

GÖDEL NUMBERS OF TERMS AND FORMULAS

We assign a unique number to each symbol in \mathcal{L}_{NT} as follows:

\neg	1	\cdot	15
\vee	3	E	17
\forall	5	$<$	19
$=$	7	$($	21
0	9	$)$	23
S	11	v_i	$2i$
$+$	13		

Suppose $s \equiv s_1 \dots s_n$ is a string of symbols, which constituting a well-formed term or formula of \mathcal{L}_{NT} .

Naively, we could encode s by the number $\langle \#(s_1), \dots, \#(s_n) \rangle$ where $\#(s_i)$ is the number corresponding to the symbol s_i .

However, it much better to encode s according to the inductive type of terms and formulas.

Def 5.7.1. For each term t and formula φ , the Gödel numbers $\ulcorner t \urcorner$ and $\ulcorner \varphi \urcorner$ are defined as follows:

$$\begin{array}{ll}
 \ulcorner \neg \alpha \urcorner = \langle 1, \ulcorner \alpha \urcorner \rangle & \ulcorner +t_1t_2 \urcorner = \langle 13, \ulcorner t_1 \urcorner, \ulcorner t_2 \urcorner \rangle \\
 \ulcorner (\alpha \vee \beta) \urcorner = \langle 3, \ulcorner \alpha \urcorner, \ulcorner \beta \urcorner \rangle & \ulcorner \cdot t_1t_2 \urcorner = \langle 15, \ulcorner t_1 \urcorner, \ulcorner t_2 \urcorner \rangle \\
 \ulcorner (\forall v_i)(\alpha) \urcorner = \langle 5, \ulcorner v_i \urcorner, \ulcorner \alpha \urcorner \rangle & \ulcorner Et_1t_2 \urcorner = \langle 17, \ulcorner t_1 \urcorner, \ulcorner t_2 \urcorner \rangle \\
 \ulcorner =t_1t_2 \urcorner = \langle 7, \ulcorner t_1 \urcorner, \ulcorner t_2 \urcorner \rangle & \ulcorner <t_1t_2 \urcorner = \langle 19, \ulcorner t_1 \urcorner, \ulcorner t_2 \urcorner \rangle \\
 \ulcorner 0 \urcorner = \langle 9 \rangle & \ulcorner v_i \urcorner = \langle 2i \rangle. \\
 \ulcorner St \urcorner = \langle 11, \ulcorner t \urcorner \rangle &
 \end{array}$$

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 \end{array}$$

Obs. $\ulcorner t \urcorner$ and $\ulcorner \varphi \urcorner$ are never divisible by 7. (Why?)

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 \end{array}$$

Example. $\ulcorner =0S0 \urcorner = \langle 7, \ulcorner 0 \urcorner, \ulcorner S0 \urcorner \rangle$
 $= \langle 7, \langle 9 \rangle, \langle 11, \langle 9 \rangle \rangle \rangle$
 $= \langle 7, 2^{10}, \langle 11, 2^{10} \rangle \rangle = 2^8 3^{1025} 5^{(2^{12} 3^{1025} + 1)}.$

Notice how fast $\ulcorner SSSS0 \urcorner$ grows:

$$\ulcorner SSSS0 \urcorner = \langle 11, \langle 11, \langle 11, \langle 11, \langle 9 \rangle \rangle \rangle \rangle \rangle = 2^{12} 3^{2^{12} 3^{2^{12} 3^{2^{12} 3^{2^{10}}}}}$$

NEXT STEPS (Section 5.8)

Δ -definability of sets

$$\text{TERMS} := \{\ulcorner t \urcorner : \text{terms } t\} = \{a \in \mathbb{N} : a = \ulcorner t \urcorner \text{ for some term } t\},$$

$$\text{FORMULAS} := \{\ulcorner \varphi \urcorner : \text{formulas } \varphi\} = \{a \in \mathbb{N} : a = \ulcorner \varphi \urcorner \text{ for some formula } \varphi\}.$$

Δ -DEFINITION OF TERMS = $\{\ulcorner t \urcorner : t \text{ is a term}\}$

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Recall the inductive definition of an \mathcal{L}_{NT} -**term** t : it is either

- a variable symbol v_i ,
- $S t_1$ where t_1 is term,
- the constant symbol 0 ,
- $+ t_1 t_2$ or $\cdot t_1 t_2$ or $E t_1 t_2$ where t_1, t_2 are terms.

