

# The de Rham Theorem

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# The de Rham complex

## Review.

Let  $M^n$  be a smooth, oriented, triangulated  $n$ -manifold.

$$\begin{array}{ccccccc}
 \dots \longrightarrow & \Omega^{k-1}(M) & \xrightarrow{d_{k-1}} & \Omega^k(M) & \xrightarrow{d_k} & \Omega^{k+1}(M) & \longrightarrow \dots & \text{de Rham cochain complex} \\
 & \downarrow \text{Int}^{k-1} & \circlearrowleft & \downarrow \text{Int}^k & \circlearrowleft & \downarrow \text{Int}^{k+1} & & \\
 \dots \longrightarrow & \Sigma_{k-1}^* & \xrightarrow{\partial_{k-1}^*} & \Sigma_k^* & \xrightarrow{\partial_k^*} & \Sigma_{k+1}^* & \longrightarrow \dots & \text{simplicial cochain complex}
 \end{array}$$

$\text{Int}^\bullet : \Omega^\bullet(M) \longrightarrow \Sigma_\bullet^*$  is a *morphism of cochain complexes*.

## Theorem 1 (Elementary forms)

$\text{Int}^\bullet$  admits a *right inverse*, i.e.  $\exists \Phi^\bullet : \Sigma_\bullet^* \longrightarrow \Omega^\bullet(M)$  morphism of cochain complexes such that

$$\text{Int}^k \circ \Phi^k = \text{id}_{\Sigma_k^*} \quad \forall k.$$

# The de Rham cohomology

## Definition.

$$\begin{aligned} H^k(M) &:= \ker d_k / \operatorname{im} d_{k-1} && k^{\text{th}} \text{ de Rham cohomology group} \\ H^k(\Sigma) &:= \ker \partial_k^* / \operatorname{im} \partial_{k-1}^* && k^{\text{th}} \text{ cohomology group of } \Sigma_\bullet \end{aligned}$$

**Remark.** As a morphism of cochain complexes,  $\operatorname{Int}^k : \Omega^k(M) \longrightarrow \Sigma_\bullet^*$  induces a well-defined homomorphism

$$[\operatorname{Int}^k] : H^k(M) \longrightarrow H^k(\Sigma) \quad \forall k.$$

**Remark.**  $\ker(\operatorname{Int}^\bullet)$  is a subcomplex of the de Rham cochain complex.

## Lemma 1

The subcomplex  $\ker(\operatorname{Int}^\bullet)$  is acyclic, i.e.  $H^k(\ker(\operatorname{Int}^\bullet)) = 0 \quad \forall k$ .

**Claim.** Lemma 1 is equivalent to

## Lemma 1\*

Let  $\omega \in \Omega^k(M)$  be closed and  $A \in \Sigma_{k-1}^*$  such that  $\operatorname{Int}^k \omega = \partial_{k-1}^* A$ .  
Then  $\exists \alpha \in \Omega^{k-1}(M)$  such that  $d_{k-1} \alpha = \omega$  and  $\operatorname{Int}^{k-1} \alpha = A$ .

*Proof of Claim.* Assume that *Lemma 1* holds and that  $d_k \omega = 0$ ,  $\text{Int}^k \omega = \partial_{k-1}^* A$ . Then

$$\text{Int}^k(d_{k-1}(\Phi^{k-1}A)) = \partial_{k-1}^*(\text{Int}^{k-1}(\Phi^{k-1}A)) = \partial_{k-1}^* A = \text{Int}^k \omega.$$

Setting

$$\beta := d_{k-1}(\Phi^{k-1}A) - \omega \in \ker(\text{Int}^k) \cap \ker d_k,$$

we obtain,

$$[\beta] \in H^k(\ker(\text{Int}^\bullet)).$$

*Lemma 1* implies that  $[\beta] = 0$  and therefore,  $\exists \gamma \in \ker(\text{Int}^{k-1})$  with  $d_{k-1}\gamma = \beta$ .

Hence,

$$\omega = d_{k-1}(\Phi^{k-1}A - \gamma)$$

and, by setting  $\alpha = \Phi^{k-1}A - \gamma$ , we obtain

$$\text{Int}^{k-1} \alpha = \text{Int}^{k-1}(\Phi^{k-1}A) - \text{Int}^{k-1} \gamma = A.$$

Assume now that *Lemma 1\** is true and let  $[\omega] \in H^k(\ker(\text{Int}^\bullet))$ , i.e.  $\omega \in \ker(\text{Int}^k) \cap \ker d_k$ . Then by *Lemma 1\**,  $\exists \alpha$  such that  $d_{k-1}\alpha = \omega$  and  $\alpha \in \ker(\text{Int}^{k-1})$ . It follows that  $[\omega] = 0$ .  $\square$

# The de Rham Theorem

## Theorem 2 (de Rham)

$[Int^k] : H^k(M) \longrightarrow H^k(\Sigma)$  is an *isomorphism*  $\forall k$ .

