

# A NOTE ON MOD- $p$ LOCAL-GLOBAL COMPATIBILITY VIA SCHOLZE'S FUNCTOR

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ABSTRACT. Let  $\mathfrak{m}$  be a Hecke system which appears in the space  $\pi$  of  $\mathbb{Q}_p/\mathbb{Z}_p$ -automorphic forms (with arbitrary level at some  $\mathfrak{p} \mid p$ ) on certain unitary similitude group over a totally real field  $F^+$  (which splits at  $\mathfrak{p}$ ). Assume that  $\mathfrak{m}$  corresponds to an absolutely irreducible mod- $p$  Galois representation  $\bar{\sigma}_{\mathfrak{m}}$ . We prove using Scholze's functor that the  $\mathrm{GL}_n(F_{\mathfrak{p}}^+)$ -representation  $\pi[\mathfrak{m}]$  determines  $\bar{\sigma}_{\mathfrak{m}}|_{\mathrm{Gal}_{F_{\mathfrak{p}}^+}}$  up to isomorphism, assuming that  $\bar{\sigma}_{\mathfrak{m}}|_{\mathrm{Gal}_{F_{\mathfrak{p}}^+}}$  is multiplicity free and the dual  $\pi_{\mathfrak{m}}^{\vee}$  is flat over the big Hecke algebra. In other words, we remove the semisimple condition on  $\bar{\sigma}_{\mathfrak{m}}|_{\mathrm{Gal}_{F_{\mathfrak{p}}^+}}$  in arXiv:2106.10674.

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## 1. INTRODUCTION

Let  $L$  be a  $p$ -adic field and  $\mathrm{Gal}_L$  be its absolute Galois group. In [Sch18], Scholze constructs a functor which sends an admissible  $\mathbb{Z}_p$ -representation  $\pi$  of  $\mathrm{GL}_n(L)$  to certain cohomology group  $H_{\acute{\mathrm{e}}\mathrm{t}}^i(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi})$  (for  $i \geq 0$ ) equipped with the action of  $D^{\times} \times \mathrm{Gal}_L$  with  $D$  the division algebra over  $L$  with invariant  $\frac{1}{n}$ . Then Scholze proves a version of local-global compatibility by applying his functor to a space of  $\mathbb{Q}_p/\mathbb{Z}_p$ -automorphic forms on a certain inner form of  $\mathrm{GL}_2$  over some totally real field. In [Liu21], Liu considers a space  $\pi$  of  $\mathbb{Q}_p/\mathbb{Z}_p$ -automorphic forms (with arbitrary level at some  $\mathfrak{p} \mid p$ ) on certain unitary similitude group over a totally real field  $F^+$  which splits at  $\mathfrak{p}$ , and then relates it to the cohomology of certain Kottwitz-Harris-Taylor type Shimura varieties via Scholze's functor. Given a Hecke system  $\mathfrak{m}$  which appears only in the middle degree of the cohomology of Shimura varieties and assume that it corresponds to an absolutely irreducible residual Galois representation  $\bar{\sigma}_{\mathfrak{m}}$ , Liu proves that  $H_{\acute{\mathrm{e}}\mathrm{t}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_{\mathfrak{m}}})$  is  $\sigma_{\mathfrak{m}}|_{\mathrm{Gal}_{F_{\mathfrak{p}}^+}}$ -typic where  $\sigma_{\mathfrak{m}}$  is the lift of  $\bar{\sigma}_{\mathfrak{m}}$  established in [Liu21, Proposition 2.3]. Furthermore, Liu proves that  $H_{\acute{\mathrm{e}}\mathrm{t}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi[\mathfrak{m}]})$  is sufficient to determine the restriction  $\bar{\sigma}_{\mathfrak{m}}|_{\mathrm{Gal}_{F_{\mathfrak{p}}^+}}$  assuming that

- the dual  $\pi_{\mathfrak{m}}^{\vee}$  is flat as a module over the big Hecke algebra;
- $\bar{\sigma}_{\mathfrak{m}}|_{\mathrm{Gal}_{F_{\mathfrak{p}}^+}}$  is semisimple and multiplicity free.

In this short note, we completely remove the semisimple assumption in Liu's result. Namely, assuming flatness of  $\pi_m^\vee$  and  $\bar{\sigma}_m|_{\text{Gal}_{F_p^+}}$  being multiplicity free, we prove that  $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi[m]})$  determines  $\bar{\sigma}_m|_{\text{Gal}_{F_p^+}}$  uniquely. We give remarks on our assumptions at the end of this note.

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## 2. SUBMODULES OF A TYPIC MODULE

Let  $G$  be a group,  $R$  be a commutative ring and  $\rho_0$  be an  $R[G]$ -module of finite length. We have the following definition generalizing [Sch18, Definition 5.2].

**Definition 2.1.** Assume that  $\rho_0$  is multiplicity free, namely each Jordan–Hölder factor of  $\rho_0$  appears with multiplicity one. Then  $\rho_0$  admits a decomposition  $\rho_0 \cong \bigoplus \tilde{\rho}$  into its non-zero indecomposable direct summands. We say that an  $R[G]$ -module  $V$  is  $\rho_0$ -*typic* if there exists a non-zero  $R$ -module  $W_{\tilde{\rho}}$  with trivial  $G$ -action for each  $\tilde{\rho}$ , such that  $V \cong \bigoplus_{\tilde{\rho}} W_{\tilde{\rho}} \otimes_R \tilde{\rho}$ .

