

# De Rham Theorem à la Whitney. Part 1.

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## Differential forms on $C^\infty$ compact $n$ -dim manifolds $M$ :

**PofU:**  $\exists \phi_i \in C^\infty(M)$  ,  $\sum_i \phi_i(x) \equiv 1$  ,  $Supp(\phi_i) \subset K_i \Subset V_i \xrightarrow{f_i} R^n$

( $V_i$  coord. nbhds), e.g.  $f_i(K_i) = \{|y| \leq 1\}$  ,  $M = \bigcup_i f_i^{-1}(\{|y| < 1\})$

then  $\psi_i(x) := \exp(1/(|f_i(x)|^2 - 1))$  and  $\phi_i := \psi_i / \sum_i \psi_i$  will do.

**k-forms:**  $\omega \in \Omega^k(M)$  ,  $\omega(p) \in \Lambda^k(T_p M)^*$  , i.e.  $\omega(p) : (T_p M)^k \rightarrow R$

antisymmetric and linear in each  $T_p M$  , e.g.  $d\phi(p) : T_p M \ni v \mapsto \frac{\partial \phi}{\partial v}(p)$  ,

or  $(\omega_1 \wedge \dots \wedge \omega_k)(v_1, \dots, v_k) := \det(\omega_j(v_i))$  for  $\omega_j \in (T_p M)^*$  ,  $v_i \in T_p M$

Smooth  $f : M \rightarrow N$  induce maps  $Df_p : T_p(M) \rightarrow T_{f(p)}(N)$  , e.g. via

Jacobian Matrices in local coordinates, and  $f^* : \Omega^k(N) \rightarrow \Omega^k(M)$  via

$$(f^*\omega)(p)(v_1, \dots, v_k) := \omega(f(p))(Df_p(v_1), \dots, Df_p(v_k)) .$$

For  $U \subset \mathbb{R}^n$  and  $n$ -form  $\omega = g dx_1 \wedge \dots \wedge dx_n$  let  $\int_U \omega := \int_U g dx_1 \dots dx_n$  . For  $f : U \rightarrow M$  and  $\text{Supp}(\omega) \subset f(U)$  we let  $\int_M \omega := \int_U f^*\omega$  , provided that map  $f$  preserves orientation, i.e. linear maps  $Df_p : T_p(U) \rightarrow T_{f(p)}(M)$  send positive frames into positive frames. For an  $n$ -form  $\omega$  on  $M$  let  $\int_M \omega := \sum_{i=1}^k \int_M \phi_i \omega$  .

**Def:**  $O(M) := (\vec{n}(\partial M), O(\partial M))$ , where  $\vec{n}(\partial M)$  is the outward normal to smooth boundary  $\partial M$  of  $M$  , relates orientations of the latter two.

## Stoke's Thm for oriented $M$ with boundary $\partial M$ smooth.

**Theorem:** For an  $(n - 1)$ -form  $\omega$  on  $M$  holds  $\int_M d\omega = \int_{\partial M} \omega$ , where

$d : \omega \mapsto d\omega$  is additive with  $d(gdx_{i_1} \wedge \dots \wedge dx_{i_k}) := dg \wedge dx_{i_1} \wedge \dots \wedge dx_{i_k}$ .

**Ex:**  $\int_{[a,b]} df := \int_a^b f' dt = f(b) - f(a) =: \int_{\partial[a,b]} f$  (Fund. Thm of Calc.)

**Conventions:**  $V$  coord. charts, if  $V \cap \partial M \neq \emptyset$  we choose coord. so that

$\partial M = \{x_1 = 0\}$ ; set  $dx_1 \wedge \dots \wedge \hat{dx}_j \wedge \dots \wedge dx_n := dx_1 \wedge \dots \wedge dx_{j-1} \wedge dx_{j+1} \wedge \dots \wedge dx_n$

