Note that the condition

$$I \subset I'$$

is equivalent to

$$F_{I'} \subset F_I.$$

Also that the largest sets  $I$  (corresponding to vertices  $F_I = p$ ) have  $n$  elements because of the hypothesis that each vertex has  $n$  edges leaving from it, or equivalently it is the intersection of  $n$  facets.

If a vertex is the intersection of  $m$  facets then each edge is the choice of one facet to omit, in other words the number of edges is the number of facets.

So for any  $I$  (corresponding to a facet) it sits in several maximal  $I_{\max}$  with  $|I_{\max}| = h$  corresponding to the vertices in the face for which  $F_{I_{\max}} = p$  (where  $p$  is a vertex). In other words  $\{u_i, i \in I_{\max}\}$  forms a basis of the integer lattice  $\mathbb{Z}^n = \Lambda^I \subset \mathfrak{t}$ .

The edges leaving the vertex  $p$  correspond to a basis of  $\Lambda^W \subset \mathfrak{t}^*$  which is dual to the basis  $\{u_i, i \in I_{\max}\}$  since

$$T_I = \{(z_1, \dots, z_d) \in U(1)^d : z_j = 1 \text{ if } j \in I\} = \{\exp(\sum_j \theta_j e_j), \theta_j \in \mathbb{R}, e_j \text{ basis of } \mathbb{R}^d\}$$

under

$$\exp(\pi)(T_I) \stackrel{\exp \pi}{=} \exp \sum_{j \neq I} \theta_j u_j.$$

So

$$T_{I_{\max}} \cong T^n.$$

So

$$T_I \rightarrow T_{I_{\max}} \cong T^n.$$

So since  $N = \text{Ker}(T^d \rightarrow T^n)$ ,  $N \cap T_I = \{1\}$  for any  $I$  corresponding to a face  $F_I$  of the polyhedron  $B' = \pi^*(B)$ . This happens iff  $N$  acts freely on  $J^{-1}(F_I)$  so  $N$  acts freely on  $J^{-1}(B') = (i^* \circ J)^{-1}(0)$ .

By our earlier results on reduction in stages,  $T^n = T^d/N$  acts on  $(i^* \circ J)^{-1}(0)/N = M$  in a Hamiltonian way.

The above results show:

$$\begin{aligned} 0 &\rightarrow N \rightarrow T^d \rightarrow T^n \rightarrow 0 \\ 0 &\rightarrow (\mathbb{R}^n)^* \xrightarrow{\pi} (\mathbb{R}^d)^* \rightarrow \mathfrak{n}^* \rightarrow 0 \end{aligned}$$

If

$$z \in J^{-1}(B') = (i^* \circ J)^{-1}(0)$$

then

$$J(z) \in \text{Im}(\mathbb{R}^n)^*$$

and so

$$\begin{aligned} \Phi : M &\rightarrow (\mathbb{R}^n)^* \\ (\mathbb{R}^n)^* &\rightarrow (\mathbb{R}^d)^* \\ \Phi(m) &\in \text{Im}(J) \end{aligned}$$

Claim

$$m \in \Phi^{-1}(F_I) \iff \text{Stab}(m) = T_I \subset T^n$$

so

$$\text{Stab}(m) \cong T_I.$$

So  $\Phi(m) \in \text{Int}(B)$  iff  $T^n$  acts freely at  $m$ .

$\Phi(m)$  is in exactly one facet iff  $T^n$  acts with 1-dimensional stabilizer.

$\Phi(m)$  is in intersection of exactly 2 facets iff  $T^n$  acts with 2-dimensional stabilizer, etc.

$\Phi(m)$  is a vertex iff  $T^n$  fixes  $m$ .

**Remark 6.9**  $B \subset \mathfrak{t}^* = (R^n)^*$  : normals to facets are  $u_j \in \Lambda^I \subset \mathfrak{t}$ , if  $F_I$  is intersection of  $\langle x, u_i \rangle = \lambda_i$ . For  $i \in I$ . the  $u_i$  ( $i \in I$ ) generate the stabilizer at any point in  $\Phi^{-1}(F_I)$ .

**Theorem 6.10**  $\Phi^{-1}(b) \cong T^n/T_I$  if  $b \in \text{Int}(F_I)$ . In particular, the symplectic quotient of  $M$  at any point  $b \in (\mathbb{R}^n)^*$  is a point  $\Phi^{-1}(b)/T^n$ .

