

# Chow's Theorem

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February 1, 2012

## Definitions

$X \subset U$ , open in  $\mathbb{C}^n$ , is **analytic** if it is closed and locally the set of zeros of analytic functions  $f_1, \dots, f_k$ .

$Reg(X) = \{x \in X : X \text{ is a manifold around } x\}$ , and

$Sing(X) = X \setminus Reg(X)$ .

$X \subset U$ , is **\*-analytic** if :  $X = X^{(r)} \cup X^{(r-1)} \cup \dots \cup X^{(0)}$

with  $X^{(i)}$  a complex submanifold of  $U$  of dimension  $i$  and

$\overline{X^{(i)}} \subset X^{(i)} \cup \dots \cup X^{(0)}$ .

## Preliminary Facts:

**Thm 1.**  $X$  analytic  $\Rightarrow$   $Sing(X)$  analytic and

$$\dim(Sing(X)) < \dim(X).$$

**Cor.**  $X$  analytic  $\Rightarrow X$  is  $*$ -analytic.

## Main Results

**Thm 2.**  $X$  is  $*$ -analytic  $\Rightarrow X$  is analytic.

**Cor.**  $X \subset \mathbb{P}^n$  is  $*$ -analytic  $\Rightarrow X$  is algebraic.

**Proof.** Let  $\pi : \mathbb{C}^{n+1} \setminus \{0\} \rightarrow \mathbb{P}^n$  the canonical map. Then

$Z = \pi^{-1}(X) \cup \{0\}$  is  $*$ -analytic  $\Rightarrow Z$  is analytic. Write near 0

$$Z = V(f_1, \dots, f_k). \text{ So } f_j = \sum_{r \geq 1} \sum_{|\alpha|=r} c_\alpha^{(j)} x^\alpha = \sum_r f_{j,r} = 0$$

$$\Rightarrow f_j(\lambda x) = \sum_r \lambda^r f_{j,r}(x) = 0, \forall |\lambda| < 1 \Rightarrow X = V(\dots, f_{j,r}, \dots). \quad \square$$

**Note:** From now on all  $U$ 's and  $V$ 's are open in ....

**Proof of Thm 2 via repeated**

**Inductive Step:** Let  $X = X^{(r)} \cup X' \subset U \subset \mathbb{C}^n$  s.th.  $X^{(r)}$  is an  $r$ -manifold ( $r$ -dimensional manifold);  $\overline{X^{(r)}} \subset X$ ;  $X'$  analytic, \*-analytic and  $\dim(X') < r \Rightarrow \overline{X^{(r)}}$  is analytic.

**Proposition A.**  $p : \mathbb{C}^{n+k} \rightarrow \mathbb{C}^n$  linear projection.  $X \subset U \subset \mathbb{C}^{n+k}$  analytic,  $V \subset \mathbb{C}^n$  with  $p|_X : X \rightarrow V$  proper  $\Rightarrow p(X)$  is analytic in  $V$  and  $p|_X$  is finite-to-one.

**Proof.** Reduce to  $k=1$ . Factor  $p$  :

$$\mathbb{C}^{n+k} \xrightarrow{p_1} \mathbb{C}^{n+k-1} \xrightarrow{p_2} \mathbb{C}^n$$

Then  $p|_X$  proper  $\Rightarrow p_1|_X : X \rightarrow U_1 := p_1(U)$  is proper

$\Rightarrow X_1 = p_1(X)$  is analytic in  $U_1 \dots$

For  $k=1$  : Let  $y \in V$  then  $X \cap p^{-1}(y)$  compact and analytic in

$U \cap p^{-1}(y) \Rightarrow X \cap p^{-1}(y) = \{x_1, \dots, x_l\} \Rightarrow \exists U_1, \dots, U_k$  open,

disjoint, with  $x_j \in U_j$  and  $W \subset V$  open s.th.

$X \cap p^{-1}(W) \subset U_1 \cup \dots \cup U_k.$

It suffices to show that  $p(X \cap p^{-1}(W) \cap U_i)$  is analytic. We may

assume WLOG that  $y = 0$  and  $p^{-1}(0) = \{0\}.$

Let  $X = V(f_1, \dots, f_k)$  near 0. By *Weierstrass Prep. Thm* we

may assume :  $f_1 = z_{n+1}^d + a_1 z_{n+1}^{d-1} + \dots + a_d$  ,

$f_i = b_{1,i} z_{n+1}^{d-1} + \dots + b_{d,i}$  , for  $i \geq 2$ .

With  $a_j, b_{j,i} \in \mathbb{C}\{z_1, \dots, z_n\}$  ,  $a_j(0) = 0$  .

$\text{Res}(f_1, t_2 f_2 + \dots + t_k f_k) =: \sum_{|\alpha|=d} t^\alpha R_\alpha$  with  $R_\alpha \in \mathbb{C}\{z_1, \dots, z_n\}$ .

