**Toric Manifolds** 

## Introduction

# **1** Toric Manifolds

**Definition 1.1**  $(M^{2n}, \omega)$  is a toric manifold if it is equipped with the (effective) Hamiltonian action of a torus  $T^n$  for which the dimension of the torus is half the dimension of the manifold.

$$\Phi: M^{2n} \to \mathbf{R^n}$$

Comparison with integrable systems: An *integrable system* is a symplectic manifold  $M^{2n}$  equipped with n linearly independent Poisson commuting functions  $f_1, \ldots, f_n$  (in other words the corresponding Hamiltonian vector fields are linearly independent almost everywhere).

So a toric manifold is an integrable system for which the functions  $f_1, \ldots, f_n$  may be chosen in such a way that the Hamiltonian flows of the Poisson commuting functions are periodic with period 1 almost everywhere.

The image of the moment map  $\Phi(M)$  is a convex polyhedron  $B \subset \mathbf{R}^{\mathbf{n}}$  (the Newton polytope of M).

All polytopes arising from toric manifolds satisfy the following:

**Proposition 1.2** 1. For each vertex p there are exactly n edges leaving it

2. The edges are of the form  $p + tv_j$  (j = 1, ..., n) where  $v_j \in (\mathbb{Z}^n)^* (= \Lambda^W))$ .

3. The weights  $v_1, \ldots, v_n$  form a basis of the weight lattice  $\Lambda^W$ , for each vertex p.

**Remark 1.3** M is a toric orbifold (rather than a smooth manifold) iff only (1) and (2) are satisfied.

(A reference on orbifolds is the book by Henriques and Metzler.)

We shall see below that

**Theorem 1.4** (see e.g. Audin Chap. VII or Guillemin Chap. 1) If B is a convex polytope satisfying (1), (2), (3) then there is a toric manifold M such that  $\Phi(M) = B$ .

**Theorem 1.5** (Delzant) Toric manifolds are classified by their moment polytopes: in other words, if  $M_1$ ,  $M_2$  are two toric manifolds with moment maps  $\Phi_1$  and  $\Phi_2$  and  $\Phi_1(M_1) = \Phi_2(M_2)$ , then there is a  $T^n$ -equivariant symplectic diffeomorphism between  $M_1$  and  $M_2$ . To see Theorem 1.4, given a polytope B satisfying (1)-(3) we exhibit a toric manifold M with  $\Phi(M) = B$ .

Write  $B = \bigcap_{j=1}^d \{x \in \mathbf{R}^n : <\mathbf{x}, \mathbf{u}_j \ge \lambda_j\}$  for  $u_j \in \mathbf{R}^n$  and  $\lambda_j \in \mathbf{R}$ .

**Definition 1.6** If B is an n-dimensional polyhedron in  $\mathbb{R}^n$ , then (a)  $F_i$  is an *i*-dimensional face of B if  $F_i$  is an *i*-simplex (b) Int $F_i$  is congruent to the interior of the *i*-simplex. (c) Every point in B is in the interior of exactly one face.

**Definition 1.7** A facet of an n-dimensional polytope is an (n-1)-dimensional face. The number of facets in B is d: they are indexed by j, and have normals  $u_j \in \mathbb{Z}^n$ . The  $u_j$  are assumed to be primitive (in other words they are not given by an integer multiple of another element of  $\mathbb{Z}^n$ ).

We have a short exact sequence of vector spaces

$$0 \rightarrow \mathbf{n} \stackrel{i}{\rightarrow} \mathbf{R^d} \stackrel{\pi}{\rightarrow} \mathbf{R^n} \rightarrow \mathbf{0}$$

where  $\pi : e_j \mapsto u_j$ . Because  $u_j \in \Lambda^W = \operatorname{Hom}(\mathbb{Z}^n, 2\pi\mathbb{Z})$ , this exponentiates to  $1 \to N \xrightarrow{i} U(1)^d \xrightarrow{\pi} U(1)^n \to 1$ 

so  $N = \text{Ker}(\pi)$  is a torus.

We know the moment map for the action of  $U(1)^d$  on  $\mathbb{C}^d$  is

$$J: (z_1, \dots, z_d) \mapsto -\frac{1}{2} (|z_1|^2, \dots, |z_d|^2) + c.$$

Set  $c = (\lambda_1, \ldots, \lambda_d)$ .