**3. Fans and alternative description of toric manifolds** (Ref: Audin Chap. VII)

**Definition 6.11** A fan  $\Sigma$  is the specification of a family of convex cones in  $\mathbb{R}^n$  with origin 0 generated by elements  $u_i \in \Lambda^I$  and for which

- (a) every face of a cone is a cone
- (b) if  $C_1$  and  $C_2$  are cones then  $C_1 \cap C_2$  is a face of  $C_1$  and of  $C_2$ .

The data in a fan is “dual” to the data in the polyhedron  $B$ .

1-dimensional cones  $C_i$  correspond to rays  $\mathbb{R}u_i$  through the normals  $u_i$  to the hyperplanes cutting out  $B$

An indexing set  $I \subset \{1, \dots, d\}$  of order  $r$  determines a cone  $C_I = C(U_{i_1}, \dots, U_{i_r})$  of dimension  $r$  which corresponds to the face  $F_I = \{x : \langle u_i, x \rangle = \lambda_i \text{ for } i \in I\}$  in  $B$  of codimension  $r$  (dimension  $n - r$ ).

The origin 0 (which is a 0 dimensional cone) corresponds to the face of dimension  $n$ .

However, when you pass from polyhedron  $B$  to fan  $\Sigma$ , you lose the information  $\lambda_i$  ( $i = 1, \dots, d$ ) specifying the distance of hyperplanes in  $B$  from the origin.

**Proposition 6.12** *Fans classify toric manifolds up to diffeomorphism.*

Newton polytopes classify toric manifolds up to symplectic diffeomorphism. For example, spheres  $S^2$  of different radius but the same centre have the same fan but different Newton polytopes  $[-r, r]$  where  $r$  is the radius of the sphere.

**Construction of toric manifold starting from a fan**

Note that for any indexing set  $I \subset \{1, \dots, d\}$  of order  $r$ , the cone  $C_I$  may or may not be present in the fan  $\Sigma$ .

(depending on whether or not the intersection of the hyperplanes  $\cap_i \langle u_i, y \rangle = \lambda_i$  is nonempty).

We have, as previously,

$$\begin{aligned} 0 &\rightarrow \mathfrak{n} \rightarrow \mathbb{R}^d \rightarrow \mathbb{R}^n \rightarrow 0 \\ 1 &\rightarrow N \rightarrow U(1)^d \rightarrow U(1)^n \rightarrow 0 \\ 1 &\rightarrow N_{\mathbb{C}} \rightarrow (\mathbb{C}^*)^d \rightarrow (\mathbb{C}^*)^n \rightarrow 0 \end{aligned}$$

$N_{\mathbb{C}} \cong (\mathbb{C}^*)^{d-n}$  is the (complex) Lie group whose Lie algebra is  $\mathfrak{n} \otimes \mathbb{C}$ : it is called the complexification of  $N$ .

**Definition 6.13**  $e_I = \{(z_1, \dots, z_d) \in \mathbb{C}^d : z_j = 0 \text{ if } j \notin I\}$  has dimension  $|I|$ . In particular  $e_{\emptyset} = 0$ . The toric manifold associated to the fan  $\Sigma$  is  $M_{\Sigma} = U_{\Sigma}/N_{\mathbb{C}}$  where  $U_{\Sigma}$  is an open set in  $\mathbb{C}^d$ :

$$U_{\Sigma} = \mathbb{C}^d \setminus \cup_{I: C_I \notin \Sigma} e_I.$$

**Alternative definition:**

$$U_{\Sigma} = \cup_{I: C_I \in \Sigma} U_I$$

where

$$\begin{aligned} U_I &= \{z \in \mathbb{C}^d : z_j = 0 \implies j \in I\} \\ &= (\mathbb{C}^*)^{\bar{I}} \times \mathbb{C}^I \end{aligned}$$

Conditions for a fan to correspond to a compact smooth toric variety:

1. Fan is complete
2.  $C_I \in \Sigma$  implies  $e_I \cap \mathfrak{n} \otimes \mathbb{C} = \emptyset$ . The preceding item is a consequence of
3. Each cone of  $\Sigma$  is generated by  $\{u_i, i \in I\}$ , which forms part of an integer basis of the integer lattice  $\Lambda^I$
4. All  $n$ -dimensional cones of  $\Sigma$  (which correspond to vertices of the Newton polytope) are generated by part of a  $\mathbb{Z}$ -basis of  $\Lambda^I$ .

