Counting solutions of polynomial systems

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Bezout-type theorems

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Outline

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Warm up example

$$4y^{2} - 4x^{2} + 4y + 8x - 5 = 0 \quad (1) \quad \times 2$$
$$x^{3} - 8y^{2} - 8y + 3 = 0 \quad (2)$$



$$8y^{2} - 8x^{2} + 8y + 16x - 10 = 0$$
$$\frac{x^{3} - 8y^{2} - 8y + 3 = 0}{x^{3} - 8x^{2} + 16x - 7 = 0}$$



plug in solns of x into (2) each $x \Rightarrow$ two solns for y total 6 solutions Painters' perspective Back to counting solutions

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Bézout's Theorem

Theorem (Newton, 1660's (?), Bézout (1779)) Number of solutions of two polynomial curves is at most the product of their degrees.

$$4y^{2} - 4x^{2} + 4y + 8x - 5 = 0 \qquad \Rightarrow \deg = 2$$
$$x^{3} - 8y^{2} - 8y + 3 = 0 \qquad \Rightarrow \deg = 3$$

⇒ Bézout bound for number of solutions is 2 × 3 = 6
 ⇒ Bézout bound is exact!

Example 2: A variation on the warm up problem

$$f := 0.005x^{3} + 4y^{2} - 4x^{2} + 4y + 8x - 5 = 0 \quad (1)$$

$$g := x^{3} - 8y^{2} - 8y + 3 = 0 \quad (2)$$

$$0.01x^{3} + 8y^{2} - 8x^{2} + 8y + 16x - 10 = 0 \quad (1) \times 2$$

$$\frac{x^{3} - 8y^{2} - 8y + 3}{1.01x^{3} - 8x^{2} + 16x - 7} = 0$$



solutions = 6 deg(f) = 3, deg(g) = 3 \Rightarrow Bézout bound is $3 \times 3 = 9$ \Rightarrow Not exact!

Weighted Bézout Theorem

Theorem (known at least since 1970's) Number of solutions of f = 0 and g = 0 is less than or equal to

> wt(f)wt(g) $\overline{\mathrm{wt}(x)\,\mathrm{wt}(y)}$.

 $f = 0.005x^3 + 4y^2 - 4x^2 \mathbf{0.005x^3} + 4y^2 - 4x^2 \mathbf{0.005x^3} + \mathbf{4y^2} - 4x^2 \mathbf{0.005x^3} + \mathbf{0.005x$ $g = x^3 - 8v^2x^3 - 8v^2x^3 - 8v^2x^3 - 8v^2x^3 - 8v^2 - 8v + 3$

Assign different 'weights' to x and y

wt(f) wt(g) wt. Bézout bound $x \mapsto 1, y \mapsto 1$ 3 3 $\frac{3 \times 3}{1 \times 1} = 9 > 6$ $\frac{4 \times 4}{1 \times 2} = 8 > 6$ $\frac{6 \times 6}{2 \times 3} = 6 = 6$

Wt. Bézout bound is exact for weights (3,2)!

Different Perspectives



Perspective: parallel lines meet in a 'vanishing point'

Two point perspective: two vanishing points Counting solutions of polynomial systems

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Painting geometry



Euclidean Geometry: geometry of the 'Euclidean plane' \mathbb{R}^2 .

Projective Geometry: add to \mathbb{R}^2 a 'line at infinity' which consists of vanishing points for all families of parallel lines.

The new space is the 'projective plane' \mathbb{RP}^2 .

Multi-point perspective: every family of parallel line has a distinct vanishing point



Doing the same with the complex plane \mathbb{C}^2 gives rise to the complex projective plane \mathbb{CP}^2 .

