Tuning and plateaux for the entropy of α -continued fraction transformations

Giulio Tiozzo Harvard University

Marseille, May 24, 2012

Credits

Joint work with C. Carminati (Pisa)

1. α -continued fractions

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- **2**. The entropy function $h(\alpha)$

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- 3. Quadratic intervals and matching

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- 5. Characterization of plateaux
- 6. Local monotonicity of the entropy

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$$\frac{p}{q} = a_0 + \frac{1}{a_1 + \frac{r_1}{r_0}} = a_0 + \frac{1}{a_1 + \frac{1}{a_{k-1} + \frac{1}{a_{k}}}}$$

$$\vdots$$

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$$x = a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \cdots}}$$

INFINITE EXPANSION

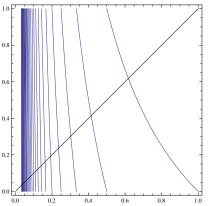
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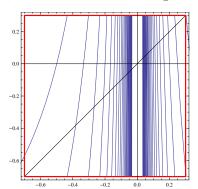
For each $\alpha \in [0, 1]$, we can define a α -euclidean algorithm, where we take the remainder to be in $[\alpha - 1, \alpha]$. It is generated by $T_{\alpha} : [\alpha - 1, \alpha] \to [\alpha - 1, \alpha]$ as follows:

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and associated to the α -continued fraction expansion:

$$x = \frac{\epsilon_{1,\alpha}}{c_{1,\alpha} + \frac{\epsilon_{2,\alpha}}{c_{2,\alpha} + \dots}} \quad c_{n,\alpha} \in \mathbb{N}^+, \epsilon_{n,\alpha} \in \{\pm 1\}$$

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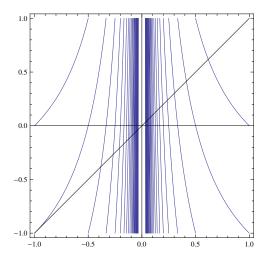
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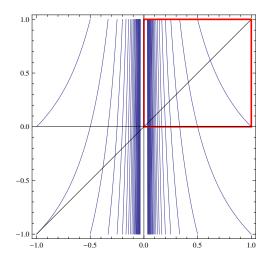
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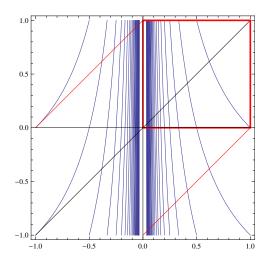
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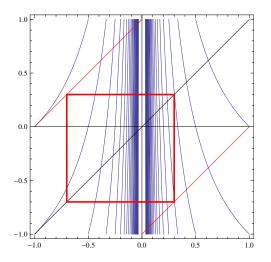
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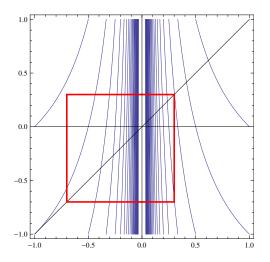
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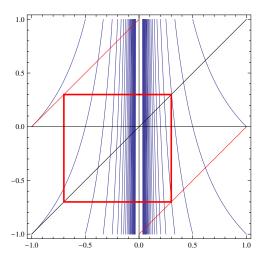




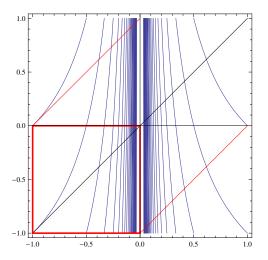




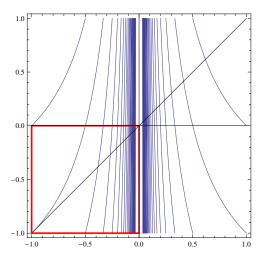
Nakada's α -continued fraction transformations



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It measures:

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the speed of convergence of the α-euclidean algorithm: The average number of steps over all rationals of denominator less than N is

$$P_N(\alpha) \cong \frac{2}{h(\alpha)} \log N$$

[Bourdon-Daireaux-Vallée]

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- ▶ the growth rate of the denominators : For almost every x ∈ [0, 1]

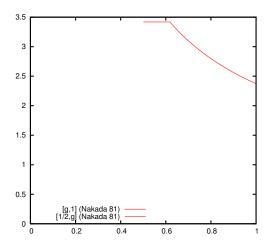
$$h(\alpha) = \lim_{n \to +\infty} \frac{2}{n} \log q_{n,\alpha}(x)$$