**Proof:** WLOG assume that our coordinate charts  $V$  are nbhds of cubes

$Q := \{x_j^0 \leq x_j \leq x_j^1\}_{1 \leq j \leq n}$  with  $x_1^i = i$  and interiors 'covering'  $M \setminus \partial M$ ;

that  $\partial M \cup \text{int}Q \supset \text{Supp}(\omega)$  and  $\omega := g(x)dx_1 \wedge \dots \wedge dx_n$ , with "int"

short for interior. Then  $g|_{x_j=x_j^i} = 0 \forall (j,i) \neq (1,0)$ , where  $i = 1$  or  $0$ ,

and  $d\omega = (-1)^{j-1} \frac{\partial g}{\partial x_j} dx_1 \wedge \dots \wedge dx_n$ . Let  $K := \text{Supp}(\omega)$ .

**Case 1:**  $j \neq 1$  or  $K \subset \text{int}Q$ . Then  $\int_{\partial Q} \omega = 0$  and  $\int_Q d\omega =$

$$\int_Q (-1)^{j-1} \frac{\partial g}{\partial x_j} dx_1 \dots dx_n = (-1)^{j-1} \int_Q \frac{\partial g}{\partial x_j} dx_1 \dots dx_n = 0 \text{ use FundThmCalc.}$$

**Case 2:**  $j = 1$ ,  $K \cap \partial M \neq \emptyset \Rightarrow \omega|_{\partial Q} = g(x)|_{x_1=0} dx_2 \wedge \dots \wedge dx_n$  and

$$\int_Q d\omega = \int_Q \frac{\partial g}{\partial x_1} dx_1 \dots dx_n = - \int_{\{x_1=0\}} g(x) dx_2 \dots dx_n = \int_{\partial Q} \omega. \blacksquare$$

## Poincare Lemma for Contractible Manifolds.

**Poincare Lemma:** Assume  $M$  is contractible, i.e.  $\exists p_0 \in M$  and map

$H : M \times \mathbb{R} \rightarrow M$  s.th.  $H(p, 0) = p_0$ ,  $H(p, 1) = p \forall p \in M$ ;  $\omega \in \Omega^k(M)$ .

Then  $d\omega = 0$  iff  $\exists \beta$  s.th.  $d\beta = \omega$  (in words:  $\omega$  is closed iff it is exact).

**Proof:** With  $\pi : M \times \mathbb{R} \ni (x, t) \mapsto x \in M$ ,  $\omega \in \Omega^k(M)$  set  $\bar{\omega} := H^*\omega$

$= \omega_1 + dt \wedge \eta$ ;  $\omega_1$ ,  $\eta$  having no  $dt \Rightarrow \omega_1|_{t=0} = 0$  and  $\omega_1|_{t=1} = \omega$ .

For  $\{\vec{v}_i\}_{1 \leq i \leq k-1} \subset T_p M$  let  $g(p, t) := \eta(p, t)(\vec{v}_1, \dots, \vec{v}_{k-1})$

and  $I : \Omega^k(M \times \mathbb{R}) \rightarrow \Omega^{k-1}(M)$  s.th.  $(I\bar{\omega})(\vec{v}_1, \dots, \vec{v}_{k-1}) := \int_0^1 g(p, t) dt$ .

**Sublemma:**  $\bar{\omega}|_{t=1} - \bar{\omega}|_{t=0} = d(I\bar{\omega}) + I(d\bar{\omega})$  . **Proof:** Suffices to show

**Case 1:**  $\omega_1 = f dx_{i_1} \wedge \dots \wedge dx_{i_k} \Rightarrow d\omega_1 = \frac{\partial f}{\partial t} dt \wedge dx_{i_1} \dots \wedge dx_{i_k} + d_x \omega_1$  ,

$I(\omega_1) = 0$  and  $I(d\omega_1) = (\int_0^1 \frac{\partial f}{\partial t} dt) dx_{i_1} \wedge \dots \wedge dx_{i_k} = (\omega_1)|_{t=1} - (\omega_1)|_{t=0}$  .