We assume throughout this section that  $R$  is field and  $\rho_0$  is multiplicity free. We fix from now a  $\rho_0$ -typic  $R[G]$ -module  $V$  equipped with an  $R$ -module  $W_{\tilde{\rho}}$  for each indecomposable direct summand  $\tilde{\rho}$  of  $\rho_0$  as in Definition 2.1. The main result of this section is a criterion (see Proposition 2.8) for certain submodule of  $V$  to be  $\rho_0$ -typic.

We write  $\Sigma$  for the set of non-zero indecomposable  $R[G]$ -submodules of  $\rho_0$ , equipped with the natural partial order given by inclusion of  $R[G]$ -submodules. We write  $\text{JH}_{R[G]}(\cdot)$  for the set of Jordan–Hölder factors. As  $\rho_0$  is multiplicity free, any  $R[G]$ -submodule of  $\rho_0$  is uniquely determined by its set of Jordan–Hölder factors, and we clearly have  $\#\Sigma \leq 2^\ell$  where  $\ell$  is the length of  $\rho_0$ . Note that  $V \cong \bigoplus_{\tilde{\rho}} W_{\tilde{\rho}} \otimes_R \tilde{\rho}$  forces  $V$  to be locally finite, and so is any subquotient of  $V$ .

**Lemma 2.2.** *Let  $\rho' \subseteq \rho$  be two elements of  $\Sigma$ . Then the induced map  $\text{Hom}_{R[G]}(\rho, V) \rightarrow \text{Hom}_{R[G]}(\rho', V)$  is an isomorphism.*

*Proof.* We first deduce from  $\rho, \rho' \in \Sigma$  and  $\rho' \subseteq \rho$  that there exists a unique indecomposable direct summand  $\tilde{\rho} \in \Sigma$  of  $\rho_0$  which contains  $\rho, \rho'$ . The canonical map  $\text{Hom}_{R[G]}(\rho, \tilde{\rho}) \rightarrow \text{Hom}_{R[G]}(\rho', \tilde{\rho})$  is clearly an isomorphism of  $R$ -vector spaces of dimension one. Then the canonical map in question factors through the isomorphisms

$$\text{Hom}_{R[G]}(\rho, V) \cong W_{\tilde{\rho}} \otimes_R \text{Hom}_{R[G]}(\rho, \tilde{\rho}) \xrightarrow{\sim} W_{\tilde{\rho}} \otimes_R \text{Hom}_{R[G]}(\rho', \tilde{\rho}) \cong \text{Hom}_{R[G]}(\rho', V).$$

□

**Lemma 2.3.** *Let  $V' \subseteq V$  be an  $R[G]$ -submodule with  $\text{cosoc}_{R[G]}(V')$  being irreducible. Then there exists  $\rho \in \Sigma$  such that  $V' \cong \rho$ .*

*Proof.* We write  $\tau \stackrel{\text{def}}{=} \text{cosoc}_{R[G]}(V') \in \text{JH}_{R[G]}(V) = \text{JH}_{R[G]}(\rho_0)$ . There exists a unique  $\rho \subseteq \tilde{\rho} \subseteq \rho_0$  such that  $\tilde{\rho}$  is an indecomposable direct summand of  $\rho_0$  and  $\text{cosoc}_{R[G]}(\rho) \cong \tau$ . As  $V/W_{\tilde{\rho}} \otimes_R \rho$  does not have  $\tau$  as Jordan–Hölder factor, we may assume without loss of generality that  $\rho = \tilde{\rho} = \rho_0$ . Then the key observation is that

$$(2.4) \quad \text{Hom}_{R[G]}(\tilde{\rho}, V) \cong W_{\tilde{\rho}} \otimes_R \text{End}_{R[G]}(\tilde{\rho}) \xrightarrow{\sim} W_{\tilde{\rho}} \otimes_R \text{End}_{R[G]}(\tau) \cong \text{Hom}_{R[G]}(\tau, W_{\tilde{\rho}} \otimes_R \tau).$$

The  $R[G]$ -submodule  $V' \subseteq V$  determines an embedding  $\tau \hookrightarrow W_{\tilde{\rho}} \otimes_R \tau$  and thus (by (2.4)) an embedding  $f : \tilde{\rho} \hookrightarrow V$ . We write  $\text{rad}(V')$  for the kernel of  $V' \rightarrow \text{cosoc}_{R[G]}(V')$ . As the canonical

map  $V'/\text{rad}(V') \rightarrow V/(\text{im}(f) + \text{rad}(V'))$  is zero by the choice of  $f$ , so is the map  $V' \rightarrow V/\text{im}(f)$ , which implies that  $V' \subseteq \text{im}(f)$ . This inclusion must be an equality as both  $R[G]$ -modules share the same cosocle.  $\square$

**Lemma 2.5.** *Let  $V' \subseteq V$  be an  $R[G]$ -submodule. If  $V'$  is multiplicity free, then there exists an embedding  $V' \hookrightarrow \rho_0$ .*

