

# Special Properties of Holomorphic Functions

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For all these theorems, we assume the function  $f$  is holomorphic. The first most basic special property of holomorphic functions is that they have power series  $f(z) = \sum c_n z^n$ . This, along with the Cauchy integral formula, can be used to get a few more properties that holomorphic functions *must* satisfy. The main ones for us are:

- Non-zero functions have discrete zeros
- Bounded functions are 0 (Liouville's Theorem)
- Mean Value Property/Maximum Modulus Principle
- Schwarz Lemma

**Theorem 1.** (*Discrete Zeros*) *If there is a sequence  $z_n$  that has an accumulation point and  $f(z_n) = 0$  for every  $n$ , then  $f \equiv 0$*

*Proof.* Suppose WOLOG that the accumulation point is at  $z = 0$ . Write  $f(z) = \sum c_n z^n$ . If this is non-zero, then there is a first non-zero term, say  $f(z) = z^n (c_n + c_{n+1}z + \dots)$ . But then  $c_n = \lim_{z \rightarrow 0} (c_n + c_{n+1}z + \dots) = \lim_{k \rightarrow \infty} (c_n + c_{n+1}z_k + \dots) = \lim_{k \rightarrow \infty} \frac{f(z_k)}{z_k^n} = \lim_{k \rightarrow \infty} 0 = 0$ , so  $c_n = 0$ , which contradicts that this was the first non-zero coefficient.  $\square$

*Remark 2.* By subtracting a constant from  $f$ , the proof gives us a “discrete  $\alpha$ ’s” theorem: the set  $\{z : f(z) = \alpha\}$  cannot have accumulation points unless  $f \equiv \alpha$

**Theorem 3.** (*Liouville's Theorem*) *If  $f(z)$  is bounded, then  $f(z) = \text{const}$ .*

*Proof.* Write  $f(z) = \sum c_n z^n$  and use the Cauchy Integral formula to estimate the  $c_n$ 's (proof taken from wikipedia)

$$\begin{aligned} |a_k| &\leq \frac{1}{2\pi} \oint_{C_r} \frac{|f(\zeta)|}{|\zeta|^{k+1}} |d\zeta| \\ &\leq \frac{1}{2\pi} \oint_{C_r} \frac{M}{r^{k+1}} |d\zeta| \\ &= \frac{M}{r^k} \rightarrow 0 \text{ as } r \rightarrow \infty \end{aligned}$$

$\square$

**Corollary 4.** (*Extended Liouville's Theorem*) *If  $f(z)$  has polynomial growth,  $|f(z)| \leq A|z|^N + B$  and then  $f(z)$  is a polynomial of order  $N$ .*

*Proof.* (Method 1) Same estimate as above that goes to zero only for  $k > N$  (Method 2) Do a proof by induction by writing  $g(z) = \frac{f(z) - f(0)}{z}$  to reduce  $N$  by 1.  $\square$

**Theorem 5.** *If  $f(z) \rightarrow \infty$  as  $z \rightarrow \infty$ , then  $f(z)$  is a polynomial. (More precisely, the condition is that  $\forall M > 0, \exists R > 0$  so that  $|f(z)| > M$  for all  $|z| > R$ )*

*Proof.* The idea is to see that  $\frac{1}{f(z)}$  must be bounded-ish, and then apply the Liouville theorem. The obstruction that one has to work around is the zeros of  $f$  which ruin the analyticity of  $\frac{1}{f}$ .

*Claim:*  $f$  has finitely many zeros

*Pf:* Choose  $R$  so that  $|f(z)| > 1$  for  $|z| < R$ , so that  $f$  cannot have zeros outside of  $\{z : |z| \leq R\}$ . Since this is a compact set,  $f$  cannot have infinitely many zeros here, because if it did they would have an accumulation point and then  $f \equiv 0$ . The only remaining possibility is that  $f$  has finitely many zeros.

Let  $\alpha_1, \alpha_2, \dots$  are the zeros of  $f$ , then consider  $g(z) = \frac{f(z)}{\prod(z - \alpha_i)}$ . This is holomorphic! Notice that  $\left| \frac{1}{g(z)} \right| \leq \frac{1}{\prod |z - \alpha_i|}$  has polynomial growth outside of  $|z| = R$ . By the extended Liouville theorem,  $\frac{1}{g}$  is a polynomial. But this polynomial has no zeros, so it must be that  $g \equiv \text{const}$ . Then  $f(z) = C \prod(z - \alpha_i)$  is a polynomial.  $\square$

**Corollary 6.** *If  $f(z) : \mathbb{C} \rightarrow \mathbb{C}$  is entire and has a holomorphic inverse, then  $f(z) = az + b$  for  $a, b \in \mathbb{C}$*

*Proof.* This  $f$  will have  $f \rightarrow \infty$  as  $z \rightarrow \infty$ . Otherwise, we would have an  $M$  and a sequence  $z_n \rightarrow \infty$  with  $|f(z_n)| \leq M$ . Let  $w_n = f(z_n)$ . Have then that  $f^{-1}(w_n) \rightarrow \infty$  but  $|w_n| < M$ , which means that  $f^{-1}$  is unbounded on the ball of radius  $M$ . This is impossible since  $f$  is continuous. Hence  $f$  is a polynomial. Since it is 1-1 it must be a degree 1 polynomial, and the result follows.