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Let's start with Δ -definition of

$$\text{VARIABLES} := \{\ulcorner v_i \urcorner : i = 1, 2, \dots\} \quad (= \{2^{2i+1} : i = 1, 2, \dots\}).$$

by the formula

$$\text{Variable}(x) := (\exists y < x)[\text{Even}(y) \wedge (0 < y) \wedge (x = 2^{S y})].$$

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Recall the inductive definition of an \mathcal{L}_{NT} -term t : it is either

- a variable symbol v_i ,
- St_1 where t_1 is term,
- the constant symbol 0 ,
- $+t_1 t_2$ or $\cdot t_1 t_2$ or $Et_1 t_2$ where t_1, t_2 are terms.

We would like to write:

$$\begin{aligned}
 \text{Term}(x) &::= \text{Variable}(x) \vee \underbrace{x = \overline{2^{10}}}_{\text{“}x \text{ is } \ulcorner 0 \urcorner\text{”}} \vee \underbrace{(\exists y < x)[\text{Term}(y) \wedge x = \underbrace{\overline{2^{12} \cdot \overline{3}^{S_y}}}_{\langle 11, y \rangle}]}_{\text{“}x \text{ is } \ulcorner St_1 \urcorner \text{ for some term } t_1\text{”}} \\
 &\quad \vee \underbrace{\dots}_{\text{“}x \text{ is } +t_1 t_2 \text{ or } \cdot t_1 t_2 \text{ or } Et_1 t_2\text{”}}
 \end{aligned}$$

However, there is a problem with this “ Δ -formula”: *It is a not legitimate formula of first-order logic! Note the circular use of the subformula $\text{Term}(y)$.*

Δ -DEFINITION OF TERMS = $\{\ulcorner t \urcorner : t \text{ is a term}\}$

Definition. A *term construction sequence* for a term t is a finite sequence of terms (t_1, \dots, t_ℓ) such that $t_\ell \equiv t$ and, for each $k \in \{1, \dots, \ell\}$, the term t_k is either

- a variable symbol,
- the constant symbol 0 ,
- St_j for some $j < k$, or
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Example. $(0, v_1, Sv_1, +0Sv_1)$ is term construction sequence for the $+0Sv_1$.

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Example. $(0, v_1, Sv_1, +0Sv_1)$ is term construction sequence for the $+0Sv_1$.

Lemma. Every term t has a term construction sequence of length at most the number of symbols in t .

(Easy proof by induction.)

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Key to defining TERMS: We will write a Δ -formula defining the set

$$\text{TERMCONSEQ} = \{(c, a) : c = \langle \ulcorner t_1 \urcorner, \dots, \ulcorner t_\ell \urcorner \rangle \text{ and } a = \ulcorner t_\ell \urcorner \text{ where } (t_1, \dots, t_\ell) \text{ is a term construction sequence}\}.$$

Δ -DEFINITION OF TERMS = $\{\ulcorner t \urcorner : t \text{ is a term}\}$

$TermConSeq(c, a) :\equiv$

$$Codenum(c) \wedge (\exists \ell < c) \left[Length(c, \ell) \wedge IthElement(a, \ell, c) \wedge \right. \\ \left. (\forall k \leq \ell) (\exists e_k < c) \left[IthElement(e_k, k, c) \wedge \right. \right. \\ \left. \left. \left(\begin{array}{l} Variable(e_k) \\ \vee e_k = \overline{2^{10}} \quad \} \text{“}e_k \text{ is } \ulcorner 0 \urcorner\text{”} \\ \vee (\exists j < k) (\exists e_j < c) [IthElement(e_j, j, c) \wedge \overbrace{e_k = \overline{2^{12}} \cdot \overline{3}^{Se_j}}^{\text{“}e_k \text{ is } \ulcorner Se_j \urcorner\text{”}}] \\ \vee \dots \end{array} \right) \right] \right]$$

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Δ -DEFINITION OF TERMS = $\{\ulcorner t \urcorner : t \text{ is a term}\}$

Now there is an obvious way to define $Term(a)$:

$$Term(a) := (\exists c) TermConSeq(c, a).$$

To make this a Δ -formula, we need an upper bound on c as a function of a .

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Suppose $a = \ulcorner t \urcorner$. Another easy lemma by induction: The number of symbols in t is at most a . Therefore, there exists a term construction sequence (t_1, \dots, t_ℓ) for t with length $\leq a$. We may assume that each t_k is a subterm of t , so that $\ulcorner t_k \urcorner \leq \ulcorner t \urcorner = a$ for all $k \in \{1, \dots, \ell\}$.