*Proof.* By writing  $V'$  as direct sum of its indecomposable direct summands, it suffices to assume that  $V'$  is indecomposable and find  $\rho \in \Sigma$  such that  $V' \cong \rho$ . As  $\text{JH}_{R[G]}(V) = \text{JH}_{R[G]}(\rho_0)$  is finite, we deduce that  $V'$  has finite length. By writing each  $W_{\tilde{\rho}} = \varinjlim_k W_{\tilde{\rho},k}$  as a direct limit of its finite

dimensional subspaces and then using the fact that  $V'$  has finite length, we may assume without loss of generality that  $W_{\tilde{\rho}}$  is finite dimensional for each indecomposable direct summand  $\tilde{\rho}$  of  $\rho_0$ . We write  $\text{soc}_{R[G]} V' \cong \bigoplus_{t=1}^s \tau_t$ , then each  $\tau_t \subseteq V' \subseteq V$  determines a unique  $\tilde{\rho}_t$  containing  $\tau_t$  as well as an element  $f_t \in \text{Hom}_{R[G]}(\tau_t, V) \cong \text{Hom}_{R[G]}(\tilde{\rho}_t, V) \cong W_{\tilde{\rho}_t}$ . As  $V'$  is indecomposable and  $W_{\tilde{\rho}} \otimes_R \tilde{\rho}$  do not share common Jordan–Hölder factor for different  $\tilde{\rho}$ , we deduce that all  $\tilde{\rho}_t$  equal the same  $\tilde{\rho}$ . As it is harmless to replace  $R$  with its algebraic closure which is an infinite field, there exists  $\ell : W_{\tilde{\rho}} \rightarrow R$  such that  $\ell(f_t) \neq 0$  for each  $1 \leq t \leq s$ . Hence,  $\ell \otimes_R \tilde{\rho} : W_{\tilde{\rho}} \otimes_R \tilde{\rho} \rightarrow \tilde{\rho}$  restricted to an injection on  $\text{soc}_{R[G]}(V')$ , and thus an injection on  $V'$  as well. We conclude by the observation that any indecomposable  $R[G]$ -submodule of  $\tilde{\rho}$  is in  $\Sigma$ .  $\square$

**Lemma 2.6.** *Let  $V' \subseteq V$  be an  $R[G]$ -submodule. Assume that*

- $\text{JH}_{R[G]}(V') = \text{JH}_{R[G]}(\rho_0)$ ; and
- for each indecomposable direct summand  $\tilde{\rho}$  of  $\rho_0$  and each embedding  $f : \tilde{\rho} \hookrightarrow V$ , we have either  $\text{im}(f) \subseteq V'$  or  $\text{im}(f) \cap V' = 0$ .

Then  $V'$  is  $\rho_0$ -typic.

*Proof.* Recall that we have  $V \cong \bigoplus_{\tilde{\rho}} W_{\tilde{\rho}} \otimes_R \tilde{\rho}$  and the identification  $W_{\tilde{\rho}} \cong \text{Hom}_{R[G]}(\tilde{\rho}, V)$  for each indecomposable direct summand  $\tilde{\rho}$  of  $\rho_0$ . We write  $W'_{\tilde{\rho}} \subseteq W_{\tilde{\rho}}$  for the subspace of all morphisms  $f : \tilde{\rho} \rightarrow V$  satisfying  $\text{im}(f) \subseteq V'$ . We claim that the natural map

$$(2.7) \quad \bigoplus_{\tilde{\rho}} W'_{\tilde{\rho}} \otimes_R \tilde{\rho} \rightarrow V'$$

is an isomorphism. The compatibility with  $V \cong \bigoplus_{\tilde{\rho}} W_{\tilde{\rho}} \otimes_R \tilde{\rho}$  forces (2.7) to be injective. As  $V'$  is sum of its  $R[G]$ -submodules with irreducible cosocle, it suffices to prove that each such  $R[G]$ -submodule  $V''$  of  $V'$  is contained in the image of (2.7). In fact, it follows from Lemma 2.3 that there exists  $\rho \in \Sigma$  such that  $V'' \cong \rho$ . Hence, we deduce from Lemma 2.2 that there exists an indecomposable direct summand  $\tilde{\rho}$  of  $\rho_0$  as well as  $f \in \text{Hom}_{R[G]}(\tilde{\rho}, V)$  such that  $\tilde{\rho} \supseteq \rho$  and  $V'' \subseteq \text{im}(f)$ . As  $\text{im}(f)$  is multiplicity free, it embeds into  $\rho_0$  by Lemma 2.5, and thus embeds into  $\tilde{\rho}$  by checking Jordan–Hölder factors. This forces  $\tilde{\rho} \cong \ker(f) \oplus \text{im}(f)$  and thus  $\ker(f) = 0$  as  $\tilde{\rho}$  is indecomposable. In other words,  $f$  is an embedding with  $0 \neq V'' \subseteq \text{im}(f) \cap V'$ , which together with our assumption implies that  $\text{im}(f) \subseteq V'$ . Hence,  $\text{im}(f)$  is contained in the image of (2.7), and so is  $V''$ . Note that  $\text{JH}_{R[G]}(V') = \text{JH}_{R[G]}(\rho_0)$  forces  $W'_{\tilde{\rho}} \neq 0$  for each indecomposable direct summand  $\tilde{\rho}$  of  $\rho_0$ . The proof is thus completed.  $\square$

