# The core entropy of polynomials of higher degree

Giulio Tiozzo University of Toronto

In memory of Tan Lei Angers, October 23, 2017

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# First email: March 4, 2012

Hi Mr. Giulio Tiozzo,

My name is Tan Lei. I am a chinese mathematician working in France in the field of holomorphic dynamics. Curt McMullen suggested me to contact you for the following questions that you might help.

It seems that one can think of the core entropy as a function on the Mandelbrot set itself. And Milnor had a student who proved entropy is monotone on M.

Do you have a copy of this thesis? How to define the core entropy when the Hubbard tree is topologically infinite? Or worse when the critical orbit is dense in J? Is the monotonicity proved using puzzles? Is there a continuity result of the core entropy as a function of the external angle?

Many thanks in advance for your help.

Sincerely yours, Tan Lei

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Agrees with general definition for maps on compact spaces using open covers (Misiurewicz-Szlenk)

$$h_{top}(f,\mathbb{R}) := \lim_{n \to \infty} \frac{\log \#\{ \operatorname{laps}(f^n) \}}{n}$$

Consider the real quadratic family

$$f_c(z) := z^2 + c$$
  $c \in [-2, 1/4]$ 

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How does entropy change with the parameter c?

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► is continuous

 is continuous and monotone (Milnor-Thurston 1977, Douady-Hubbard).

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Question : Can we extend this theory to complex polynomials?

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<u>Remark.</u> If we consider  $f : \hat{\mathbb{C}} \to \hat{\mathbb{C}}$  entropy is constant  $h_{top}(f, \hat{\mathbb{C}}) = \log d$ .

The complex case: Hubbard trees

The Hubbard tree T of a postcritically finite polynomial is a forward invariant, connected subset of the filled Julia set which contains the critical orbit.

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**Complex Hubbard trees** 

The Hubbard tree T of a postcritically finite polynomial f is a forward invariant, connected subset of the filled Julia set which contains the critical orbit. The map f acts on it.



# The core entropy

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## The core entropy

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Question: How does h(f) vary with the polynomial f?

A critical portrait of degree d is defined as a collection

$$m = \{\ell_1, \ldots, \ell_s\}$$

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A critical portrait *m* is said to be a <u>primitive major</u> if moreover the elements of *m* are pairwise disjoint.

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The quotient  $X_m := \partial \mathbb{D}/m$  is a graph (tree of circles) Let  $\pi_m : \partial \mathbb{D} \to \partial \mathbb{D}/m$  the projection map.

Define the distance between primitive majors as

$$d(m_1, m_2) := \sup_{x, y} |d(\pi_{m_1}(x), \pi_{m_1}(y)) - d(\pi_{m_2}(x), \pi_{m_2}(y))|$$

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Then

$$\Theta := \{\Theta(c_1), \ldots, \Theta(c_k)\}$$

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is a <u>critical marking</u> (Poirier).

For d = 2,



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 $\mathit{PM}(2)\cong\partial\mathbb{D}$ 



# Core entropy for quadratic polynomials



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# Core entropy for quadratic polynomials



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# Core entropy for quadratic polynomials



Question Can you see the Mandelbrot set in this picture?

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Monotonicity still holds along veins:

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- The core entropy is also proportional to the dimension of the set of biaccessible angles (Zakeri, Smirnov, Zdunik, Bruin-Schleicher ...)

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same point.

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 Core entropy also proportional to Hausdorff dimension of angles landing on the corresponding vein (T., Jung)

## Tan Lei's proof of monotonicity (Feb 16, 2013)

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Any pair of distinct angles  $\theta^{\pm}$  defines four partitions of the circle:  $L(\theta^{\pm})$  is the circle minus the four points  $\theta^{\pm}/2$ , and  $\theta^{\pm}/2 + 1/2$  and Full( $\theta^{\pm}$ ) is  $S^1$  minus the two intervals  $[\frac{\theta^-}{2}, \frac{\theta^+}{2}]$  and  $[\frac{\theta^-}{2} + \frac{1}{2}, \frac{\theta^+}{2} + \frac{1}{2}]$ .

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Now, rather than, as Douady and Tao Li, looking at angles landing as the Hubbard tree, we look at pairs of angles landing together and pairs of angles landing at the tree.