Let $c := \langle \ulcorner t_1 \urcorner, \dots, \ulcorner t_\ell \urcorner \rangle$. We have

$$c = 2^{\ulcorner t_1 \urcorner + 1} 3^{\ulcorner t_2 \urcorner + 1} \dots (p_\ell)^{\ulcorner t_\ell \urcorner + 1} \leq (p_\ell)^{\ulcorner t_1 \urcorner + \dots + \ulcorner t_\ell \urcorner + \ell} \leq (p_\ell)^{la + \ell} \leq (p_a)^{a^2 + a} \leq (a + 1)^{a^3}$$

using the (easy) fact that the a^{th} prime number p_a is at most $(a + 1)^a$.

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We may therefore take

$$Term(a) := (\exists c \leq (a + 1)^{a^3}) TermConSeq(c, a).$$

CONSTRUCTION SEQUENCES FOR OTHER RECURSIVE DEFINITIONS

In a similar way, using the notion of a *formula construction sequence*, we get a Δ -definition of the set

$$\text{FORMULAS} = \{\ulcorner \varphi \urcorner : \varphi \text{ is a formula}\}.$$

Definition. A *formula construction sequence* for a formula φ is a finite sequence of terms $(\varphi_1, \dots, \varphi_\ell)$ such that $\varphi_\ell \equiv \varphi$ and, for each $k \in \{1, \dots, \ell\}$, the term φ_k is either

- $=t_1t_2$ for some terms t_1 and t_2
- $<t_1t_2$ for some terms t_1 and t_2
- $\neg\varphi_j$ for some $j < k$
- $(\varphi_i \vee \varphi_j)$ for some $i, j < k$
- $(\forall x)(\varphi_i)$ for some $i < k$ and $x \in \text{Vars}$

CONSTRUCTION SEQUENCES FOR GENERAL RECURSIVE DEFINITIONS

This idea is very general: using an appropriate notion of *construction sequence*, we get a Δ -definition of any recursively defined set or function.

Suppose want a Δ -formula $Factorial(x, y)$ defining the function **FACTORIAL** : $\mathbb{N} \rightarrow \mathbb{N}$ (i.e., the $\{(a, b) \in \mathbb{N}^2 : b = a!\}$).

Key idea: Write a Δ -formula defining the set

FACTORIALCONSTSEQ := $\{(a, b, c) \in \mathbb{N}^3 : b = a! \text{ and } c = \langle 0!, 1!, 2!, \dots, a! \rangle\}$

using *Codenumber*, *Length*, *IthElement* as subformulas. (Details in tutorial.)

Homework Problem (PSET 4). Write down a Δ -formula defining the function **FIBONACCI** : $\mathbb{N} \rightarrow \mathbb{N}$.

NEXT STEPS (Sections 5.11–5.12)

The following are Δ -definable:

$$\text{LOGICALAXIOM} := \{\ulcorner \varphi \urcorner : \varphi \text{ is a logical axiom}\}$$

$$\text{RULEOFINFERENCE} := \{(\langle \ulcorner \gamma_1 \urcorner, \dots, \ulcorner \gamma_n \urcorner \rangle, \ulcorner \varphi \urcorner) : (\{\gamma_1, \dots, \gamma_n\}, \varphi) \text{ is a rule of inference}\}$$

$$\text{AXIOM}_N := \{\ulcorner N_1 \urcorner, \dots, \ulcorner N_{11} \urcorner\}$$

$$\text{DEDUCTION}_N := \{(\langle \ulcorner \delta_1 \urcorner, \dots, \ulcorner \delta_n \urcorner \rangle, \ulcorner \varphi \urcorner) : (\delta_1, \dots, \delta_n) \text{ is a deduction from } N \text{ of } \varphi\}.$$

Important Δ -definable functions:

$$\text{Num}(a) := \ulcorner \bar{a} \urcorner,$$

$$\text{TermSub}(\ulcorner u \urcorner, \ulcorner x \urcorner, \ulcorner t \urcorner) := \ulcorner u_t^x \urcorner,$$

$$\text{Sub}(\ulcorner \varphi \urcorner, \ulcorner x \urcorner, \ulcorner t \urcorner) := \ulcorner \varphi_t^x \urcorner.$$

Δ -DEFINABLE SETS AND FUNCTIONS

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This means:

- $\mathfrak{N} \models Num(\bar{a}, \bar{b})$ for all $(a, b) \in \mathbb{N}^2$ such that $b = \ulcorner \bar{a} \urcorner$
- $\mathfrak{N} \models \neg Num(\bar{a}, \bar{b})$ for all $(a, b) \in \mathbb{N}^2$ such that $b \neq \ulcorner \bar{a} \urcorner$