**Proposition 2.8.** *Let  $\rho_0$  be a multiplicity free  $R[G]$ -module of finite length. Let  $V$  be a  $\rho_0$ -typic  $R[G]$ -module with a sequence of sub  $R[G]$ -modules  $V_1 \subseteq V_2 \subseteq \dots$  satisfying the following conditions*

- $V = \bigcup_{r \geq 1} V_r$ ; and
- for each  $r \geq 1$ , there exists an embedding  $V_{r+1}/V_r \hookrightarrow V_1^{\oplus s_r}$  for some  $s_r \geq 1$ .

Then  $V_1$  is  $\rho_0$ -typic. In particular,  $V_1$  determines  $\rho_0$  up to isomorphism.

*Proof.* Our assumption clearly implies that  $\mathrm{JH}_{R[G]}(V_1) = \mathrm{JH}_{R[G]}(\rho_0)$ . Let  $\tilde{\rho}$  be an indecomposable direct summand of  $\rho_0$  and  $f : \tilde{\rho} \hookrightarrow V$  be an embedding. According to Lemma 2.6, it suffices to show that either  $\mathrm{im}(f) \subseteq V_1$  or  $\mathrm{im}(f) \cap V_1 = 0$ . We set  $V_{f,0} \stackrel{\mathrm{def}}{=} 0 \subseteq \mathrm{im}(f)$  and  $V_{f,r} \stackrel{\mathrm{def}}{=} \mathrm{im}(f) \cap V_r$  for each  $r \geq 1$ . Our assumption on  $\{V_r\}_{r \geq 1}$  implies that  $\{V_{f,r}\}_{r \geq 0}$  is an increasing and exhaustive filtration on  $\mathrm{im}(f)$ . The inclusion  $\mathrm{im}(f) \subseteq V$  induces a natural embedding

$$V_{f,r+1}/V_{f,r} \hookrightarrow V_{r+1}/V_r \hookrightarrow V_1^{\oplus s_r} \hookrightarrow V^{\oplus s_r}.$$

As  $V^{\oplus s_r}$  is  $\rho_0$ -typic and  $V_{f,r+1}/V_{f,r}$  is multiplicity free, we deduce from Lemma 2.5 that  $V_{f,r+1}/V_{f,r}$  embeds into  $\rho_0$ , and actually embeds into  $\tilde{\rho}$  by checking Jordan–Hölder factors. As  $V_{f,r+1}/V_{f,r}$  embeds into  $\tilde{\rho} \cong \mathrm{im}(f)$  for each  $r \geq 0$ , we deduce that

$$\tilde{\rho} \cong \mathrm{im}(f) \cong \bigoplus_{r \geq 0} V_{r+1,f}/V_{r,f}.$$

However,  $\tilde{\rho}$  is indecomposable, and thus there exists a unique  $r_f \geq 0$  such that  $V_{r_f+1,f}/V_{r_f,f} \cong \tilde{\rho}$  and  $V_{r+1,f} = V_{r,f}$  for all  $r \neq r_f$ . In particular, we have  $\mathrm{im}(f) \subseteq V_1$  if  $r_f = 0$ , and  $\mathrm{im}(f) \cap V_1 = 0$  if  $r_f \geq 1$ . As the  $\rho_0$ -typic  $R[G]$ -module  $V_1$  determines the isomorphism class of each indecomposable direct summand  $\tilde{\rho}$  of  $\rho_0$  (by considering all possible indecomposable direct summands of  $V_1$ ), it clearly determines  $\rho_0$  up to isomorphism. The proof is thus finished.  $\square$

We also have the following more general result on capturing  $\rho_0$  from an  $R[G]$ -submodule  $V' \subseteq V$  without knowing that  $V'$  is  $\rho_0$ -typic.

**Proposition 2.9.** *Let  $V' \subseteq V$  be an  $R[G]$ -submodule. Assume that  $\mathrm{JH}_{R[G]}(V') = \mathrm{JH}_{R[G]}(\rho_0)$ . Then  $V'$  determines  $\rho_0$  up to isomorphism.*