(There is another proof of this theorem using the fact that  $f$  cannot have an essential singularity at  $\infty$ , because it would not be 1 : 1 if that happened (This is because essential singularities give dense images). Taking the Laurent series expansion around  $\infty$  will then reveal that  $f$  must be a polynomial.)  $\square$

**Theorem 7. (Mean Value Property)**  $f(z_0) = \frac{1}{2\pi} \int_0^{2\pi} f(z_0 + re^{i\theta}) d\theta$ .

*Proof.* This is just a restatement of the Cauchy Integral formula.  $\square$

**Theorem 8. (Maximum Modulus Principle)** *The modulus,  $|f(z)|$  cannot have a local maximum in the interior of the domain of definition unless  $f \equiv \text{const}$*

*Proof.* By the mean value property,  $|f(z)| \leq \frac{1}{2\pi} \int_0^{2\pi} |f(z + re^{i\theta})| d\theta \leq \max_{\theta} |f(z + re^{i\theta})|$ . If for some value of  $r$ , this equality is strict (i.e. its  $<$ ) then  $f$  is not a local maximum. Otherwise, if this is equality for every sufficiently small  $r$ , notice the second equality hold if  $|f(z + re^{i\theta})| = \max_{\theta} |f(z + re^{i\theta})|$  for each  $\theta$ , so it must be that  $|f|$  is constant on every circle of radius  $r$ . By the “discrete zeros” property,  $f$  is then constant everywhere.  $\square$

*Remark 9.* If  $f$  has no zeros, you can apply the maximum modulus principle to  $\frac{1}{f}$  to get a minimum modulus principle.

**Theorem 10. (Schwarz Lemma)** *Let  $D$  be the unit disk and let  $f : D \rightarrow D$  be a holomorphic function with  $f(0) = 0$ . Then  $|f(z)| \leq |z|$  for all  $z$  AND  $|f'(0)| \leq 1$ . Moreover, if either of these are equality at any point, then it must be that  $f(z) = \text{const}$*

*Proof.* Apply the maximum modulus principle to  $g(z) = \frac{f(z)}{z}$ . On the boundary it has modulus 1, so  $|g(z)| \leq 1$  everywhere which gives the result. (There is a slight technicality : you have to do it on a disk of radius  $r < 1$  and then take the limit  $r \rightarrow 1$ )  $\square$

**Theorem 11. Fractional Linear Transformations (FLT)** *are the ONLY conformal maps from the unit disk to the unit disk.*

*Proof.* Suppose  $f : D \rightarrow D$  is holomorphic. Say  $\phi$  is any FLT. It suffices to show that  $g = \phi \circ f$  is a fractional linear transformation, since FLTs are closed under composition. Choose  $\phi$  to map  $f(0) \xrightarrow{\phi} 0, D \xrightarrow{\phi} D$  so that  $g(0) = 0$  and  $g : D \rightarrow D$ . By Schwarz lemma  $|g(z)| \leq |z|$ . Since this is a conformal map, it has an inverse  $g^{-1} : D \rightarrow D$ . Notice  $g^{-1}(0) = 0$  too, so applying Schwarz lemma we have  $|g^{-1}(z)| \leq |z|$  relabeling  $z = g(w)$  this is saying  $|w| \leq |g(w)|$ . Since we have inequalities both ways, it must be that  $|g(z)| = |z|$  everywhere. Hence  $g$  must be a constant of norm 1.  $\square$

**Problem 12.** (Jan 2008 Problem 1)

*Part 1:* Prove that the most general 1:1 conformal map of the upper half-plane onto itself is of the form:

$$z \rightarrow \frac{az + b}{cz + d}$$

with real  $a, b, c, d$  and  $ad - bc = 1$

*Part 2:* Let  $f$  be a 1:1 analytic function from the plane to itself. What can it be? A full explanation from first principles is wanted.

**Problem 13.** (Sept 2006 Problem 4)

*Part 1:* Conformally map the region  $A = z : \text{Re}(z) < 0, 0 < \text{Im}(z) < \pi$  onto the first quadrant.

*Part 2* Describe all conformal maps from  $A$  to the first quadrant.