For the action of N on  $\mathbb{C}^d$  the moment map is  $i^* \circ J$  where

$$0 \to (\mathbf{R}^{\mathbf{n}})^* \xrightarrow{\pi^*} (\mathbf{R}^{\mathbf{d}})^* \xrightarrow{\mathbf{i}^*} \mathbf{n}^* \to \mathbf{0}$$

(for  $\mathbf{n} = \operatorname{Lie}(N) \cong \mathbf{R}^{\mathbf{n}-\mathbf{d}}$ ). Reduce  $\mathbb{C}^d$  with respect to the action of N:

**Proposition 1.8**  $(a)(i^* \circ J)^{-1}(0)/N$  is a symplectic manifold M (b) M is equipped with the Hamiltonian action of  $T^n$  with moment map  $\Phi$  and  $\Phi(M) = B$ .

### Example 1.9

 $\mathbb{C}P^2$ 

The moment polytope is the right triangle with vertices (0,0), (0,1) and (1,0). Let  $u_i$  be the normal vector to the *i*-th face.  $u_1 = (0,-1)$ ,  $u_2 = (-1,0)$  and  $u_3 = \frac{1}{2}(1,1)$ .

 $\mathbf{n} \to \mathbf{R}^3 \stackrel{\pi}{\to} \mathbf{R}^2$  $\pi : e_i \mapsto u_i$  $(1, 0, 0) \mapsto (0, -1)$  $(0, 1, 0) \mapsto (-1, 0)$  $(0, 0, 1) \mapsto (1, 1)$ 

 $\lambda_1 = \lambda_2 = 0; \ \lambda_3 = \frac{1}{2}$ 

$$\pi = \begin{pmatrix} 0 & -1 & 1 \\ -1 & 0 & 1 \end{pmatrix}$$
$$\mathbf{n} = \mathbf{R}(\mathbf{1}, \mathbf{1}, \mathbf{1}) \subset \mathbf{R}^3 = \operatorname{Ker}(\pi)$$
$$N \cong U(1)$$

Reduce  $\mathbb{C}^3$  with respect to action of N:

$$i: (1, 1, 1) \mapsto \mathbf{R}^{3}$$

$$i^{*}: \mathbf{R}^{3} \to \mathbf{R}$$

$$i^{*}(v) = \langle v, (1, 1, 1) \rangle$$

$$J(z_{1}, z_{2}, z_{3}) = -\frac{1}{2}(|z_{1}|^{2}, |z_{2}|^{2}, |z_{3}|^{2}) + (\lambda_{1}, \lambda_{2}, \lambda_{3})$$

$$i^{*} \circ J(z_{1}, z_{2}, z_{3}) = -\frac{1}{2}\sum_{j} |z_{j}|^{2} + (\lambda_{1} + \lambda_{2} + \lambda_{3})$$

$$= -\frac{1}{2}\sum_{j} |z_{j}|^{2} + \frac{1}{2}$$

$$(i^{*} \circ J)^{-1}(0)/N = \mathbb{C}P^{2}$$

#### **Proof of Proposition 1.8:** The proof breaks down into

**Lemma 1** N acts freely on  $(i^* \circ J)^{-1}(0)$  (by section on symplectic quotients) Define  $B \subset (\mathbf{R}^n)^*$ ;  $B' = \pi^*(B) \subset (\mathbf{R}^d)^*$ 

Lemma 2: Claim

$$(i^* \circ J)^{-1}(0) = J^{-1}(B') = \{z \in \mathbf{R}^d : \mathbf{i}^* \circ \mathbf{J}(\mathbf{z}) = \mathbf{0}\}$$

**Proof:** 

$$J(\mathbf{R}^{\mathbf{d}}) = \{ (\mathbf{x}_1, \dots, \mathbf{x}_{\mathbf{d}}) \in \mathbf{R}^{\mathbf{d}} : < \mathbf{e}_{\mathbf{i}}, \mathbf{x} > \leq \lambda_{\mathbf{i}}, \mathbf{i} = 1, \dots, \mathbf{d} \}.$$

 $i^*(x) = 0$  iff  $x = \pi^*(y)$  for  $y \in (\mathbf{R}^n)^*$ ; in other words  $i^*(J(z)) = 0$  iff  $J(z) = \pi^*(y)$ for some  $y \in (\mathbf{R}^n)^*$  and

$$\langle e_i, \pi^*(y) \rangle \leq \lambda_i, \quad i = 1, \dots, d$$

 $\operatorname{iff}$ 

$$<\pi(e_i), y>\leq \lambda_i, i=1,\ldots,d$$

 $\operatorname{iff}$ 

$$\langle u_i, y \rangle \leq \lambda_i, \quad i = 1, \dots, d$$

iff  $y \in B$  iff  $\pi^*(y) \in B'$  so  $J(z) \in B'$ .