*Proof.* As  $\rho_0$  is multiplicity free, for each  $\tau \in \mathrm{JH}_{R[G]}(\rho_0)$ , there exists a unique  $R[G]$ -submodule  $\rho_\tau \subseteq \rho_0$  such that  $\mathrm{cosoc}_{R[G]}(\rho_\tau) \cong \tau$ . It follows from Lemma 2.3 that, for each  $\tau \in \mathrm{JH}_{R[G]}(\rho_0)$ , any  $R[G]$ -submodule  $V'' \subseteq V'$  satisfying  $\mathrm{cosoc}_{R[G]}(V'') \cong \tau$  must also satisfy  $V'' \cong \rho_\tau$ . Consequently, we deduce from  $\mathrm{JH}_{R[G]}(V') = \mathrm{JH}_{R[G]}(\rho_0)$  that  $V'$  determines the set of isomorphism classes  $\{[\rho_\tau]\}_{\tau \in \mathrm{JH}_{R[G]}(\rho_0)}$ . It suffices to show that  $\{[\rho_\tau]\}_{\tau \in \mathrm{JH}_{R[G]}(\rho_0)}$  determines  $\rho_0$  up to isomorphism. We prove that  $\{[\rho_\tau]\}_{\tau \in \mathrm{JH}_{R[G]}(\rho_0)}$  determines  $\rho$  up to isomorphism for each  $R[G]$ -submodule  $\rho \subseteq \rho_0$  by induction on the length of  $\rho$ . Let  $\rho' \subseteq \rho$  be two  $R[G]$ -submodules of  $\rho_0$  with  $\rho/\rho' \cong \tau_0$  for some  $\tau_0 \in \mathrm{JH}_{R[G]}(\rho_0)$ . Assume first that  $\{[\rho_\tau]\}_{\tau \in \mathrm{JH}_{R[G]}(\rho_0)}$  determines  $\rho'$  and  $\rho' \cap \rho_{\tau_0}$  up to isomorphism. We choose two embeddings  $f_1 : \rho' \cap \rho_{\tau_0} \rightarrow \rho'$  and  $f_2 : \rho' \cap \rho_{\tau_0} \rightarrow \rho_{\tau_0}$  and note that the choice of the pair  $f_1, f_2$  is unique up to automorphisms of  $\rho', \rho_{\tau_0}$  and  $\rho' \cap \rho_{\tau_0}$ . Hence, the isomorphism class of the amalgamate sum  $\rho' \oplus_{\rho' \cap \rho_{\tau_0}} \rho_{\tau_0}$  does not depend on the choice of  $f_1, f_2$ . It is obvious that  $\rho \cong \rho' \oplus_{\rho' \cap \rho_{\tau_0}} \rho_{\tau_0}$  and thus  $\{[\rho_\tau]\}_{\tau \in \mathrm{JH}_{R[G]}(\rho_0)}$  determines  $\rho$  up to isomorphism. The proof is thus finished by an induction on length.  $\square$

### 3. APPLICATION TO MOD- $p$ LOCAL GLOBAL COMPATIBILITY VIA SCHOLZE'S FUNCTOR

We first establish the global setup for the study of the cohomology of the relevant Shimura varieties.

Fix an integer  $n > 2$  and a prime  $p$ . Let  $F$  be a CM field which is a quadratic extension of its maximal totally real subfield  $F^+$ . We write  $c$  for the unique non-trivial element of  $\mathrm{Gal}(F/F^+)$ . Let  $B$  be the division algebra over  $F$  of dimension  $n^2$  as chosen in Section 0.1 of [BZ99] (thus equipped

with a certain involution  $b \mapsto b^*$  on it) and  $\tilde{G}$  be the algebraic group over  $F^+$  whose group of  $R$ -points for any  $F^+$ -algebra  $R$  is given by

$$\tilde{G}(R) \stackrel{\text{def}}{=} \{(g, \lambda) \in (B^{\text{op}} \otimes_{F^+} R)^\times \times R^\times \mid gg^* = \lambda\}.$$

We assume that  $v$  splits in  $F$  for each finite place  $v$  of  $F^+$  dividing  $p$ . We fix a finite place  $\mathfrak{p}$  of  $F^+$  (resp.  $\mathfrak{q}$  of  $F$ ) such that  $\mathfrak{p} = \mathfrak{q}\mathfrak{q}^c$ . Then our choice of the division algebra  $B$  above implies that  $B_{\mathfrak{q}}$  is a division algebra over  $F_{\mathfrak{q}}$  of invariant  $\frac{1}{n}$ .

Let  $G \stackrel{\text{def}}{=} \text{Res}_{F^+/\mathbb{Q}}(\tilde{G})$  be the Weil restriction of scalars. Let  $\mathbb{S} \stackrel{\text{def}}{=} \text{Res}_{\mathbb{C}/\mathbb{R}}(\mathbb{G}_m)$  be the Deligne torus and  $h$  be a morphism

$$h : \mathbb{S} \rightarrow G_{\mathbb{R}}$$

such that  $h$  defines on  $W_{\mathbb{R}}$  a Hodge structure of type  $(1, 0), (0, 1)$  and such that  $\psi(w_1, h(i)w_2)$  is a symmetric positive definite bilinear form on  $W_{\mathbb{R}}$ . Note that  $h$  is unique up to  $G(\mathbb{R})$ -conjugacy and we let  $X$  denote the  $G(\mathbb{R})$ -conjugacy class of  $h$ . Then  $(G, X)$  defines a Shimura datum and for sufficiently small compact open subgroups  $U \subseteq G(\mathbb{A}_{\mathbb{Q}}^{\infty})$  we have a projective system of Shimura varieties  $\text{Sh}_U$  over its reflex field, which can be identified with  $F$  in a canonical way. We will write  $\text{Sh}_{KU^{\mathfrak{p}}}$  instead of  $\text{Sh}_{K \times (F_{\mathfrak{p}}^+)^{\times} \times U^{\mathfrak{p}}}$  for the Shimura variety associated with  $U = K \times (F_{\mathfrak{p}}^+)^{\times} \times U^{\mathfrak{p}}$  for  $K \subseteq (B_{\mathfrak{q}}^{\text{op}})^{\times}$  compact open and  $U^{\mathfrak{p}} \subseteq \tilde{G}(\mathbb{A}_{F^+}^{\infty, \mathfrak{p}})$  (sufficiently small) compact open.

