

## PEANO ARITHMETIC

**Definition.** The axioms of *Peano Arithmetic* (1889), denoted  $PA$ , consist of the eleven axioms of Robinson arithmetic together with axioms

$$Induction_{\varphi} := \left[ \varphi(0) \wedge (\forall x)[\varphi(x) \rightarrow \varphi(Sx)] \right] \rightarrow (\forall x)\varphi(x)$$

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- Clearly,  $\mathfrak{N} \models PA$  (since  $\mathfrak{N} \models Induction_{\varphi}$  for each  $\varphi(x)$ ). Therefore,  $PA$  is consistent.
- $PA$  is easily seen to be recursive: there is a simple algorithm to decide membership in  $\{\ulcorner \alpha \urcorner : \alpha \in PA\}$ . By 1st Incompleteness Theorem, there exists a sentence  $\theta$  such that  $\mathfrak{N} \models \theta$  but  $PA \not\models \theta$ . (In particular,  $PA$  is not complete.)

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- Whereas Robinson arithmetic  $N$  is very weak (it doesn't prove  $(\forall x)(\forall y)(x + y = y + x)$ ), Peano arithmetic  $PA$  is quite powerful – it proves any result you have seen in MAT315. (It is even claimed that  $PA \vdash$  Fermat's Last Theorem.)

## 2ND INCOMPLETENESS THEOREM

**The sentence  $Con_A$ :**

Let  $A$  be a recursive set of  $\mathcal{L}_{NT}$ -sentences.

Recall that the set  $\mathbf{THM}_A := \{\ulcorner \varphi \urcorner : A \vdash \varphi\}$  is  $\Sigma$ -definable. Fix a  $\Sigma$ -formula  $Thm_A(x)$  which defines  $\mathbf{THM}_A$ .

Let  $Con_A$  be the sentence

$$Con_A \equiv \neg Thm_A(\overline{\ulcorner \perp \urcorner}).$$

This sentence expresses “ $A$  is consistent”: note that  $A$  is consistent if, and only if,  $\mathfrak{N} \models Con_A$ .

## 2ND INCOMPLETENESS THEOREM

### Theorem 6.6.3 (Godel's 2nd Incompleteness Theorem)

*If  $A$  is any consistent, recursive set of  $\mathcal{L}_{NT}$ -sentences which extends  $PA$ , then  $A \not\vdash \text{Con}_A$ .*

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- $PA$  itself is consistent and recursive. Therefore,  $PA \not\vdash Con_{PA}$ .
- How do you and I know that  $PA$  is consistent? We can prove  $\mathfrak{N}$  is a model of  $Con_{PA}$  using the usual axioms of  $ZFC$  (Zermelo-Frankl set theory with choice). Therefore,  $ZFC \vdash Con_{PA}$  (interpreting the sentence  $Con_{PA}$  in the language of set theory).

However,  $ZFC \not\vdash Con_{ZFC}$ .



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However,  $ZFC \not\vdash Con_{ZFC}$ .

- 2nd Incompleteness Theorem answered a question asked by David Hilbert in 1900 by showing that no “sufficiently powerful formal system” (including set theory  $ZFC$ ) can prove its own consistency.

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- Alternative phrasing of 2nd Incompleteness Theorem: *If  $A$  is recursive extension of  $PA$ , then  $A$  is consistent  $\Leftrightarrow A \not\vdash \text{Con}_A$ .*

(If  $A$  is inconsistent, then  $A \vdash \text{Con}_A$  since  $A$  proves everything.)

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- Since  $PA \not\vdash Con_{PA}$ , it follows that  $PA \cup \{\neg Con_{PA}\}$  is consistent. (This is because, if we assume that  $PA \cup \{\neg Con_{PA}\} \vdash \perp$ , then  $PA \vdash \neg Con_{PA} \rightarrow \perp$  by the Deduction Theorem; it would then follow that  $PA \vdash Con_{PA}$  by the (PC) rule, but this contradicts the fact that  $PA \not\vdash Con_{PA}$ .)

Therefore, there exists a model  $\mathfrak{M}$  of  $PA \cup \{\neg Con_{PA}\}$ .

(Note: This model looks similar to  $\mathfrak{N}$  — for example, addition  $+^{\mathfrak{M}}$  is commutative. However,  $Th(\mathfrak{M}) \neq Th(\mathfrak{N})$ .)