Δ -DEFINABLE SETS AND FUNCTIONS

Similarly (by a more complicated “construction sequence”), there is a Δ -formula $Sub(x_1, x_2, x_3, y)$ which define the function

$$Sub(\ulcorner \varphi \urcorner, \ulcorner x \urcorner, \ulcorner t \urcorner) := \ulcorner \varphi_t^x \urcorner.$$

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Similarly (by a more complicated “construction sequence”), there is a Δ -formula $Sub(x_1, x_2, x_3, y)$ which define the function

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This means: for all $(a, b, c, d) \in \mathbb{N}^4$,

- $\mathfrak{N} \models Sub(\bar{a}, \bar{b}, \bar{c}, \bar{d})$ if $a = \ulcorner \varphi \urcorner$ and $b = \ulcorner x \urcorner$ and $c = \ulcorner t \urcorner$ and $d = \ulcorner \varphi_t^x \urcorner$ for some formula φ and variable symbol x and term t
- $\mathfrak{N} \models \neg Sub(\bar{a}, \bar{b}, \bar{c}, \bar{d})$ otherwise.

Δ -DEFINABLE SETS AND FUNCTIONS

Using Δ -formulas $Num(x, y)$ and $Sub(x_1, x_2, x_3, y)$ (among other useful Δ -formulas such as *Free* and *Substitutable*), we get Δ -formulas defining sets:

$$\text{LOGICALAXIOM} := \{ \ulcorner \varphi \urcorner : \varphi \text{ is a logical axiom} \}$$

$$\text{AXIOM}_N := \{ \ulcorner N_1 \urcorner, \dots, \ulcorner N_{11} \urcorner \},$$

$$\text{RULEOFINF} := \{ (c, a) : c = \langle \ulcorner \gamma_1 \urcorner, \dots, \ulcorner \gamma_n \urcorner \rangle \text{ and } a = \ulcorner \varphi \urcorner \\ \text{where } (\{ \gamma_1, \dots, \gamma_n \}, \varphi) \text{ is a rule of inference} \}.$$

Finally, we get a Δ -formula $Deduction_N(y, z)$ which defines the set

$$\text{DEDUCTION}_N := \{ (c, a) : c = \langle \ulcorner \delta_1 \urcorner, \dots, \ulcorner \delta_n \urcorner \rangle \text{ and } a = \ulcorner \varphi \urcorner \\ \text{where } (\delta_1, \dots, \delta_n) \text{ is a deduction from } N \text{ of } \varphi \}.$$

THE Σ -FORMULA $Thm_N(x)$

The set

$$\mathbf{THM}_N := \{\ulcorner \varphi \urcorner : N \vdash \varphi\}$$

is defined by Σ -formula

$$Thm_N(x) :\equiv (\exists y) Deduction_N(y, x).$$

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$$Thm_N(x) := (\exists y) Deduction_N(y, x).$$

This means: for every $a \in \mathbb{N}$,

- $\mathfrak{N} \models Thm(\bar{a})$ if $a = \ulcorner \varphi \urcorner$ for some formula φ such that $N \vdash \varphi$,
(In this case, $N \vdash Thm(\bar{a})$ since N proves every Σ -sentence which is true in \mathfrak{N} by Proposition 5.3.13.)
- $\mathfrak{N} \models \neg Thm(\bar{a})$ otherwise.
(We *cannot* conclude that $N \vdash \neg Thm(\bar{a})$ since $\neg Thm(\bar{a})$ is (equivalent to) a Π -sentence.)

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$$\mathbf{THM}_N := \{\ulcorner \varphi \urcorner : N \vdash \varphi\}$$

is defined by Σ -formula

$$Thm_N(x) := (\exists y) Deduction_N(y, x).$$

There is no obvious way to rewrite $Thm_N(x)$ as a Δ -sentence (in fact, this is impossible). For instance, we cannot replace $(\exists y)$ with $(\exists y < x^{x^x})$, since this would imply that every formula of length ℓ provable by φ has a deduction of length $< \ell^{\ell^{\ell}}$ (which is false).

REPRESENTABLE \Rightarrow Σ -DEFINABLE

Previously, we showed that *every Δ -definable set is representable*.

(This is a straightforward corollary of Proposition 5.3.13: N proves every Σ -sentence which is true in \mathfrak{N} .)

Next, we show that *every representable set is Σ -definable*.