We fix a tame level, i.e. a compact open subgroup  $U^{\mathfrak{p}} = \prod_{v \neq \mathfrak{p}} U_v$  of  $\tilde{G}(\mathbb{A}_{F^+}^{\infty, \mathfrak{p}})$  and let  $\mathcal{P}$  denote the set of finite places  $v$  of  $F^+$  such that

- $v \nmid p$ ;
- $v$  splits in  $F$ ;
- $\tilde{G}(F_v^+) \cong \text{GL}_n(F_v^+) \times (F_v^+)^{\times}$  and  $U_v$  is a maximal compact open subgroup of  $\tilde{G}(F_v^+)$ .

Consider the abstract Hecke algebra

$$\mathbb{T}_{\mathcal{P}} \stackrel{\text{def}}{=} \mathbb{Z}[T_w^{(j)}, T_{w^c}^{(j)} : v = ww^c \in \mathcal{P}, 1 \leq j \leq n]$$

where  $T_w^{(j)}$  is the Hecke operator corresponding to the double coset

$$\left[ \text{GL}_n(\mathcal{O}_{F_w}) \begin{pmatrix} \varpi_w 1_j & 0 \\ 0 & 1_{n-j} \end{pmatrix} \text{GL}_n(\mathcal{O}_{F_w}) \right].$$

Here  $\varpi_w$  is a uniformizer of the local field  $F_w$ . Then the Hecke algebra  $\mathbb{T}_{\mathcal{P}}$  acts on  $H^i(\text{Sh}_{KU^{\mathfrak{p}}, \mathbb{C}}, \mathbb{Z})$  for all compact open  $K \subseteq (B_{\mathfrak{q}}^{\text{op}})^{\times}$ .

Let  $\mathbb{F}$  be a finite extension of  $\mathbb{F}_p$  and  $\bar{\sigma} : \text{Gal}_F \rightarrow \text{GL}_n(\mathbb{F})$  an  $n$ -dimensional absolutely irreducible Galois representation which is unramified at each place of  $F$  dividing some  $v \in \mathcal{P}$ . Hence, we can associate a maximal ideal  $\mathfrak{m} \subseteq \mathbb{T}_{\mathcal{P}}$  with  $\bar{\sigma}$  (cf. the paragraph before Condition 2.1 of [Liu21]).

We assume the following condition from now on:

**Condition 3.1.** For each  $K \subseteq (B_{\mathfrak{q}}^{\text{op}})^{\times}$  compact open,

$$H^i(\text{Sh}_{KU^{\mathfrak{p}}, \mathbb{C}}, \mathbb{Z})_{\mathfrak{m}} \neq 0$$

only if  $i = n - 1$ .

Let  $\mathbb{T}(KU^{\mathfrak{p}})$  be the image of  $\mathbb{T}_{\mathcal{P}}$  in  $\text{End}(H^{n-1}(\text{Sh}_{KU^{\mathfrak{p}}, \mathbb{C}}, \mathbb{Z}))$  and  $\mathbb{T}(KU^{\mathfrak{p}})_{\mathfrak{m}}$  be its  $\mathfrak{m}$ -adic completion. We also consider the big Hecke algebra

$$\mathbb{T}(U^{\mathfrak{p}})_{\mathfrak{m}} \stackrel{\text{def}}{=} \varprojlim_U \mathbb{T}(KU^{\mathfrak{p}})_{\mathfrak{m}}$$

which is a complete Noetherian local ring with finite residue field. Let  $\sigma : \text{Gal}_F \rightarrow \text{GL}_n(\mathbb{T}(U^{\mathfrak{p}})_{\mathfrak{m}})$  be the unique (up to conjugation) lift of  $\bar{\sigma}$  characterized by [Liu21, Proposition 2.3].

Let  $G'$  be the inner form of  $G$  over  $F^+$  such that  $G'(F^+ \otimes_{\mathbb{Q}} \mathbb{R})$  is compact modulo center,  $G'(\mathbb{A}_{F^+}^{\infty, \mathfrak{p}}) = \tilde{G}(\mathbb{A}_{F^+}^{\infty, \mathfrak{p}})$ , and  $G'(F_{\mathfrak{p}}^+) \cong \text{GL}_n(F_{\mathfrak{p}}^+) \times (F_{\mathfrak{p}}^+)^{\times}$ . Let  $\pi_{U^{\mathfrak{p}}}$  be the admissible  $\mathbb{Z}_p$ -representation of  $\text{GL}_n(F_{\mathfrak{p}}^+)$  given by the space of continuous functions

$$\pi_{U^{\mathfrak{p}}} \stackrel{\text{def}}{=} C^0(G'(F^+) \backslash G'(\mathbb{A}_{F^+}^{\infty}) / ((F_{\mathfrak{p}}^+)^{\times} \times U^{\mathfrak{p}}), \mathbb{Q}_p / \mathbb{Z}_p).$$