**Claim.**  $p(X) = V(\{R_\alpha\})$ .

$(z_1, \dots, z_n) \in p(X) \Rightarrow \sum_{|\alpha|=d} t^\alpha R_\alpha(z_1, \dots, z_n) = 0, \forall t \in \mathbb{C}^{k-1}$

$\Rightarrow R_\alpha(z_1, \dots, z_n) = 0, \forall \alpha$  .

$(z_1, \dots, z_n) \in V(\{R_\alpha\}) \Rightarrow W_1 \cup \dots \cup W_d = \mathbb{C}^{k-1}$ , where

$W_j := \{t \in \mathbb{C}^{k-1}, \sum t_i f_i(z_1, \dots, z_n, A_j) = 0\}$ , provided  $\{A_j\}$  is a

root of  $f_1(z_1, \dots, z_n, z) \Rightarrow \exists j_0, W_{j_0} = \mathbb{C}^{k-1}$

$\Rightarrow (z_1, \dots, z_n, A_{j_0}) \in X$ .  $\square$

**Our case:**  $X_0 \cup X_1 \subset U \subset \mathbb{C}^n$ ,  $X_1$  analytic in  $U$  and  $X_0$  analytic in  $U \setminus X_1$ . We may assume  $X_0 \cup X_1 \neq U$  and  $0 \in X_1$ .

**Lemma** We may shrink  $U$  so that  $\exists L \subset \mathbb{C}^n$  a line with  $0 \in L$  s.th.

(i)  $X_1 \cap L = \{0\}$  .

(ii)  $X_0 \cap L$  is a set of discrete points with only possible limit point 0 .

**Cor 1.**  $(X_0 \cup X_1) \cap L$  is compact

**Cor 2.** We may shrink  $U$  so that the projection  $p|_{X_0 \cup X_1}$  along  $L$  is proper (*Mumford's Lemma*).

## Proof.

(i)  $X_1 \cap L$  is analytic in  $U \cap L$ , so 0 is isolated in  $U \cap L$ .

Remains to shrink  $U$ .

(ii)  $X_0 \cap L$  is analytic in  $(U \setminus X_1) \cap L$ , so similarly it suffices to shrink  $U$ .

**Proposition A + Induction**  $\Rightarrow$  we can find a projection

$p : \mathbb{C}^n \rightarrow \mathbb{C}^m$  s.th.  $V := p(X_0 \cup X_1)$  is an open nbhd of 0,

$p|_{X_0 \cup X_1}$  is proper and  $p|_{X_0 \setminus p^{-1}(p(X_1))}$  is finite-to-one.

Then  $m = \dim(X_0)$  using Sard's Lemma.

**In notation of inductive step (page 4):**

$X = X^{(r)} \cup X'$  ( $m = r$ ), and  $Y := p(X') \subset V := p(X)$ .

$$\begin{array}{ccccc} X^{(r)} \setminus p^{-1}(Y) & \subset & X & \supset & X' \\ q \downarrow & & \downarrow p & & \downarrow \\ V \setminus Y & \subset & V & \supset & p(X') = Y \end{array}$$

Note that  $V \setminus Y$  is open and dense in  $V$  and that if  $V$  is a ball

then it is connected (since  $\dim_{\mathbb{C}}(Y) < \dim_{\mathbb{C}}(V)$ ).

Similarly  $X^{(r)} \setminus p^{-1}(Y)$  is open and dense in  $X^{(r)}$ .

$q := p|_{X^{(r)} \setminus p^{-1}(Y)}$  is proper and finite-to-one, between two  $r$ -manifold.

Let  $J$  be the jacobian of  $q$ . Then  $A = J^{-1}(0)$  is a closed analytic subset of  $X^{(r)} \setminus p^{-1}(Y)$

$\Rightarrow B := q(A)$  is an analytic subset of  $V \setminus Y$ , by proposition A.

By Sard's thm,  $\tilde{V} := V \setminus (B \cup Y)$  is open, dense in  $V$ . Also  $\tilde{V}$  is connected ( $\dim_{\mathbb{C}}(B \cup Y) < \dim_{\mathbb{C}}(V)$ ).

Similarly  $X^{\tilde{r}} = X^{(r)} \setminus p^{-1}(B \cup Y)$  is open and dense in  $X^{(r)}$ .

So  $s := q|_{X(\tilde{r})}$  is a proper, finite-to-one local diffeomorphism onto a connected  $\tilde{V} \subset \mathbb{C}^n$ .