So let  $F(\theta^{\pm}) =$  the set of pairs  $(\eta, \zeta)$  having the same itinerary with respect to components of Full $(\theta^{\pm})$ 

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So let  $F(\theta^{\pm}) =$  the set of pairs  $(\eta, \zeta)$  having the same itinerary with respect to components of Full $(\theta^{\pm})$  Then

$$H(\theta^{\pm}) \subseteq F(\theta^{\pm}) \subseteq B(\theta^{\pm})$$

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Once all these are set up cleanly, the result becomes trivial: If you take c' further than c, than  $Full(\theta'^{\pm})$  contains  $Full(\theta^{\pm})$ 

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 $F(\theta^{\pm}) \subseteq F(\theta'^{\pm}).$ 

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With pictures the idea would be a lot easer to explain. All the best, Tan Lei

## Continuity in the quadratic case

Question (Thurston, Hubbard): Is  $h(\theta)$  a continuous function of  $\theta$ ?

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## Continuity in the quadratic case

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#### Theorem (T., Dudko-Schleicher)

The core entropy function  $h(\theta)$  extends to a continuous function from  $\mathbb{R}/\mathbb{Z}$  to  $\mathbb{R}$ .

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# The core entropy for cubic polynomials



A critical portrait of degree d is defined as a collection

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$$G_f(c) := \lim_{n \to \infty} \frac{1}{d^n} \log |f^n(c)|$$

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#### Theorem (Thurston)

For each r > 0, we have a homeomorphism

$$Y_d(r) \cong PM(d)$$

For d = 3,



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# The core entropy for cubic polynomials



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## The unicritical slice



 $f(z)=z^3+c$ 

## The symmetric slice



 $f(z) = z^3 + cz$ 

#### Main theorem, combinatorial version

Theorem (T. - Yan Gao)

Fix  $d \ge 2$ . Then the core entropy extends to a continuous function on the space PM(d) of primitive majors.

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"I hope you two will make a great paper together!" (January 28, 2015)

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Theorem (Thurston; Tan Lei; Gao Yan) The core entropy of f is given by

$$h(f) = \log \lambda$$

where  $\lambda$  is the leading eigenvalue of A.



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 $P(t) := \det(I - tA)$ 

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$$P(t) = \sum_{\gamma \text{ simple multicycle}} (-1)^{C(\gamma)} t^{\ell(\gamma)}$$

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$$P(t) := \det(I - tA)$$

Note that  $\lambda^{-1}$  is the smallest root of P(t). Note that P(t) can be obtained as the clique polynomial

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- ℓ(γ) its length.
$P(t) = \sum (-1)^{C(\gamma)} t^{\ell(\gamma)}$  $\gamma$  simple multicycle



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- two 2-cycles
- one 3-cycle
- one pair of disjoint cycles (2 + 3)

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Then we define the growth rate of  $\Gamma$  as :

$$r(\Gamma) := \limsup \sqrt[n]{C(\Gamma, n)}$$

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where  $C(\Gamma, n)$  is the number of closed paths of length *n*.

Let  $\Gamma$  with bounded outgoing degree and bounded cycles.

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Let  $\Gamma$  with bounded outgoing degree and bounded cycles. Then one can define as a formal power series

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#### Theorem

Let  $\sigma \leq 1$ . Then P(t) defines a holomorphic function in the unit disk, and its root of minimum modulus is  $r^{-1}$ .

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# Wedges



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### Labeled wedges

Label all pairs as either separated or non-separated

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$$(3,4) \qquad \cdots$$

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$$(1,2) \qquad (1,3) \qquad (1,4) \qquad \cdots$$

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(The boxed pairs are the separated ones.)

Define a graph associated to the wedge as follows:

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▶ If (i,j) is non-separated, then  $(i,j) \rightarrow (i+1,j+1)$ 

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▶ If (i,j) is separated, then  $(i,j) \rightarrow (1,i+1)$  and  $(i,j) \rightarrow (1,j+1)$ .

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- ▶ If (i,j) is separated, then  $(i,j) \rightarrow (1,i+1)$  and  $(i,j) \rightarrow (1,j+1)$ .



"This sounds like climbing a mountain; you go up step by step, but you chute all the way to the bottom, and in two broken pieces" (August 25, 2014)

Suppose  $\theta_n \rightarrow \theta$ 

(primitive majors)

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Suppose	$\theta_n  ightarrow  heta$	(primitive majors)
Then	$W_{ heta_n}  o W_{ heta}$	(wedges)
SO	$P_{ heta_n}(t)  o P_{ heta}(t)$	(spectral determinants)
and	$r( heta_n)  ightarrow r( heta)$	(growth rates)

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1. **Conjecture:** In each stratum the maximum of the core entropy equals

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\max_{m\in\Pi} h(m) = \log(\text{Depth}(\Pi) + 1)
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where the <u>Depth</u> of a stratum is the maximum length of a chain of nested leaves in the primitive major.

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# Merci!