By Corollary 6.7 of [Liu21] the natural action of  $\mathbb{T}_{\mathcal{P}}$  on

$$\pi_{\mathfrak{m}} \stackrel{\text{def}}{=} \pi_{U^{\mathfrak{p}}, \mathfrak{m}} = C^0(G'(F^+) \backslash G'(\mathbb{A}_{F^+}^{\infty}) / ((F_{\mathfrak{p}}^+)^{\times} \times U^{\mathfrak{p}}), \mathbb{Q}_p / \mathbb{Z}_p)_{\mathfrak{m}}$$

extends to a continuous action of  $\mathbb{T}(U^{\mathfrak{p}})_{\mathfrak{m}}$ .

In [Sch18, Section 3,4], for each  $p$ -adic field  $L$  and  $i \geq 0$ , Scholze defines a functor which sends an admissible smooth  $\mathbb{Z}_p[\text{GL}_n(L)]$ -module  $\pi$  (cf. [Sch18, Definition 4.1]) to

$$H_{\text{ét}}^i(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi})$$

with a natural action by  $D^{\times} \times \text{Gal}_L$  (see [Sch18, Proposition 3.1] for the definition of the sheaf  $\mathcal{F}_{\pi}$ ). Here  $D$  is the central division algebra over  $L$  of invariant  $\frac{1}{n}$ . Although not used in the rest of this note, we remark that the  $D^{\times}$ -representation  $H_{\text{ét}}^i(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi})$  is known to be admissible by [Sch18, Theorem 4.4].

We have the following typicity result from [Liu21, Corollary 7.1]:

**Proposition 3.2.** *Assume that Condition 3.1 holds for  $\mathfrak{m}$ . Then  $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_{\mathfrak{m}}})$  is a  $\sigma|_{\text{Gal}_{F_{\mathfrak{p}}^+}}$ -typic  $\mathbb{T}(U^{\mathfrak{p}})_{\mathfrak{m}}[\text{Gal}_{F_{\mathfrak{p}}^+}]$ -module. In particular,  $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_{\mathfrak{m}}})[\mathfrak{m}]$  is a  $\bar{\sigma}|_{\text{Gal}_{F_{\mathfrak{p}}^+}}$ -typic  $\mathbb{F}[\text{Gal}_{F_{\mathfrak{p}}^+}]$ -module.*

Now we need another condition to apply various results we need from [Liu21, Section 7].

**Condition 3.3.** *The dual  $\pi_{\mathfrak{m}}^{\vee} = \text{Hom}_{\mathbb{Z}_p}(\pi_{\mathfrak{m}}, \mathbb{Q}_p / \mathbb{Z}_p)$  is flat as a module over  $\mathbb{T}(U^{\mathfrak{p}})_{\mathfrak{m}}$ .*

Under the Condition 3.3, there exists for each  $r \geq 1$  a short exact sequence (see [Liu21, Lemma 7.5])

$$(3.4) \quad 0 \rightarrow \pi_{\mathfrak{m}}[\mathfrak{m}^r] \rightarrow \pi_{\mathfrak{m}}[\mathfrak{m}^{r+1}] \rightarrow (\pi_{\mathfrak{m}}[\mathfrak{m}])^{\oplus s_r} \rightarrow 0$$

where  $s_r \geq 1$  is a positive integer. Applying the functor  $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{-})$ , we obtain an exact sequence on cohomology groups (for each  $r \geq 1$ )

$$(3.5) \quad 0 \rightarrow H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_{\mathfrak{m}}[\mathfrak{m}^r]}) \rightarrow H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_{\mathfrak{m}}[\mathfrak{m}^{r+1}]}) \rightarrow \bigoplus_{s_r} H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_{\mathfrak{m}}[\mathfrak{m}]}) .$$

The injectivity on the left hand side of (3.5) follows from [Liu21, Lemma 7.9]. Now we set

$$V_r \stackrel{\text{def}}{=} H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_{\mathfrak{m}}[\mathfrak{m}^r]})[\mathfrak{m}]$$

for each  $r \geq 1$  and note that  $V_1 = H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_{\mathfrak{m}}[\mathfrak{m}]})$ . Taking  $\mathfrak{m}$ -torsion on the sequence (3.5) yields

$$(3.6) \quad 0 \rightarrow V_r \rightarrow V_{r+1} \rightarrow (V_1)^{\oplus s_r} .$$

To apply our results in Section 2, we further assume that

**Condition 3.7.** *The  $\mathbb{F}[\text{Gal}_{F_{\mathfrak{p}}^+}]$ -module  $\bar{\sigma}|_{\text{Gal}_{F_{\mathfrak{p}}^+}}$  is multiplicity free.*

Then we take

- $R = \mathbb{F}$ ;
- $G = \text{Gal}_{F_p^+}$ ;
- $\rho_0 = \bar{\sigma}|_{\text{Gal}_{F_p^+}}$ ; and
- $V = H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m})[\mathfrak{m}]$ .