**Lemma 3:** If  $z \in \mathbb{C}^d$ , define  $I \subset \{1, \ldots, d\}$  by  $z_i = 0$  iff  $i \in I$ .

$$\operatorname{Stab}(z) = T_I = \{(u_1, \dots, u_d) : i \notin I \implies u_i = 1\}.$$

This is

 $U(1)^{d-|I|}.$ 

**Lemma 4**: For any  $z \in J^{-1}(B')$ ,  $\operatorname{Stab}(z)$  is transverse to N, which acts freely at z. **Proof** Faces  $F_I$  of B (or of its image B') are determined by choosing a subset

$$\langle u_i, y \rangle = \lambda_i, \quad y \in \mathbf{R}^{\mathbf{n}*}$$

$$\langle e_i, \pi^*(y) \rangle = \lambda_i$$

These determine a torus  $T_I \subset T^d$ .

• Note that the condition

 $I \subset I'$ 

is equivalent to

$$F_{I'} \subset F_I.$$

Also that the largest sets I (corresponding to vertices  $F_I = p$ ) have n elements because of the hypothesis that each vertex has n edges leaving from it, or equivalently it is the intersection of n facets. • If a vertex is the intersection of a collection of facets, then each edge is the choice of one facet to omit.

So for any I (corresponding to a facet) it sits in several maximal  $I_{\text{max}}$  with  $|I_{\text{max}}| = n$  corresponding to the vertices in the face for which  $F_{I_{\text{max}}} = p$  (where p is a vertex). In other words  $\{u_i, i \in I_{\text{max}}\}$  forms a basis of the integer lattice  $\mathbb{Z}^n = \Lambda^I \subset \text{Lie}(T).$ 

The edges leaving the vertex p correspond to a basis of  $\Lambda^W \subset \text{Lie}(T)^*$  which is dual to the basis  $\{u_i, i \in I_{\text{max}}\}$  since

$$T_I = \{(z_1, \dots, z_d) \in U(1)^d : z_j = 1 \text{ if } j \in I\} = \{exp(\sum_j \theta_j e_j), \theta_j \in \mathbf{R}, \}$$

 $e_j$  basis of  $\mathbf{R}^{\mathbf{d}}$ 

under

$$\exp(\pi)(T_I) \stackrel{\exp \pi}{=} \exp \sum_{j \neq I} \theta_j u_j.$$

• So

$$T_{I_{\max}} \cong T^n.$$

So

$$T_I \to T_{I_{\max}} \cong T^n.$$

• So since  $N = \text{Ker}(T^d \to T^n)$ ,  $N \cap T_I = \{1\}$  for any I corresponding to a face  $F_I$  of the polyhedron  $B' = \pi^*(B)$ .

• This happens iff N acts freely on  $J^{-1}(F_I)$  so N acts freely on  $J^{-1}(B') = (i^* \circ J)^{-1}(0).$ 

By our earlier results on reduction in stages,  $T^n = T^d/N$  acts on  $(i^* \circ J)^{-1}(0)/N = M$  in a Hamiltonian way.

The above results show:

$$0 \to N \to T^{d} \to T^{n} \to 0$$
$$0 \to (\mathbf{R}^{\mathbf{n}})^{*} \xrightarrow{\pi} (\mathbf{R}^{\mathbf{d}})^{*} \to \mathbf{n}^{*} \to \mathbf{0}$$
$$z \in J^{-1}(B') = (i^{*} \circ J)^{-1}(0)$$
$$J(z) \in \operatorname{Im}(\mathbf{R}^{\mathbf{n}})^{*}$$
$$\Phi : M \to (\mathbf{R}^{\mathbf{n}})^{*}$$
$$(\mathbf{R}^{\mathbf{n}})^{*} \to (\mathbf{R}^{\mathbf{d}})^{*}$$
$$\Phi(m) \in \operatorname{Im}(J)$$

• If

then

So

$$m \in \Phi^{-1}(F_I) \longleftrightarrow \operatorname{Stab}(m) = T_I \subset T^n$$

SO

 $\operatorname{Stab}(m) \cong T_I.$ 

• So  $\Phi(m) \in \text{Int}(B)$  iff  $T^n$  acts freely at m.

- $\Phi(m)$  is in exactly one facet iff  $T^n$  acts with 1-dimensional stabilizer.
- $\Phi(n)$  is in intersection of exactly 2 facets iff  $T^n$  acts with 2-dimensional stabilizer, etc.
- $\Phi(m)$  is a vertex iff  $T^n$  fixes m.