**Case 2:**  $\eta = f dx_{i_1} \wedge \dots \wedge dx_{i_{k-1}} \Rightarrow -I(d(dt \wedge \eta)) = I(dt \wedge d\eta) =$

$\sum_{\alpha} (\int_0^1 \frac{\partial f}{\partial x_{\alpha}} dt) dx_{\alpha} \wedge dx_{i_1} \wedge \dots \wedge dx_{i_{k-1}} = d[(\int_0^1 f dt) dx_{i_1} \wedge \dots \wedge dx_{i_{k-1}}]$

$= d(I(dt \wedge \eta))$  , which completes the proof.

Since  $d\omega = 0 \Rightarrow d\bar{\omega} = 0$  and due to  $\bar{\omega}|_{t=1} = \omega$  and  $\bar{\omega}|_{t=0} = 0$

Poincare Lemma with  $\beta := I\bar{\omega}$  follows from our sublemma. ■

# Simplices, Complexes and Triangulation of Manifolds $M$ .

Simplex  $\sigma = v_0 \dots v_m$ ,  $v_i \in \mathbb{R}^n$  is  $\{x : x = \sum b_i v_i, b_i \geq 0, \sum b_i = 1\}$  .

Face of  $\sigma$  is a simplex spanned by a subset of vertices of  $\sigma$  . Complex  $K$  is

a collection of simplices s.th. 1)  $\sigma \in K \Rightarrow$  faces of  $\sigma$  are in  $K$  ;

2)  $\sigma, \tau \in K \Rightarrow \sigma \cap \tau$  is face of  $\sigma$  and  $\tau$  . Ordering vertices orients  $\sigma$  .

**Fact:** Manifolds admit triangulation  $T(M)$  , i.e.  $\exists$  homeo.  $\pi : K \xrightarrow{\text{onto}} M$

s.th.  $\forall \sigma \in K \exists$  coord. nbhd.  $V \supset \pi(\sigma)$  s.th.  $f^{-1} \circ \pi$  is affine in  $\sigma$  .

$\Sigma_r := \mathbb{R}$ -vec. space of  $r$ -chains  $A = \sum_i a_i \sigma_i^r$  ; cochains  $\in \Sigma^r := \Sigma_r^{\text{dual}}$  .



## Boundaries, Coboundaries, Chain Complexes.

$\partial_{r-1} \sum_i a_i \sigma_i^r := \sum_i a_i \partial_{r-1} \sigma_i^r = \sum_i a_i \sum_{j=0}^r (-1)^j (v_0 \dots \hat{v}_j \dots v_r)$  for  $\sigma_i^r := (v_0 \dots v_r)$ , defines boundaries map  $\partial_{r-1} : \Sigma_r \rightarrow \Sigma_{r-1}$  and  $\partial_{r-1} \circ \partial_r = 0$ .

Coboundaries map  $\partial_{r-1}^* : \Sigma^{r-1} \rightarrow \Sigma^r$  is dual to  $\partial_{r-1}$ . Finally, due to the

Stokes Thm  $Int^r(\omega)(\sum_i a_i \sigma_i^r) := \sum_i a_i \int_{\sigma_i^r} \omega$  defines 'homomorphism' of

complexes  $\Omega^r(M) \xrightarrow{Int^r} \Sigma^r$ , i.e. the following diagram is commutative:

$$\dots \rightarrow \Omega^{k-1}(M) \xrightarrow{d_{k-1}} \Omega^k(M) \xrightarrow{d_k} \Omega^{k+1}(M) \rightarrow \dots$$

$$\downarrow Int^{k-1} \quad \downarrow Int^k \quad \downarrow Int^{k+1}$$

$$\dots \rightarrow \Sigma^{k-1} \xrightarrow{\partial_{k-1}^*} \Sigma^k \xrightarrow{\partial_k^*} \Sigma^{k+1} \rightarrow \dots$$

## Elementary Forms provide a right inverse to $Int^k$ .

**Definition:**  $St(\sigma) = \bigcup_{\tau \in F(\sigma)} int(\tau)$  ,  $F(\sigma) = \text{faces of } \sigma$  .

$O := \{St(q_i)\}$  is open cover of  $M$  ;  $\exists$  part. of unity  $\{\phi_i\}$  subord. to  $O$  .

