An entropic tour of the Mandelbrot set

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1. Topological entropy



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2. External rays

- 1. Topological entropy
- 2. External rays
- 3. Main theorem, real version

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4. Complex version

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- 2. External rays
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- 4. Complex version
- 5. Sketch of proof (maybe)

- 1. Topological entropy
- 2. External rays
- 3. Main theorem, real version
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- 5. Sketch of proof (maybe)
- 6. Remarks and conjectures

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Let $f : I \rightarrow I$, continuous.

$$h_{top}(f,\mathbb{R}) := \lim_{n \to \infty} \frac{\log \#\{ \operatorname{laps}(f^n) \}}{n}$$

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Entropy measures the randomness of the dynamics.

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$$h_{top}(f,\mathbb{R}) := \lim_{n \to \infty} \frac{\log \#\{ \operatorname{laps}(f^n) \}}{n}$$

Consider the real quadratic family

$$f_c(z) := z^2 + c$$
 $c \in [-2, 1/4]$

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How does entropy change with the parameter c?

is continuous

▶ is continuous and monotone (Milnor-Thurston, 1977).

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▶ $0 \le h_{top}(f_c, \mathbb{R}) \le \log 2.$

- ▶ is continuous and monotone (Milnor-Thurston, 1977).
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<u>Remark.</u> If we consider $f_c : \hat{\mathbb{C}} \to \hat{\mathbb{C}}$ entropy is constant $\overline{h_{top}(f_c, \hat{\mathbb{C}})} = \log 2$.

Mandelbrot set

The Mandelbrot set ${\mathcal{M}}$ is the connectedness locus of the quadratic family

$$\mathcal{M} = \{ oldsymbol{c} \in \mathbb{C} \; : \; f^n_{oldsymbol{c}}(\mathbf{0})
arrow \infty \}$$



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Since $\hat{\mathbb{C}}\setminus\mathcal{M}$ is simply-connected, it can be uniformized by the exterior of the unit disk

$$\Phi_{\mathcal{M}}: \hat{\mathbb{C}} \setminus \overline{\mathbb{D}} \to \hat{\mathbb{C}} \setminus \mathcal{M}$$

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The images of radial arcs in the disk are called external rays. Every angle $\theta \in S^1$ determines an external ray

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$$\boldsymbol{R}(\theta) := \Phi_{\mathcal{M}}(\{\rho \boldsymbol{e}^{2\pi i \theta} : \rho > 1\})$$

An external ray $R(\theta)$ is said to land at x if

$$\lim_{\rho\to 1} \Phi_{\mathcal{M}}(\rho e^{2\pi i\theta}) = x$$

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Rays landing on the real slice of the Mandelbrot set



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Harmonic measure

Given a subset *A* of ∂M , the harmonic measure ν_M is the probability that a random ray lands on *A*:

 $u_{\mathcal{M}}(A) := \operatorname{Leb}(\{\theta \in S^1 : R(\theta) \text{ lands on } A\})$

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For instance, take $A = \mathcal{M} \cap \mathbb{R}$ the real section of the Mandelbrot set.

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For instance, take $A = M \cap \mathbb{R}$ the real section of the Mandelbrot set. How common is it for a ray to land on the real axis?



Real section of the Mandelbrot set Theorem (Zakeri, 2000) The harmonic measure of the real axis is 0.

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The harmonic measure of the real axis is 0. However, the Hausdorff dimension of the set of rays landing on the real axis is 1.

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Real section of the Mandelbrot set

Theorem (Zakeri, 2000)

The harmonic measure of the real axis is 0. However, the Hausdorff dimension of the set of rays landing on the real axis is 1.



Given $c \in [-2, 1/4]$, we can consider the set of external rays which land on the real axis to the right of *c*:

 $P_c := \{ \theta \in S^1 : R(\theta) \text{ lands on } \partial \mathcal{M} \cap [c, 1/4] \}$

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 $c \mapsto \mathsf{H.dim} \ P_c$

decreases with c, taking values between 0 and 1.