Back to counting solutions

Bézout's Theorem:

1.
$$\#\{f = g = 0\} \le \deg(f) \deg(g)$$
.
2. The bound is exact (for complex solutions) if the curves $f = 0$ and $g = 0$ 'approaches' different points at infinity on \mathbb{CP}^2 .

$$g = x^{3} - 8y^{2} - 8y + 3g = x^{3} - 8y^{2} - 8y + 3$$

$$g = 0 \text{ meets the point at infinity on } \mathbb{CP}^{2}$$

cor. to (lines parallel to)

$$f = 4y^{2} - 4x^{2} + 4y + 8x - 5f =$$

$$4y^{2} - 4x^{2} + 4y + 8x - 5$$

$$f = 0 \text{ meets } two \text{ points at infinity on } \mathbb{CP}^{2}: \text{ cor. to } y = x \text{ and } y = -x.$$





Example 2 revisited

Recall Example 2:
 10000

$$f = 0.005x^3 + 4y^2 - 4x^2 + 4y + 8x - 5$$
 5000

 $g = x^3 - 8y^2 - 8y + 3$
 0

 $\#\{f = g = 0\} = 6 < 9 = \deg(f) \deg(g).$
 0

 $g = 0$ meets the point at infinity on \mathbb{CP}^2 cor. to
 -5000

 $f = 0$ meets the same point at infinity on \mathbb{CP}^2 .
 -10000



Weighted Projective Planes

Projective plane $\longleftrightarrow \mathbb{R}^2$ + one point for each family of parallel lines y = mx + c

Weighted projective $\leftrightarrow \mathbb{R}^2$ + one point for each family of planes parallel curves $y^p = mx^q + c \ (p, q \text{ fixed})$



p = 3, q = 2

(We will deal with *complex* wt. projective spaces - just to make life a bit easier!)

Example 2 again

Weighted Bézout Theorem: Consider weights $x \mapsto p$, $y \mapsto q$. 1. $\#\{f = g = 0\} \le \frac{\operatorname{wt}(f) \operatorname{wt}(g)}{pq}$. 2. The bound is exact if the curves f = 0 and g = 0 'approaches' different points at infinity on the cor. weighted projective plane. Example 2: $\#\{f = g = 0\} = 6 = \frac{\operatorname{wt}(f) \operatorname{wt}(g)}{pq}$, p = 2, q = 3. $f = 0.005x^3 + 4y^2 - 4x^2 + 4y + 8x - 50.005x^3 + 4y^2 - 4x^2 + 4y + 8x^3 - 8y^2 - 8y + 3x^3 - 8y^2 - 8y + 3y^3 - 8y^2 - 8y^2 - 8y + 3y^3 - 8y^2 - 8y^$



What if weighted Bézout Theorem does not work?

$$f = x2 - xy + y - 3$$

$$g = x2 - 2xy - y - 4$$

Has one common solution at infinity on \mathbb{CP}^2 . (Can be seen from *leading homogeneous forms*.) Same is true for all weighted homogeneous planes.

For a better bound, consider the Newton polygon of f

Theorem (Kushnirenko, 1970s)

If f and g have the same Newton polygon \mathcal{P} , then $\#\{f = g = 0\} \le 2Area(\mathcal{P}).$





Bernstein's Theorem What if f and g have different Newton polygons? E.g. $f = x^2 - xy + y - 3$, g = xy - 2x - 3y - 4. Theorem (Bernstein, 1975) #{f = g = 0} \leq the mixed area of Newton polygons of f and g. y y y f

NP(g)

Area(NP(g)) = 1

NP(f)

Area(NP(f)) = 1.5

Mixed Area(NP(f), NP(g)) = Area(NP(f) + NP(g)) - Area(NP(f)) - Area(NP(g)) = 3 **Idea:** Adjoin the plane by points at infinity cor. to families of weighted curves coming from every *edge* of NP(f) and NP(g). The new spaces are called *toric varieties*.

NP(f) + NP(g)

Area(NP(f) + NP(g)) = 5.5

Topics related to this talk

- Bezout theorem and weighted Bezout theorem
- Toric varieties, in particular weighted projective spaces
- BKK (Bernstein-Kushnirenko-Khovanskii) theorem
- Roots of polynomials in two variables Puiseux series