Let  $[\sigma] \in \Sigma^k$  be the dual basis to the one formed by simplexes  $\sigma \in \Sigma_k$  .

**Thm:**  $\Phi^k[q_{\lambda_0}, \dots, q_{\lambda_k}] := k! \sum_{i=0}^k (-1)^i \phi_{\lambda_i} d\phi_{\lambda_0} \wedge \dots \hat{i} \dots \wedge d\phi_{\lambda_k} \in \Omega^k(M)$

extended to  $\Sigma^k$  as linear satisfies: 1)  $supp(\Phi^k[\sigma]) \subset St(\sigma)$  ;

2)  $\Phi^k \partial^* X = d\Phi^{k-1} X$  ; 3)  $Int^k \circ \Phi^k X = X$  ; 4)  $\Phi^0 I^0 = \mathbf{1}$  ,  $I^0 := \sum_r [q_r]$  .

**Cor:**  $\Omega^\bullet = ker(Int^\bullet) \oplus \Phi^k(\Sigma^\bullet)$  and  $\Phi^k : \Sigma^\bullet \rightarrow \Phi^k(\Sigma^\bullet)$  is an isomorphism.

**Proof:** To begin with  $Supp(\phi_i) \subset St(q_i)$  implies 1) and

$$\Phi^0 I^0 = \Phi^0(\sum_r [q_r]) = \sum_r (\Phi^0([q_r])) = \sum_r \phi_r = \mathbf{1} \text{ implies 4) .}$$

**Suffices to show 2)** for  $X := [\sigma] = [q_{\lambda_0} \dots q_{\lambda_k}]$  . We'll use:

(i)  $\partial^* X = \sum_r^* [q_r \sigma]$  , where the sum  $\sum_r^*$  is over  $q_r$  s.th.  $(q_r \sigma) \in \Sigma_{k+1}$  ;

(ii)  $\forall q_r$  in \*\*, i.e.  $(q_r \sigma) \notin \Sigma_{k+1}$  , holds  $\cap_{0 \leq i \leq k} St(q_{\lambda_i}) \cap St(q_r) = \emptyset$

$\Rightarrow \cap_{0 \leq i \leq k} Supp \phi_{\lambda_i} \cap Supp \phi_{q_r} = \emptyset$  . Both proved in the Appendix.

Of course  $\sum_{i=0}^k d\phi_{\lambda_i} + \sum_{r \neq \lambda_i} \forall i d\phi_r = 0$  , since  $d(\sum \phi_i) = 0$  , and

$d\Phi^k[q_{\lambda_0} \dots q_{\lambda_k}] = (k+1)! d\phi_{\lambda_0} \wedge \dots \wedge d\phi_{\lambda_k}$  . Using all that, we show:

## Elementary Forms: proof of property 2) .

$$\begin{aligned} \frac{1}{(k+1)!} \Phi^{k+1} \partial^* [q_{\lambda_0} \dots q_{\lambda_k}] &= \frac{1}{(k+1)!} \sum_r^* \Phi^{k+1} [q_r q_{\lambda_0} \dots q_{\lambda_k}] = \\ \sum_r^* [\phi_{q_r} d\phi_{\lambda_0} \wedge \dots \wedge d\phi_{\lambda_k} - \sum_{i=0}^k (-1)^i \phi_{\lambda_i} d\phi_{q_r} \wedge d\phi_{\lambda_0} \wedge \dots \hat{i} \dots \wedge d\phi_{\lambda_k}] &= \\ \sum_r^* \phi_{q_r} d\phi_{\lambda_0} \wedge \dots \wedge d\phi_{\lambda_k} + \sum_r^{**} d\phi_{q_r} \wedge \sum_{i=0}^k (-1)^i \phi_{\lambda_i} d\phi_{\lambda_0} \wedge \dots \hat{i} \dots \wedge d\phi_{\lambda_k} + \\ \sum_{j=0}^k d\phi_{\lambda_j} \wedge \sum_{i=0}^k (-1)^i \phi_{\lambda_i} d\phi_{\lambda_0} \wedge \dots \hat{i} \dots \wedge d\phi_{\lambda_k} &= \\ = (\sum_r^* + \sum_r^{**}) \phi_{q_r} d\phi_{\lambda_0} \wedge \dots \wedge d\phi_{\lambda_k} + \sum_{i=0}^k \phi_{\lambda_i} d\phi_{\lambda_0} \wedge \dots \wedge d\phi_{\lambda_k} &= \\ d\phi_{\lambda_0} \wedge \dots \wedge d\phi_{\lambda_k} = \frac{1}{(k+1)!} d\Phi^k [q_{\lambda_0} \dots q_{\lambda_k}] , \text{ which proves 2) .} \end{aligned}$$

### Proof of 3) : Map $\Phi^k$ as a right Inverse to $Int^k$ .

Induction on  $k$  :  $k = 0$  ,  $Int^0(\Phi^0[q_i]) \cdot q_j = (\Phi^0[q_i])(q_j) = \phi_i(q_j) = \delta_{ij}$

(for  $i \neq j$  since  $q_j \notin St(q_i) \Rightarrow \phi_i(q_j) = 0$  , and using  $\sum \phi_i(q_j) = 1$  for

$i = j$ ). When  $k > 0$  :  $\sigma \neq \tau \Rightarrow \tau \subset M \setminus St(\sigma) \Rightarrow Int^k(\Phi^k[\sigma]) \cdot \tau = 0$

using 1) . When  $\sigma = \tau$  let  $\partial\sigma = \alpha + [\text{other } (k-1)\text{-faces of } \sigma]$ . Then

$$\int_{\sigma} \Phi^k[\sigma] = \int_{\sigma} \Phi^k \partial^*[\alpha] = \int_{\sigma} d\Phi^{k-1}[\alpha] = \int_{\partial\sigma} \Phi^{k-1}[\alpha] = \int_{\alpha} \Phi^{k-1}[\alpha] = 1$$

with the last equality due to the inductive assumption, as required.

## Appendix: Proof of $\partial^*[\sigma] = \sum_r^*[q_r\sigma]$ .

It suffices to show that  $\partial^*[\sigma](A) := [\sigma](\partial A) = \sum_r^*[q_r\sigma](A)$  for any

$A \in \Sigma_{k+1}$  . Of course if  $\sigma$  is not one of the faces of  $A$  then

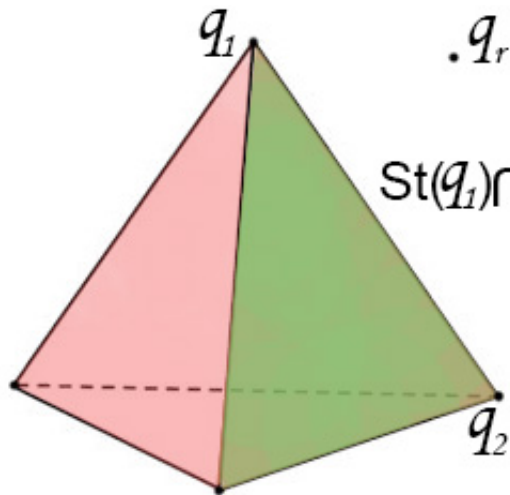
$[\sigma](\partial A) = 0$  and  $\sum_r^*[q_r\sigma](A) = 0$  since then simplex  $A \neq q_r\sigma$  .