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Theorem Let $c \in [-2, 1/4]$. Then

$$\frac{h_{top}(f_c,\mathbb{R})}{\log 2} = \mathsf{H}.\mathsf{dim}\ P_c$$

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It relates dynamical properties of a particular map to the geometry of parameter space near the chosen parameter.

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- Entropy formula: relates dimension, entropy and Lyapunov exponent (Manning, Bowen, Ledrappier, Young, ...).
- The proof is purely combinatorial.
- It does not depend on MLC.
- It can be generalized to (some) non-real veins.

In the dynamical plane

Douady's principle : "sow in dynamical plane and reap in parameter space".

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the set of rays landing on the real section (spine) of the Julia set.

Theorem Let $c \in [-2, 1/4]$. Then $\frac{h_{top}(f_c, \mathbb{R})}{\log 2} = \text{H.dim } S_c = \text{H.dim } P_c$

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It also equals:

The entropy of the induced action on the Hubbard tree T_c (minimal forward-invariant set containing the critical orbit), divided by log 2.

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It also equals:

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- The dimension of the set of biaccessible angles (Zakeri, Smirnov, Zdunik, Bruin-Schleicher ...)

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Corollary

The set of biaccessible angles for the Feigenbaum parameter (limit of period doubling cascades) c_{Feig} has Hausdorff dimension 0.

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The complex case: Hubbard trees

The Hubbard tree T_c of a quadratic polynomial is a forward invariant subset of the filled Julia set which contains the critical orbit.

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Complex Hubbard trees

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Complex Hubbard trees

The Hubbard tree T_c of a quadratic polynomial is a forward invariant subset of the filled Julia set which contains the critical orbit. The map f_c acts on it.


Definition

A parameter *c* is <u>topologically finite</u> if its Hubbard tree is homeomorphic to a finite tree.

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If c is biaccessible in parameter space (there are two distinct rays landing on c), then f_c is topologically finite

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If c is biaccessible in parameter space (there are two distinct rays landing on c), then f_c is topologically finite (i.e. on all veins of \mathcal{M}).

Entropy of topologically finite parameters

Let *c* be topologically finite, with Hubbard tree T_c .

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Entropy of topologically finite parameters

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Entropy of Hubbard trees as a function of external angle (W. Thurston)



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Entropy of Hubbard trees as a function of external angle (W. Thurston)



Can you see the Mandelbrot set in this picture?

The complex case

A vein is an embedded arc in the Mandelbrot set.



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The complex case

A vein is an embedded arc in the Mandelbrot set.



Given a parameter c along a vein, we can look at the set P_c of parameter rays which land on the vein "below" c.

Existence of veins converging to dyadic angles [Branner-Douady, Kahn, Riedl].

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The <u>principal vein</u> $v_{p/q}$ in the p/q limb is the vein joining $c_{p/q}$ to the center of the main cardioid.

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$$P_c := \{ \theta \in S^1 : R_M(\theta) \text{ lands on } [0, c] \}$$

Complex version

Theorem

Let $v_{p/q}$ be the principal vein in the p/q-limb of the Mandelbrot set, and let $c \in v_{p/q}$. Then

$$\frac{h_{top}(f_c, T_c)}{\log 2} = \text{H.dim } H_c = \text{H.dim } P_c$$





Sketch of proof

1. The rays landing on parameter space land also on the real section of the Julia set:

$$P_c \subseteq S_c$$

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Sketch of proof

1. The rays landing on parameter space land also on the real section of the Julia set:

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- 2. In order to prove the reverse inequality, one would like to embed the rays landing on the Hubbard tree in parameter space. This cannot be done in the renormalized copies.
- 3. However:

Proposition

If c is a non-renormalizable, real parameter, and c' > c another real parameter, there exists a non-constant, piecewise linear map $F : \mathbb{R}/\mathbb{Z} \to \mathbb{R}/\mathbb{Z}$ such that

$$F(H_{c'}) \subseteq P_c$$

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- 5. By renormalization, the same holds for all parameters which are not infinitely renormalizable.
- 6. By density of such parameters (in the space of angles), the result holds.

Pseudocenters

Definition

The (dyadic) pseudocenter of a real interval [a, b] with |a - b| < 1 is the unique dyadic rational number with shortest binary expansion.