*Proof.*

i)  $[Int^k]$  is *surjective*:

Let  $[A] \in H^k(\Sigma)$ . Set  $\omega := \Phi^k A \in \Omega^k(M)$ . Since  $d_k \omega = \Phi^{k+1} \partial_k^* A = 0$ ,  $[\omega] \in H^k(M)$ .  
Also,  $[Int^k][\omega] = [Int^k \omega] = [Int^k \Phi^k A] = [A]$ .

ii)  $[Int^k]$  is *injective*:

Let  $[\omega] \in \ker([Int^k])$ . Then  $d_k \omega = 0$  and  $[Int^k \omega] = [Int^k][\omega] = 0$ , i.e.  $Int^k \omega \in \text{im } \partial_{k-1}^*$ .  
*Lemma 1\** implies that  $\omega$  is exact and thus,  $[\omega] = 0$ .  $\square$

Thus, for completing the proof of *de Rham's* theorem, it remains to show that  $\ker(Int^\bullet)$  is acyclic.

For that, we need the following two lemmas:

## Lemma 2 (Closed forms in star-shaped sets)

Let  $S$  be an open and *star-shaped* set in  $\mathbb{R}^n$  and let  $\omega$  be a closed  $k$ -form in  $S$ ,  $k > 0$ . Then  $\omega$  is exact.

*Proof.* Follows immediately from *Poincaré's Lemma*, which we proved last time.

## Lemma 3 (Extension of forms)

(a<sub>k</sub>) Let  $\omega$  be a closed  $k$ -form near  $\partial\sigma$ , where  $\sigma = \sigma^s$  is an  $s$ -simplex in  $\mathbb{R}^n$ ,  $k \geq 0$ ,  $s \geq 1$ . Suppose that

$$\int_{\partial\sigma} \omega = 0 \quad \text{if } s = k + 1. \quad (1)$$

Then there is a closed  $k$ -form  $\tilde{\omega}$  near  $\sigma$  which extends  $\omega$ .

(b<sub>k</sub>) Let  $\omega$  be a closed  $k$ -form near the  $s$ -simplex  $\sigma = \sigma^s \subset \mathbb{R}^n$ ,  $k \geq 1$ ,  $s \geq 1$ , and let  $\alpha$  be a  $(k-1)$ -form near  $\partial\sigma$  such that  $d\alpha = \omega$  near  $\partial\sigma$ .

Suppose that

$$\int_{\partial\sigma} \alpha = \int_{\sigma} \omega \quad \text{if } s = k. \quad (2)$$

Then there is a  $(k-1)$ -form  $\tilde{\alpha}$  near  $\sigma$  such that  $\tilde{\alpha}$  extends  $\alpha$  and  $d\tilde{\alpha} = \omega$  near  $\sigma$ .

*Proof by induction on  $k$ .* We will show

- i)  $(a_0)$  holds
- ii)  $(a_{k-1}) \Rightarrow (b_k)$
- iii)  $(b_k) \Rightarrow (a_k), k > 0$

i) Being a closed 0-form,  $\omega$  is constant near any connected part of  $\partial\sigma$ .

If  $s > 1$ , then  $\partial\sigma^s$  is connected and  $\omega$  equals a constant  $c$  near  $\partial\sigma$ . Set  $\tilde{\omega} = c$  near  $\sigma$ .

If  $s = 1$ , and say  $\sigma^1 = p_0p_1$ , then

$$\omega(p_1) - \omega(p_0) = \int_{\partial\sigma} \omega = 0,$$

by (1), and thus,  $\omega$  equals a constant  $c$  near  $\partial\sigma$ . Set  $\tilde{\omega} = c$  near  $\sigma$ .

ii) Assume  $(a_{k-1})$  holds and let  $\omega, \alpha$  be as in  $(b_k)$ .

By choosing a star-shaped neighborhood of  $\sigma$  and applying *Lemma 2*, there exists a  $(k-1)$ -form  $\alpha'$  near  $\sigma$  such that  $d\alpha' = \omega$  near  $\sigma$ .

Set  $\beta = \alpha - \alpha'$  near  $\partial\sigma$  and observe that  $d\beta = \omega - \omega = 0$ .