**Example 6.14** 1.  $n = 2, d = 2$   $\{I\} = \emptyset, \{1\}, \{2\}, \{1, 2\}$

We have all possible indexing sets so  $U_\Sigma = \mathbb{C}^2$

2.  $n = 2, d = 2$   $\{I\} = \emptyset, \{1\}, \{2\}$

$$C_{\bar{I}} \notin \Sigma \rightarrow \bar{I} = \{12\}, I = \emptyset$$

$$e_{I=\emptyset} = \{0\}$$

so

$$U_\Sigma = \mathbb{C}^2 \setminus \{0\}$$

3.  $n = 2, d = 3$

$$I = \emptyset, \{1\}, \{2\}, \{3\}, \{12\}, \{23\}, \{13\}$$

$C_{\bar{I}} \notin \Sigma$  implies  $\bar{I} = \{123\}$ , which implies  $I = \emptyset$ , which implies  $e_I = \{0\}$ .

$$U_\Sigma = \mathbb{C}^3 \setminus \{0\}$$

Since  $\mathbf{n} = \mathbb{R}(1, 1, 1) \subset \mathbb{R}^3$  and  $N = \{(\lambda, \lambda, \lambda) | \lambda \in U(1)\} \subset U(1)^3$  we have

$$N_{\mathbb{C}} = \{(\lambda, \lambda, \lambda) | \lambda \in \mathbb{C}^*\} \subset (\mathbb{C}^*)^3$$

We have recovered the more usual description of  $\mathbb{C}P^2$ :

$$\mathbb{C}P^2 = (\mathbb{C}^*)^3 \setminus \{0\} / \mathbb{C}^*.$$

#### 4. Recovering a symplectic structure on a toric manifold specified via a fan

As before we have

$$\mathbb{C}^d \xrightarrow{J} (\mathbb{R}^d)^* \xrightarrow{i^*} \mathbf{n}^*$$

$$J(z_1, \dots, z_d) = -\frac{1}{2}(|z_1|^2, \dots, |z_d|^2)$$

(the inclusion  $0 \rightarrow \mathbf{n} \xrightarrow{i} \mathbb{R}^d \xrightarrow{\pi} \mathbb{R}^n \rightarrow 0$  specifies  $i^*$ .)

For any regular value  $\xi \in \mathbf{n}^*$  ( $\xi = i^*(\lambda_1, \dots, \lambda_d)$  in our previous notation) we saw that a toric manifold was specified as

$$M_{(\lambda_1, \dots, \lambda_d)} = (i^* \circ J)^{-1}(\xi) / N.$$

For any regular value  $\xi$ , the manifolds  $M_{(\lambda_1, \dots, \lambda_d)}$  have the diffeomorphism type of the manifold

$$M_\Sigma = U_\Sigma / N_{\mathbb{C}}$$

(a)  $M_\Sigma$  inherits an action of  $(\mathbb{C}^*)^n = (\mathbb{C}^*)^d / N_{\mathbb{C}}$  (the complexification of  $U(1)^n$ ).

(b) This action preserves the complex structure but not the symplectic structure. The action of  $U(1)^n$  preserves both complex and symplectic structures (Kähler structure).

**Remarks:**

(a) Two constructions of  $M_\Sigma$ :

(i) as a complex manifold, as quotient of an open set in  $\mathbb{C}^d$  by the action of the complex group  $N_{\mathbb{C}}$

(ii) As a symplectic manifold, as symplectic quotient of  $\mathbb{C}^d$  by the compact group  $N$ .

Construction (i) is an example of a general geometric construction (“geometric invariant theory quotient”): Delete “unstable points” from  $\mathbb{C}^d$  (points which would cause quotient by  $N_{\mathbb{C}}$  to be non-Hausdorff): get

$$M_\Sigma = (\mathbb{C}^d \setminus \text{set of complex codimension} \geq 2) / N_{\mathbb{C}}.$$

General principle: Symplectic quotient of a Kähler manifold by a compact group  $N$  is same thing as geometric invariant theory quotient by complexified group  $N_{\mathbb{C}}$  (Atiyah-Bott; Kirwan).