## Definition of the functions:

- (i)  $d$  the number of sheets of the covering  $s$  ;
- (ii)  $\mathcal{L}$  a linear function on  $\mathbb{C}^n$  ;

Let  $\sigma_i$  be the  $i$ -th symmetric function, so that for

$$\lambda_1, \dots, \lambda_d \in \mathbb{C} ;$$

$$\prod_i (z - \lambda_i) = z^d + \sum_i \sigma_i(\lambda_1, \dots, \lambda_d) z^{d-i}.$$

- (iii) for  $y \in \tilde{V}$ , let  $s^{-1}(y) = \{x_1, \dots, x_d\}$  and define

$$a_i(y) := \sigma_i(\mathcal{L}(x_1), \dots, \mathcal{L}(x_d)) ;$$

(iv) for  $y \in V \exists$  a nbhd  $W$  s.th.  $a_j$  is bounded on  $\tilde{V} \cap W$

$\Rightarrow$  we may extend  $a_j$  as  $\mathbb{C}$ -analytic functions on  $V$ , by

*Riemann Extension Thm.*

(v)  $F_{\mathcal{L}}(x) := \mathcal{L}(x)^d + a_1(p(x))\mathcal{L}(x)^{d-1} + \dots + a_d(p(x))$  on  $\rho^{-1}(V)$ .

## End of Proof of Thm 2.

**Step 1.**  $F_{\mathcal{L}} \equiv 0$  on  $X^{(r)}$   $\Rightarrow F_{\mathcal{L}} \equiv 0$  on  $\overline{X^{(r)}} \Rightarrow \overline{X^{(r)}} \subset \cap_{\mathcal{L}} V(F_{\mathcal{L}})$ .

**Step 2.** For  $x \in p^{-1}(V) \setminus \overline{X^{(r)}} \subset \mathbb{C}^n$ , let  $y = p(x)$ , and  $y_k \in \tilde{V}$  s.th.  $\lim y_k = y$  ( $\tilde{V}$  dense in  $V$ ).

Let  $s^{-1}(y_k) = \{x_k^1, \dots, x_k^d\}$ . Since  $p : \overline{X^{(r)}} \rightarrow V$  is proper we may assume, by choosing a subsequence, that  $x_k^j \rightarrow x^j \in \overline{X^{(r)}}$ .

Since  $x \notin \overline{X^{(r)}}$ ,  $\exists \mathcal{L} \in (\mathbb{C}^n)^*$  s.th.  $\forall j$  we have  $\mathcal{L}(x) \neq \mathcal{L}(x^j)$

$\Rightarrow F_{\mathcal{L}}(x) \neq 0 \Rightarrow \overline{X^{(r)}} = \bigcap_{\mathcal{L}} V(F_{\mathcal{L}}) . \square$

**Main Thm is proved.**

## Appendix

**Resultant** Let  $R$  be a ring. For  $k \in \mathbb{N}$  let

$$R_k[X] = \{P \in R[X], \deg(P) \leq k\}.$$

Then for  $P, Q \in R[X]$  with degrees  $p$  and  $q$  consider the map :

$$\begin{aligned} \Phi_{P,Q} : R_{q-1}[X] \times R_{p-1}[X] &\longrightarrow R_{p+q-1}[X] \\ (S, T) &\longmapsto PS + QT \end{aligned}$$

Then  $\text{Res}(P, Q) := \det(\phi_{P,Q})$ .

**Claim.** If  $\text{Res}(P, Q) = 0$  then  $P$  and  $Q$  have a common root.

**Hilbert Basis Theorem.** If  $R$  is a noetherian ring then for

$n \geq 1$ ,  $R[X_1, \dots, X_n]$  is also noetherian.

**Weierstrass Preparation Theorem.**  $f \in \mathbb{C}\{X_1, \dots, X_n\}$  with

$f(0, \dots, 0, X_n) = \alpha X_n^d + \text{higher terms}$ ,  $\alpha \neq 0$ .

$\Rightarrow \exists! u \in \mathbb{C}\{X_1, \dots, X_n\}$ ,  $a_i \in \mathbb{C}\{X_1, \dots, X_{n-1}\}$  s.t. :

$u(0) \neq 0$ ,  $a_i(0) = 0$  and  $f = u(X_{n+1}^d + a_1 X_{n+1}^{d-1} + \dots + a_d)$ .

Moreover  $g \in \mathbb{C}\{X_1, \dots, X_n\} \Rightarrow \exists! h \in \mathbb{C}\{X_1, \dots, X_n\}$ ,  $b_i \in$

$\mathbb{C}\{X_1, \dots, X_{n-1}\}$  s.t. :  $g = hf + (b_1 X_n^{d-1} + \dots + b_d)$ .

**Riemann Extension Theorem.**  $X \subset U \subset \mathbb{C}^n$  an analytic set

and  $f$  analytic on  $U \setminus X$ , bounded in a nbhd of  $x$ ,  $\forall x \in X$

$\Rightarrow f$  extends to an analytic function on  $U$ .

**Mumford's Lemma.**  $f : X \rightarrow Y$  continuous between locally

compact top. spaces.  $y \in Y$  s.t.  $f^{-1}(Y)$  is compact.

$\Rightarrow \exists U$  and  $V$  open with  $f(U) \subset V$  and  $f : U \rightarrow V$  proper.