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E.g., the pseudocenter of the interval $\begin{bmatrix} 13\\15 \end{bmatrix}$, $\frac{14}{15}$ is $\frac{7}{8} = 0.111$, since $\frac{13}{15} = 0.\overline{1101}$ and $\frac{14}{15} = 0.\overline{1110}$.

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Theorem

Let $c_1 < c_2$ be two real parameters on the boundary of \mathcal{M} , with external angles $0 \le \theta_2 < \theta_1 \le \frac{1}{2}$.

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Theorem

Let $c_1 < c_2$ be two real parameters on the boundary of \mathcal{M} , with external angles $0 \le \theta_2 < \theta_1 \le \frac{1}{2}$. Let θ^* be the dyadic pseudocenter of the interval (θ_2, θ_1) , and let

$$\theta^* = 0.s_1s_2\ldots s_{n-1}s_n$$

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$$\alpha_2 := 0.\overline{s_1 s_2 \dots s_{n-1}}$$

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$$\begin{array}{rcl} \alpha_2 & := & 0.\overline{s_1 s_2 \dots s_{n-1}} \\ \alpha_1 & := & 0.\overline{s_1 s_2 \dots s_{n-1}} \check{s}_1 \check{s}_2 \dots \check{s}_{n-1} \end{array}$$

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(where $\check{s}_i := 1 - s_i$).
Bonus level: a bisection algorithm

Theorem

Let $c_1 < c_2$ be two real parameters on the boundary of \mathcal{M} , with external angles $0 \le \theta_2 < \theta_1 \le \frac{1}{2}$. Let θ^* be the dyadic pseudocenter of the interval (θ_2, θ_1) , and let

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(where $\check{s}_i := 1 - s_i$). All hyperbolic windows are obtained by iteration of this algorithm, starting with $\theta_2 = 0$, $\theta_1 = 1/2$.

Bonus level: a bisection algorithm



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Pseudocenters and maxima of entropy

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The pseudocenter of a real interval [a, b] with |a - b| < 1 is the unique dyadic rational number with shortest binary expansion.



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Conjecture

Let $\theta_1 < \theta_2$ be two external angles whose rays $R_M(\theta_1)$, $R_M(\theta_2)$ land on the same parameter.

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Pseudocenters and maxima of entropy

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Conjecture

Let $\theta_1 < \theta_2$ be two external angles whose rays $R_M(\theta_1)$, $R_M(\theta_2)$ land on the same parameter. Then the maximum of entropy on the interval $[\theta_1, \theta_2]$ is attained at its pseudocenter θ^* :

$$\max_{\theta \in [\theta_1, \theta_2]} h(\theta) = h(\theta^*)$$

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Thurston's entropy plot



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Thurston's quadratic minor lamination



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A transverse measure on QML



Let $\ell_1 < \ell_2$ two leaves, and τ a transverse arc connecting them.

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$$\mu(\tau) := h(T_{c_2}) - h(T_{c_1})$$

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"Combinatorial bifurcation measure"?

The end

Thank you!



Coda: from Farey to the tent map, via ?

Minkowski's question-mark function conjugates the Farey map with the tent map



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The dictionary

Continued fractions \Leftrightarrow Binary expansions

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ightarrow \qquad \qquad \mathcal{R}$

 $\alpha - {\rm continued\ fractions}$

Е

numbers of generalized bounded type

cutting sequences for geodesics on torus (Cassaigne, 1999) unimodal maps

external rays on Julia sets

univoque numbers

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A unified approach

The dictionary yields a unified proof of the following results:

1. The real part of the boundary of the Mandelbrot set has Hausdorff dimension 1

 $\textit{H.dim}(\partial \mathcal{M} \cap \mathbb{R}) = 1$

(Zakeri, 2000)

- 2. The set of matching intervals for α -continued fractions has zero measure and full Hausdorff dimension (Nakada-Natsui conjecture, Carminati-T. 2010)
- 3. The set of univoque numbers has zero measure and full Hausdorff dimension (Erdős-Horváth-Joó, Daróczy-Kátai, Komornik-Loreti)

Entropy of $\alpha\text{-continued}$ fractions vs real hyperbolic components of $\mathcal M$



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