Notice that, if  $s = k$ , (2) and *Stokes' Theorem* imply

$$\int_{\partial\sigma} \beta = \int_{\partial\sigma} \alpha - \int_{\partial\sigma} \alpha' = \int_{\sigma} \omega - \int_{\sigma} d\alpha' = 0.$$

By applying  $(a_{k-1})$ , we can extend  $\beta$  to  $\tilde{\beta}$ , which is defined near  $\sigma$  and closed. Setting  $\tilde{\alpha} = \alpha' + \tilde{\beta}$  near  $\sigma$ , we obtain that  $\tilde{\alpha}$  extends  $\alpha$  and  $d\tilde{\alpha} = \omega$  near  $\sigma$  as we wished.

iii) Assume  $(b_k)$ ,  $k > 0$ , holds and let  $\omega$  be as in  $(a_k)$ .

Say  $\sigma = p_0 \dots p_s$  and set  $\sigma' = p_1 \dots p_s$ . Let  $\mathcal{P}$  be the union of all proper faces of  $\sigma$  with  $p_0$  as a vertex.

Choose now  $\epsilon > 0$  small enough such that  $\omega$  is defined in the  $\epsilon$ -neighborhood  $U_\epsilon(\mathcal{P})$  of  $\mathcal{P}$ . Since  $U_\epsilon(\mathcal{P})$  is star-shaped, by *Lemma 2*, there exists a  $(k-1)$ -form  $\alpha'$  in  $U_\epsilon(\mathcal{P})$  such that  $d\alpha' = \omega$  in  $U_\epsilon(\mathcal{P})$ .

We have, in particular,  $d\alpha' = \omega$  near  $\partial\sigma'$ .



If  $s = k + 1$ , setting  $A = \partial\sigma - \sigma'$ , we obtain  $\partial A = -\partial\sigma'$  and

$$\int_{\sigma'} \omega - \int_{\partial\sigma'} \alpha' = \int_{\sigma'} \omega + \int_{\partial A} \alpha' = \int_{\sigma'} \omega + \int_A d\alpha' = \int_{\partial\sigma} \omega = 0$$

by (1). We can now apply  $(b_k)$  and extend  $\alpha'$  to  $\tilde{\alpha}'$  near  $\sigma'$  such that  $d\tilde{\alpha}' = \omega$  near  $\sigma'$ . It follows that there is a neighborhood  $\tilde{U}$  of  $\partial\sigma'$  in which  $\alpha'$  and  $\tilde{\alpha}'$  are defined and equal. Set

$$\alpha = \begin{cases} \alpha'|_{\tilde{U}} = \tilde{\alpha}'|_{\tilde{U}} & \text{in } \tilde{U} \\ \alpha' & \text{near } \mathcal{P} \setminus \tilde{U} \\ \tilde{\alpha}' & \text{near } \sigma' \setminus \tilde{U} \end{cases}$$

Observe that  $d\alpha = \omega$  near  $\partial\sigma$ . By means of a partition of unity extend  $\alpha$  to  $\tilde{\alpha}$  near  $\sigma$ .  $\tilde{\omega} := d\tilde{\alpha}$  satisfies the required properties. □

**Definition.** Let  $L^s$  denote the  $s$ -dimensional part of the triangulation of  $M$ , that is  $L^s = \bigcup_i \sigma_i^s$ .

*Proof of Lemma 1\*.* We will define  $\alpha_0, \dots, \alpha_n$  such that

- (a)  $\alpha_s$  is defined near  $L^s$ ,  $s = 0, 1, \dots, n$ ,
- (b)  $d\alpha_s = \omega$  near  $L^s$ , and  $\alpha_s = \alpha_{s-1}$  near  $L^{s-1}$ ,  $s > 0$ , and
- (c)  $\text{Int } \alpha_{k-1} = A$ .

Then,  $\alpha := \alpha_n$  is the required form.

Construct  $\alpha_s$ ,  $s = 0, 1, \dots, n$ , by induction on  $s$ :

By *Lemma 2*, there exists an  $\alpha'_0$  near each vertex  $q_i$  such that  $d\alpha'_0 = \omega$ .

If  $k > 1$ , set  $\alpha_0 = \alpha'_0$ .

If  $k = 1$ , for each vertex  $q_i$  choose a number  $b_i$  such that, setting  $\alpha_0 = \alpha'_0 + b_i$  near  $q_i$ ,

$\text{Int } \alpha_0 = A$ .

Now suppose  $\alpha_{s-1}$  has been constructed.

We will define  $\alpha_s$  near each  $s$ -simplex such that (a), (b) and (c) hold. Since  $\alpha_s$  is then fixed near  $L^{s-1}$ , we obtain a well-defined  $\alpha_s$  near  $L^s$ .