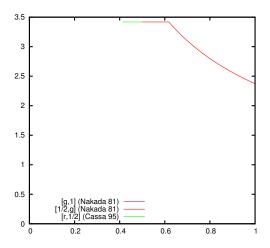
where $p_{n,\alpha}(x)/q_{n,\alpha}(x)$ is the n-th convergent of the α -expansion of x

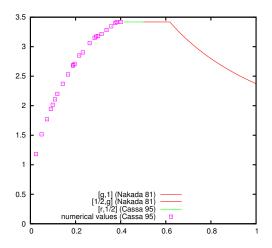
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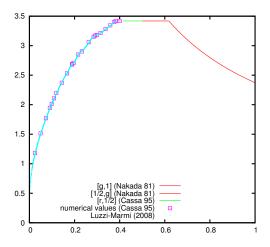
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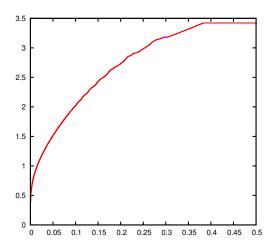
- the speed of convergence of the α -euclidean algorithm
- the growth rate of the denominators
- how chaotic the map T_{α} is



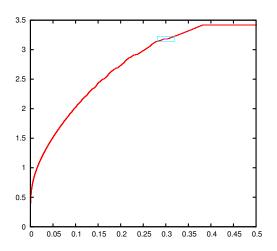


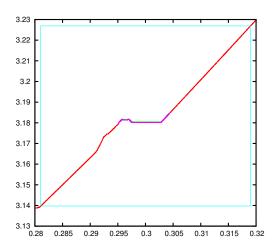




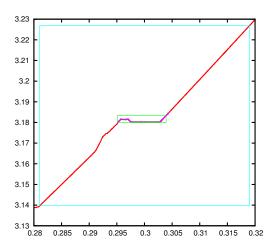


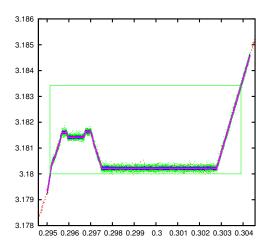
Is entropy monotone increasing for $\alpha < \frac{1}{2}$?





No, it is not monotone!





It seems like entropy displays a fractal structure

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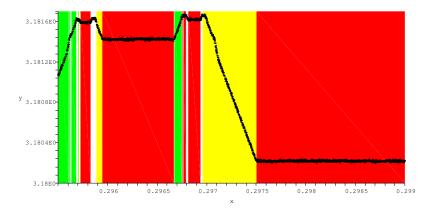
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How to describe and explain the fractal structure?



Nakada and Natsui defined *matching intervals* as intervals on which the orbits of the two endpoints collide:

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 $M, N \in \mathbb{N}$

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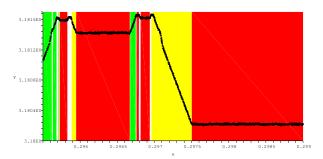
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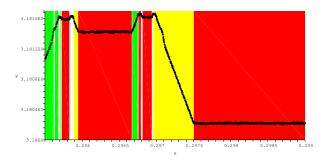
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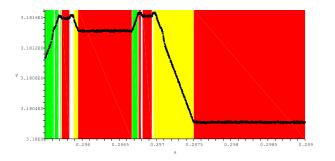
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Conjecture

The union of all matching intervals is dense and has full measure in parameter space.

Quadratic intervals

FACT:

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The interval $I_a := (\alpha^-, \alpha^+)$ will be called the *quadratic interval* generated by $a \in \mathbb{Q} \cap (0, 1)$.

Quadratic intervals are matching intervals

Theorem (Carminati-T., 2010)

Let I_r be a maximal quadratic interval, and $r = [0; a_1, \dots, a_n]$ with n even. Let

$$N = \sum_{i \text{ even}} a_i$$
 $M = \sum_{i \text{ odd}} a_i$ (1)

Then for all $\alpha \in I_r$,

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Corollary

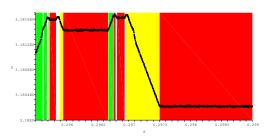
The union of all matching intervals is dense of full measure.