It follows from [Liu21, Lemma 7.7] that  $V = \varinjlim_r V_r$ , which together with (3.6) fulfills all the conditions of Proposition 2.8. Therefore we deduce:

**Theorem 3.8.** *Assume that Condition 3.1, Condition 3.3 and Condition 3.7 hold for  $\mathfrak{m}$ . Then*

- $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m[\mathfrak{m}]})$  is  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$ -typic; and in particular
- $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m[\mathfrak{m}]})$  determines  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$  up to isomorphism.

*Remark 3.9.* As mentioned in Remark 7.6 of [Liu21], Condition 3.3 can be reduced to a result on the Gelfand–Kirillov dimension of  $\pi_m[\mathfrak{m}]$  using Theorem B of [GN]. Under standard Taylor–Wiles conditions and mild genericity on  $\bar{\sigma}|_{\text{Gal}_{F_p^+}^{\text{ss}}}$ , the Gelfand–Kirillov dimension of  $\pi_m[\mathfrak{m}]$  is known when  $n = 2$  and  $F_p^+$  is unramified due to [BHHMS20] and [HW20]. However, under the same assumption in [BHHMS20] and [HW20], we already know that  $\pi_m[\mathfrak{m}]$  determines  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$  thanks to [BD14], whose proof is significantly simpler than that of [BHHMS20] and [HW20]. One expects the determination of the Gelfand–Kirillov dimension of  $\pi_m[\mathfrak{m}]$  for general  $n$  and  $F_p^+$  to be a difficult problem, and so is the flatness in Condition 3.3. Concerning the alternative approach generalizing [BD14] (without assuming Condition 3.3), [LLMPQ] shows that  $\pi_m[\mathfrak{m}]$  determines  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$  when  $F_p^+$  is unramified and  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$  is Fontaine–Laffaille (assuming standard Taylor–Wiles conditions and mild genericity on  $\bar{\sigma}|_{\text{Gal}_{F_p^+}^{\text{ss}}}$ ).

*Remark 3.10.* We write  $V_1^*$  for the image of

$$(3.11) \quad H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m[\mathfrak{m}]}) \rightarrow H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m})[\mathfrak{m}]$$

and consider the following condition

$$(3.12) \quad \text{JH}_{\mathbb{F}[\text{Gal}_{F_p^+}]}(V_1^*) = \text{JH}_{\mathbb{F}[\text{Gal}_{F_p^+}]}(\bar{\sigma}|_{\text{Gal}_{F_p^+}}).$$

Then we have the following observations.

- Assuming Condition 3.1, Condition 3.7 and (3.12), we can deduce that  $V_1^*$  determines  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$  up to isomorphism from Proposition 2.9. However, one needs to be careful that  $V_1^*$  *a priori* depends on the structure of  $\pi_m$  rather than  $\pi_m[\mathfrak{m}]$ , and thus the result above for  $V_1^*$  is not sufficient to imply that  $\pi_m[\mathfrak{m}]$  determines  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$  up to isomorphism. Suppose that (3.11) is indeed an embedding, then  $V_1^* \cong H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m[\mathfrak{m}]})$  and thus Proposition 2.9 gives an alternative approach to Theorem 3.8 without showing that  $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m[\mathfrak{m}]})$  is  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$ -typic.
- There are examples in [HW21] (with  $n = 2$ ) such that
  - Condition 3.1, Condition 3.7 and (3.12) hold but Condition 3.3 fails;
  - the map (3.11) is an embedding; and
  - $V_1^* \cong H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m[\mathfrak{m}]})$  is not  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$ -typic.

- (iii) When  $n \geq 3$ , we do not know how to prove (3.12) or to prove that (3.11) is an embedding without using Condition 3.3.

*Remark 3.13.* Let  $\bar{\chi}_1, \bar{\chi}_2$  be two distinct characters  $\text{Gal}_{F_p^+} \rightarrow \mathbb{F}^\times$ ,  $r_1, r_2 \geq 2$  be two integers and assume that  $\bar{\sigma}|_{\text{Gal}_{F_p^+}} \cong \bar{\chi}_1^{\oplus r_1} \oplus \bar{\chi}_2^{\oplus r_2}$  which is not multiplicity free. Then for each infinite dimensional  $\mathbb{F}$ -space  $W$  with trivial  $\text{Gal}_{F_p^+}$ -action, the isomorphism class of the  $\mathbb{F}[\text{Gal}_{F_p^+}]$ -module  $W \otimes_{\mathbb{F}} \bar{\sigma}|_{\text{Gal}_{F_p^+}}$  does not depend on the choice of  $r_1$  and  $r_2$ . In particular, the  $\mathbb{F}[\text{Gal}_{F_p^+}]$ -module  $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m})[\mathfrak{m}]$  cannot determine  $r_1$  and  $r_2$ . In order to prove  $\pi_m[\mathfrak{m}]$  determines  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$  for such  $\bar{\sigma}|_{\text{Gal}_{F_p^+}}$ , we expect the  $D^\times$ -action on  $H_{\text{ét}}^{n-1}(\mathbb{P}_{\mathbb{C}_p}^{n-1}, \mathcal{F}_{\pi_m[\mathfrak{m}]})$  to be essential.

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