Let  $\sigma$  be an  $s$ -simplex. Then  $d\alpha_{s-1} = \omega$  near  $\partial\sigma$ , by construction.

If  $s = k$ , by (c),

$$\int_{\partial\sigma} \alpha_{k-1} = \text{Int } \alpha_{k-1} \cdot \partial\sigma = A \cdot \partial\sigma = \partial^* A \cdot \sigma = \text{Int } \omega \cdot \sigma = \int_{\sigma} \omega.$$

Since we can assume that  $M$  is embedded in  $\mathbb{R}^N$  for some  $N \in \mathbb{N}$ , we can now apply *Lemma 3*. It gives us a  $(k-1)$ -form  $\tilde{\alpha}_s$  near  $\sigma$  such that  $\tilde{\alpha}_s = \alpha_{s-1}$  near  $\partial\sigma$  and  $d\tilde{\alpha}_s = \omega$  near  $\sigma$ .

So (b) holds for  $\tilde{\alpha}_s$ .

If  $s \neq k - 1$ , set  $\alpha_s = \tilde{\alpha}_s$  near  $\sigma$ .

If  $s = k - 1$ , define  $B = A - \text{Int } \tilde{\alpha}_{k-1}$  and set

$$\alpha_{k-1} = \tilde{\alpha}_{k-1} + \Phi B \quad \text{near } L^{k-1}.$$

To see that  $\alpha_{k-1}$  satisfies (b), recall  $\text{Supp}(\Phi\rho^*) \subset \text{St}(\rho)$  for each simplex  $\rho$ . It follows that  $\alpha_{k-1} = \tilde{\alpha}_{k-1}$  near  $L^{k-2}$  and thus,  $\alpha_{k-1} = \alpha_{k-2}$  near  $L^{k-2}$ .

Also,

$$d\alpha_{k-1} = d\tilde{\alpha}_{k-1} + d\Phi B = d\tilde{\alpha}_{k-1} + \Phi\partial^* B = \omega \quad \text{near } L^{k-1}.$$

Since

$$\text{Int } \alpha_{k-1} = \text{Int } \tilde{\alpha}_{k-1} + B = A,$$

(c) holds and  $\alpha_s = \alpha_{k-1}$  is as we wished. □

## An example: The Euler characteristic

**Definition.** Let  $M^n$  be a manifold. The *Euler characteristic*  $\chi$  of  $M$  is the alternating sum

$$\chi(M) = \sum_{k=0}^n (-1)^k \dim_{\mathbb{R}} H^k(\Omega).$$

The *de Rham Theorem* tells us that, no matter which triangulation we pick, the Euler characteristic equals the following:

$$\chi(M) = \sum_{k=0}^n (-1)^k \dim_{\mathbb{R}} H^k(\Sigma),$$

where

$$0 \longrightarrow \Sigma_0^* \xrightarrow{\partial_0^*} \Sigma_1^* \xrightarrow{\partial_1^*} \dots \xrightarrow{\partial_{n-2}^*} \Sigma_{n-1}^* \xrightarrow{\partial_{n-1}^*} \Sigma_n^* \longrightarrow 0$$

is the simplicial cochain complex according to the chosen triangulation of  $M^n$ .

Using

$$\dim_{\mathbb{R}} H^k(\Sigma) = \dim_{\mathbb{R}} \ker \partial_k^* - \dim_{\mathbb{R}} \operatorname{im} \partial_{k-1}^* \quad \text{and} \quad \dim_{\mathbb{R}} \Sigma_k^* = \dim_{\mathbb{R}} \ker \partial_k^* + \dim_{\mathbb{R}} \operatorname{im} \partial_k^*,$$

we finally obtain

$$\chi(M) = \sum_{k=0}^n (-1)^k \dim_{\mathbb{R}} \Sigma_k^*,$$

that is simply the alternating sum of the number of the  $k$ -dimensional faces,  $k = 0, 1, \dots, n$ .

### Example 1. 2-Sphere



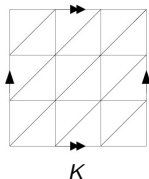
We can use a tetrahedron  $T$  to triangulate  $S^2$ .

Then

$$\begin{aligned}\chi(S^2) &= \text{number of vertices of } T - \text{number of edges of } T + \text{number of faces of } T \\ &= 4 - 6 + 4 = 2.\end{aligned}$$

### Example 2. 2-Torus

Triangulate the torus in the following way:



$$\begin{aligned}\chi(\mathbb{T}^2) &= \text{number of vertices of } K - \text{number of edges of } K + \text{number of faces of } K \\ &= 9 - 27 + 18 = 0\end{aligned}$$