**Remark 1.10**  $B \subset \text{Lie}(T)^* = (\mathbb{R}^n)^*$ : normals to facets are  $u_j \in \Lambda^I \subset \text{Lie}(T)$ , if  $F_I$  is intersection of  $\langle x, u_i \rangle = \lambda_i$ . For  $i \in I$ . the  $u_i$   $(i \in I)$  generate the stabilizer at any point in  $\Phi^{-1}(F_I)$ .

**Theorem 1.11**  $\Phi^{-1}(b) \cong T^n/T_I$  if  $b \in \text{Int}(F_I)$ . In particular, the symplectic quotient of M at any point  $b \in (\mathbf{R}^n)^*$  is a point  $\Phi^{-1}(b)/T^n$ .

# **3. Fans and alternative description of toric manifolds** (Ref: Audin Chap. VII)

**Definition 1.12** A fan  $\Sigma$  is the specification of a family of convex cones in  $\mathbb{R}^n$ with origin 0 generated by elements  $u_i \in \Lambda^I$  and for which

(a) every face of a cone is a cone

(b) if  $C_1$  and  $C_2$  are cones then  $C_1 \cap C_2$  is a face of  $C_1$  and of  $C_2$ .

The data in a fan is "dual" to the data in the polyhedron B.

• 1-dimensional cones  $C_i$  correspond to rays  $\mathbf{Ru}_i$  through the normals  $u_i$  to the hyperplanes cutting out B

• An indexing set  $I \subset \{1, \ldots, d\}$  of order r determines a cone  $C_I = C(U_{i_1}, \ldots, U_{i_r})$  of dimension r which corresponds to the face  $F_i = \{x : \langle u_i, x \rangle = \lambda_i \text{ for } i \in I\}$  in B of codimension r (dimension n - r).

• The origin 0 (which is a 0 dimensional cone) corresponds to the face of dimension n.

However, when you pass from polyhedron B to fan  $\Sigma$ , you lose the information  $\lambda_i$  (i = 1, ..., d) specifying the distance of hyperplanes in B from the origin.

### **Proposition 1.13** Fans classify toric manifolds up to diffeomorphism.

Newton polytopes classify toric manifolds up to symplectic diffeomorphism. For example, spheres  $S^2$  of different radius but the same centre have the same fan but different Newton polytopes [-r, r] where r is the radius of the sphere.

#### Construction of toric manifold starting from a fan

• Note that for any indexing set  $I \subset \{1, \ldots, d\}$  of order r, the cone  $C_I$  may or may not be present in the fan  $\Sigma$ .

(depending on whether or not the intersection of the hyperplanes  $\cap_i \langle u_i, y \rangle = \lambda_i$ } is nonempty).

• We have, as previously,

 $0 \to \mathbf{n} \to \mathbf{R}^{\mathbf{d}} \to \mathbf{R}^{\mathbf{n}} \to \mathbf{0}$  $1 \to N \to U(1)^{d} \to U(1)^{n} \to 0$  $1 \to N_{\mathbb{C}} \to (\mathbb{C}^{*})^{d} \to (\mathbb{C}^{*})^{n} \to 0$ 

• The space  $N_{\mathbb{C}} \cong (\mathbb{C}^*)^{d-n}$  is the (complex) Lie group whose Lie algebra is  $\mathbf{n} \otimes \mathbb{C}$ : it is called the complexification of N.

**Definition 1.14**  $e_I = \{(z_1, \ldots, z_d) \in \mathbb{C}^d : z_j = 0 \text{ if } j \notin I\}$  has dimension |I|. In particular  $e_{\emptyset} = 0$ . The toric manifold associated to the fan  $\Sigma$  is  $M_{\Sigma} = U_{\Sigma}/N_{\mathbb{C}}$  where  $U_{\Sigma}$  is an open set in  $\mathbb{C}^d$ :

$$U_{\Sigma} = \mathbb{C}^d \setminus \bigcup_{I:C_I \notin \Sigma} e_I.$$

Alternative definition:

$$U_{\Sigma} = \cup_{I, C_I \in \Sigma} U_I$$

where

$$U_I = \{ z \in \mathbb{C}^d : z_j = 0 \Longrightarrow j \in I \}$$
$$= (\mathbb{C}^*)^{\overline{I}} \times \mathbb{C}^I$$

Conditions for a fan to correspond to a compact smooth toric variety:

- 1. Fan is complete
- 2.  $C_I \in \Sigma$  implies  $e_I \cap \mathbf{n} \otimes \mathbb{C} = \emptyset$ . The preceding item is a consequence of
- 3. Each cone of  $\Sigma$  is generated by  $\{u_i, i \in I\}$ , which forms part of an integer basis of the integer lattice  $\Lambda^I$
- 4. All *n*-dimensional cones of  $\Sigma$  (which correspond to vertices of the Newton polytope) are generated by part of a  $\mathbb{Z}$ -basis of  $\Lambda^{I}$ .