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Therefore, there exists a model  $\mathfrak{M}$  of  $PA \cup \{\neg Con_{PA}\}$ .

- QUESTION: Since  $PA$  is consistent, why not take  $Con_{PA}$  as an additional axiom?

Let  $PA' := PA \cup \{Con_{PA}\}$ . Then  $PA' \vdash Con_{PA}$ , but  $PA' \not\vdash Con_{PA'}$ . So we are left with the same problem.

## HILBERT-BERNAYS DERIVABILITY CONDITIONS

**Lemma.**  $PA$  satisfies the following “derivability conditions” for all formulas  $\alpha$  and  $\beta$ :

(D1) If  $PA \vdash \alpha$ , then  $PA \vdash \text{Thm}_{PA}(\overline{\ulcorner \alpha \urcorner})$ .

If  $PA$  proves  $\alpha$ , then  $PA$  proves “ $PA$  proves  $\alpha$ ”.

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(D2)  $PA \vdash \overline{Thm_{PA}(\overline{\Gamma\alpha\overline{\Gamma}})} \rightarrow \overline{Thm_{PA}(\overline{\Gamma\overline{Thm_{PA}(\overline{\Gamma\alpha\overline{\Gamma}})\overline{\Gamma}}\overline{\Gamma}})}$ .

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$PA$  proves “if  $PA$  proves  $\alpha$  and  $PA$  proves  $\alpha \rightarrow \beta$ , then  $PA$  proves  $\beta$ ”.

Moreover, if  $A$  is a recursive extension of  $PA$ , then  $A$  satisfies derivability conditions (D1)–(D3) with respect to  $Thm_A(x)$ .

**Proof of 2nd Incompleteness Theorem.** Let  $A$  be a consistent, recursive extension of  $PA$ . Let  $\theta$  be a sentence such that

$$(*) \quad N \vdash \theta \leftrightarrow \neg \text{Thm}_A(\overline{\ulcorner \theta \urcorner}).$$

By proof of 1st Incompleteness Theorem, we know that  $A \not\vdash \theta$ .

CLAIM:  $A \vdash \text{Con}_A \rightarrow \theta$ . (It follows that  $A \not\vdash \text{Con}_A$ .)

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PROOF OF CLAIM: By (\*), we have  $A \vdash \text{Thm}_A(\overline{\ulcorner \theta \urcorner}) \rightarrow \neg\theta$ .

$$(D1): A \vdash \overline{\text{Thm}_A(\ulcorner \text{Thm}_A(\overline{\ulcorner \theta \urcorner}) \rightarrow \neg\theta \urcorner)}$$

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By (PC) rule,  $A \vdash \text{Thm}_A(\overline{\ulcorner \theta \urcorner}) \rightarrow \overline{\text{Thm}_A(\ulcorner \neg\theta \urcorner)}$ .

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Next step:  $A \vdash \text{Thm}_A(\overline{\Gamma\theta\overline{\Gamma}}) \rightarrow \underbrace{\text{Thm}_A(\overline{\Gamma\perp\overline{\Gamma}})}_{\neg\text{Con}_{PA}}$ .

Taking the contrapositive, we have  $A \vdash \text{Con}_A \rightarrow \neg\text{Thm}_A(\overline{\Gamma\theta\overline{\Gamma}})$ .

Finally, by (\*) and (PC) rule:  $A \vdash \text{Con}_A \rightarrow \theta$ .

Q.E.D.

## COMPLETE, CONSISTENT, RECURSIVE THEORIES

The 1st Incompleteness Theorem implies that  $Th(\mathfrak{N})$  has no complete, consistent, recursive axiomatization.

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In contrast, there are beautiful examples of complete, consistent, recursive theories:

- $Th(\mathbb{N}, 0, 1, +)$  (Presburger Arithmetic)
- $Th(\mathbb{R}, 0, 1, +, \cdot, <)$  (the theory of real closed fields)
- Euclidean Geometry:  $Th(\mathbb{R}^2, \text{Between}, \text{Congruent})$  where

$$\text{Between} := \{(a, b, c) \in (\mathbb{R}^2)^3 : b \in ac\},$$

$$\text{Congruent} := \{(a, b, c, d) \in (\mathbb{R}^2)^4 : |ab| = |cd|\}.$$

Here  $ab$  denotes the line segment between points  $a, b \in \mathbb{R}^2$ , and  $|ab|$  is the length of  $ab$ . (See Tarski’s axioms.)