Therefore, it suffices to consider  $A = A_s := q_s\sigma \in \Sigma_{k+1}$  .

Then for all  $A_s \in \Sigma_{k+1}$  holds  $[\sigma](\partial A_s) = 1$  and  $\sum_r^*[q_r\sigma](A_s) = 1$  .

Therefore  $\partial^*[\sigma] = \sum_r^*[q_r\sigma]$  , as required.

Appendix: Claim (ii) from 2) of page 11.



$$\text{St}(q_1) \cap \text{St}(q_2) \cap \text{St}(q_r) = \emptyset$$

## Appendix: Proof of (ii) from 2) of page 11.

Assume  $(q_r q_{\lambda_0} \dots q_{\lambda_k}) \notin \Sigma_{k+1}$ . If  $Z := \bigcap_{0 \leq i \leq k} St(q_{\lambda_i}) \cap St(q_r) \neq \emptyset$

then set  $Z$  consists of a union of simplexes (as each  $St(q)$  is) and,

moreover, simplex  $\tau \subset Z$  iff its vertices include all  $q_r, q_{\lambda_0}, \dots, q_{\lambda_k}$  !

But these vertices then span face  $(q_r q_{\lambda_0} \dots q_{\lambda_k})$  of  $\tau$ . By definition of

the complex of triangulation then  $(q_r q_{\lambda_0} \dots q_{\lambda_k}) \in \Sigma_{k+1}$  contrary to our

assumption, i.e.  $Z = \emptyset$  as claimed in (ii). ■

**Denote:**  $\chi(T(M)) := \sum_{k=0}^n (-1)^k \#\{\sigma \in T(M) : \dim \sigma = k\}$ .



## Invariance of $\chi(T(M))$ on triangulation $T(M)$ of $M$ .

**Fact:**  $\ker(\text{Int}^\bullet)$  is acyclic subcomplex of  $\Omega^\bullet$ , i.e.  $\ker(d_k|_{\ker(\text{Int}^k)}) =$

$\text{Im}(d_{k-1}|_{\ker(\text{Int}^{k-1})})$ ,  $\Rightarrow$  via **Cor** (from p.10) that  $\frac{\ker(\partial_k^*)}{\text{Im}(\partial_{k-1}^*)} \cong \frac{\ker(d_k)}{\text{Im}(d_{k-1})}$ .

**Note:**  $\#\{\sigma \in T(M) : \dim \sigma = k\} = \dim_R \Sigma_k = \dim_R \Sigma^k$ .

**Thm:** Euler characteristic  $\chi(M) := \sum_{k=0}^n (-1)^k \dim_R \frac{\ker(d_k)}{\text{Im}(d_{k-1})} = \chi(T(M))$

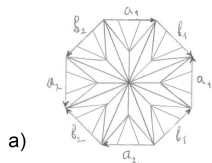
**Corollary:**  $\chi(T(M))$  does not depend on triangulation  $T(M)$  of manifold.

Indeed,  $\dim_R \Sigma^k = \dim_R \text{Im}(\partial_k^*) + \dim_R \frac{\ker(\partial_k^*)}{\text{Im}(\partial_{k-1}^*)} + \dim_R \text{Im}(\partial_{k-1}^*)$ .

Hence  $\chi(M) = \sum_{k=0}^n (-1)^k \dim_R \frac{\ker(\partial_k^*)}{\text{Im}(\partial_{k-1}^*)} = \chi(T(M))$ . ■

# Triangulation of 2-handles:

$$v.= 18 , e.= 60 , t.= 40 \Rightarrow \chi(M) = -2 .$$



$$\begin{aligned} C(2\text{-handles}): g &= 2 \\ v &= 18, e = 60, t = 40 \\ \chi(C) &= -2 = 2 - 2g \end{aligned}$$