**Example 1.15** *1.*  $n = 2, d = 2 \{I\} = \emptyset, \{1\}, \{2\}, \{1, 2\}$ 

We have all possible indexing sets so  $U_{\Sigma} = \mathbb{C}^2$ 

2.  $n = 2, d = 2 \{I\} = \emptyset, \{1\}, \{2\}$   $C_{\overline{I}} \notin \Sigma \rightarrow \overline{I} = \{12\}, I = \emptyset$  $e_{I=\emptyset} = \{0\}$ 

SO

$$U_{\Sigma} = \mathbb{C}^2 \setminus \{0\}$$

3. n = 2, d = 3

$$I = \emptyset, \{1\}, \{2\}, \{3\}, \{12\}, \{23\}, \{13\}$$

 $C_{\bar{I}} \notin \Sigma$  implies  $\bar{I} = \{123\}$ , which implies  $I = \emptyset$ , which implies  $e_I = \{0\}$ .

 $U_{\Sigma} = \mathbb{C}^3 \setminus \{0\}$ 

Since  $\mathbf{n} = \mathbf{R}(\mathbf{1}, \mathbf{1}, \mathbf{1}) \subset \mathbf{R}^3$  and  $N = \{(\lambda, \lambda, \lambda) | \lambda \in U(1)\} \subset U(1)^3$  we have

$$N_{\mathbb{C}} = \{(\lambda, \lambda, \lambda) | \lambda \in \mathbb{C}^*\} \subset (\mathbb{C}^*)^3$$

We have recovered the more usual description of  $\mathbb{C}P^2$ :

$$\mathbb{C}P^2 = (\mathbb{C}^*)^3 \setminus \{0\})/\mathbb{C}^*.$$

# 4. Recovering a symplectic structure on a toric manifold specified via a fan

As before we have

$$\mathbb{C}^{d} \xrightarrow{J} (\mathbf{R}^{\mathbf{d}})^{*} \xrightarrow{\mathbf{i}^{*}} \mathbf{n}^{*}$$
$$J(z_{1}, \dots, z_{d}) = -\frac{1}{2}(|z_{1}|^{2}, \dots, |z_{d}|^{2})$$

(the inclusion  $0 \to \mathbf{n} \xrightarrow{i} \mathbf{R}^{\mathbf{d}} \xrightarrow{\pi} \mathbf{R}^{\mathbf{n}} \to \mathbf{0}$  specifies  $i^*$ .)

For any regular value  $\xi \in \mathbf{n}^*$  ( $\xi = i^*(\lambda_1, \ldots, \lambda_d)$  in our previous notation) we saw that a toric manifold was specified as

$$M_{(\lambda_1,...,\lambda_d)} = (i^* \circ J)^{-1}(\xi)/N.$$

For any regular value  $\xi,$  the manifolds  $M_{(\lambda_1,\dots,\lambda_d)}$  have the diffeomorphism type of the manifold

$$M_{\Sigma} = U_{\Sigma}/N_{\mathbb{C}}$$

(a)  $M_{\Sigma}$  inherits an action of  $(\mathbb{C}^*)^n = (\mathbb{C}^*)^d / N_{\mathbb{C}}$  (the complexification of  $U(1)^n$ ).

(b) This action preserves the complex structure but not the symplectic structure. The action of  $U(1)^n$  preserves both complex and symplectic structures (Kähler structure).

### **Remarks:**

(a) Two constructions of  $M_{\Sigma}$ :

(i) as a complex manifold, as quotient of an open set in  $\mathbb{C}^d$  by the action of the complex group  $N_{\mathbb{C}}$ 

(ii) As a symplectic manifold, as symplectic quotient of  $\mathbb{C}^d$  by the compact group N.

Construction (i) is an example of a general geometric construction ("geometric invariant theory quotient"): Delete "unstable points" from  $\mathbb{C}^d$  (points which would cause quotient by  $N_{\mathbb{C}}$  to be non-Hausdorff): get

$$M_{\Sigma} = \left( \mathbb{C}^d \setminus \text{set of complex codimension} \ge 2 \right) / N_{\mathbb{C}}.$$

General principle: Symplectic quotient of a Kähler manifold by a compact group N is same thing as geometric invariant theory quotient by complexified group  $N_{\mathbb{C}}$  (Atiyah-Bott; Kirwan).

I Background Material