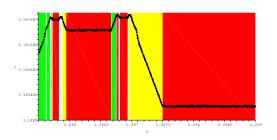
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- ▶ The complement is a set of parameters \mathcal{E} which will be called the bifurcation set.



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How about the fractal structure?



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Idea: τ_r maps the large scale structure to a smaller scale structure, thus creating the fractal self-similarity.



Results: self-similarity of parameter space

Theorem

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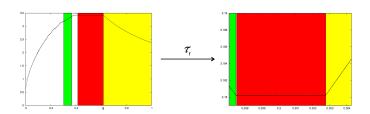
- 1. *h* is increasing on $I_{\tau_r(p)}$ iff it is decreasing on I_p ;
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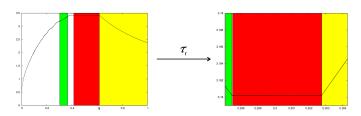


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If, instead, h is decreasing on I_r , then the monotonicity of I_p and $I_{\tau_r(p)}$ is the same.



Results: plateaux

A plateau of a real-valued function is a maximal open interval on which the function is constant.

Theorem (Kraaikamp-Schmidt-Steiner) The interval (g^2, g) is a plateau for $h(\alpha)$.

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A tuning window W_r is <u>neutral</u> if, given $r = [0; a_1, ..., a_n]$ the expansion of r of even length,

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Every plateau of h is the interior of a neutral tuning window W_r .



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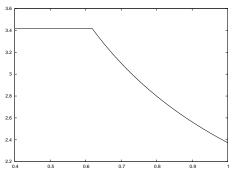
Let α be a parameter in the parameter space of α -continued fractions. Then:

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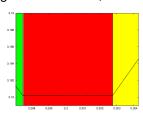
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 - (iii) otherwise, h has mixed monotonic behaviour at α , i.e. in every neighbourhood of α there are infinitely many intervals on which h is increasing, infinitely many on which it is decreasing and infinitely many on which it is constant.

Note:

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- ▶ 2.(iii) for a set of parameters of Hausdorff dimension 1!
- there is an explicit algorithm to decide which case occurs, given the usual continued fraction expansion of α .

The end

Thank you!

Bonus level: tuning from complex dynamics

Let $f_c(z) := z^2 + c$.

Bonus level: tuning from complex dynamics

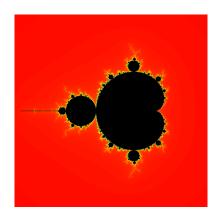
Let $f_c(z) := z^2 + c$. The *Mandelbrot set* \mathcal{M} is the set of $c \in \mathbb{C}$ for which the orbit of 0 is bounded:

$$f_c^n(0) \nrightarrow \infty$$

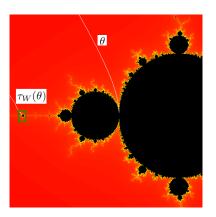
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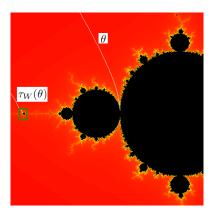
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The Mandelbrot set has a self-similar structure. More precisely, there are <u>baby copies</u> of $\mathcal M$ everywhere near its boundary.

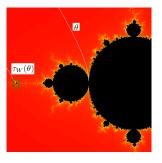


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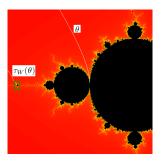


Baby copies are images of $\mathcal M$ via the Douady-Hubbard tuning maps $\tau_{\mathcal W}$.



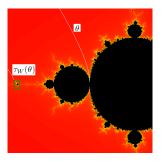


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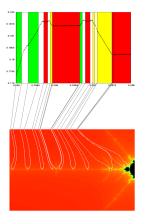
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E.g.: Feigenbaum parameter ⇔ Thue-Morse sequence!

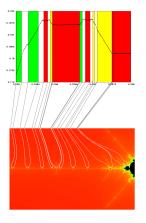


Dictionary



The set of rays landing on the real slice of the Mandelbrot set is isomorphic to the bifurcation set $\mathcal E$ for α -c.f. [Bonanno, Carminati, Isola, T., 2011]

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The set of rays landing on the real slice of the Mandelbrot set is isomorphic to the bifurcation set \mathcal{E} for α -c.f. [Bonanno, Carminati, Isola, T., 2011] Hence the Douady-Hubbard substitution rule translates into our definition of tuning maps for α -c.f.!

The end

Thank you!