

Under assumptions on  $X$  (connected, local simple-connected, and semi-locally simply connected, in order to define the topology of  $\tilde{X}$ ) we constructed a universal covering  $(\tilde{X}, p)$ , by setting

$$\tilde{X} = \{[\gamma] : \gamma \text{ is a path in } X \text{ starting at } x_0\}.$$

We also saw that this space has trivial fundamental group, as follows: Any path  $\gamma$  in  $X$  may be lifted to  $\tilde{X}$  by defining  $\tilde{\gamma}(t)$  to be the path  $\gamma$  up to time  $t$  (and constant afterwards). If  $[\gamma]$  is in the image of  $p_*$ , this means that there is a loop in this class, say  $\gamma$ , which lifts to a loop  $\tilde{\gamma}$  in  $\tilde{X}$ . But this means that  $\gamma$  up to time 1 is equal in  $\tilde{X}$  (i.e. homotopic to) to  $\gamma$  up to time 0, i.e.  $[\gamma] = 0$  in  $\pi_1(X)$ . Since  $p_*$  is injective, it must be that  $\pi_1(\tilde{X}) = 0$ .

Having the universal cover, we can produce all other coverings via quotients of it, as follows:

*surjectivity of functor.* Suppose now that  $(X, x_0)$  has a (path-connected) universal covering space  $(\tilde{X}, \tilde{x}_0)$ , and suppose a subgroup  $H \subset \pi_1(X, x_0)$  is specified. Then we define an equivalence relation on  $\tilde{X}$  as follows: given points  $[\gamma], [\gamma'] \in \tilde{X}$ , we define  $[\gamma] \sim [\gamma']$  iff  $\gamma(1) = \gamma'(1)$  and  $[\gamma'\gamma^{-1}] \in H$ . Because  $H$  is a subgroup, this is an equivalence relation. Now set  $X_H = \tilde{X} / \sim$ . Note that this equivalence relation holds for nearby paths in the sense  $[\gamma] \sim [\gamma']$  iff  $[\gamma\eta] \sim [\gamma'\eta]$ . Therefore, if any two points in  $U_{[\gamma]}, U_{[\gamma']}$  are equivalent, then so is every other point in the neighbourhood. This shows that the projection  $p : X_H \rightarrow X$  via  $[\gamma] \mapsto \gamma(1)$  is a covering map.

As a basepoint in  $X_H$ , pick  $[x_0]$ , the constant path at  $x_0$ . Then the image of  $p_*$  is  $H$ , since the lift of the loop  $\gamma$  is a path beginning at  $[x_0]$  and ending at  $[\gamma]$ , and this is a loop exactly when  $[\gamma] \sim [x_0]$ , i.e.  $[\gamma] \in H$ . □

**Example 1.37** (Diagram: page 58). Consider the wedge  $S^1 \vee S^1$ . Recall that  $\pi_1(S^1 \vee S^1) = F_2 = \langle a, b \rangle$ . View it as a graph with one vertex and two edges, labeled by  $a, b$  with their appropriate orientations. We can then take any other graph  $\tilde{X}$ , labeled in this way, and such that each vertex is locally isomorphic to the given vertex, and define a covering map to  $S^1 \vee S^1$ . The resulting graph  $\tilde{X}$  is itself a wedge of  $k$  circles, with fundamental group  $F_k$ . Hence we obtain a map  $F_k \rightarrow F_2$  which is an injection. Examples (1), (2)

In fact, every 4-valent graph can be labeled in the way required above: if the graph is finite, take an Eulerian circuit and label the edges  $a, b, a, b, \dots$ . Then the  $a$  edges are a collection of disjoint circles: orient them and do the same for the  $b$  edges.

An infinite 4-valent graph may be constructed which is a simply-connected covering space for  $S^1 \vee S^1$ : it is a fractal 4-branched tree (drawing).

Not only can we have a free group on any number of generators as a subgroup of  $F_2$ , but also we can have infinitely many generators (drawing of (10), (11))

Note that changing the basepoint vertex of a covering simply conjugates  $p_*(\pi_1(\tilde{X}, \tilde{x}_0))$  inside  $\pi_1(X, x_0)$ . (draw (3), (4)). Isomorphism of coverings (without fixing basepoints) is just a graph isomorphism preserving labeling and orientation.

Note also that characteristic subgroups may be isomorphic without being conjugate. (draw (5),(6)), these are homeomorphic graphs, but not isomorphic as covering spaces.

**Example 1.38.** If  $X$  is a path-connected space with fundamental group  $\pi_1(X, x_0)$ , then by attaching 2-cells  $e_\alpha^2$  via maps  $\varphi_\alpha : S^1 \rightarrow X$ , then the resulting space  $Y$  will have fundamental group which is a quotient of  $\pi_1(X, x_0)$  by the normal subgroup  $N$  generated by loops of the form  $\gamma_\alpha \varphi_\alpha \gamma_\alpha^{-1}$ , for any  $\gamma_\alpha$  chosen to join  $x_0$  to  $\varphi_\alpha(1)$ . This is seen by Van Kampen's theorem applied to a thickened version  $Z$  of  $Y$  where the paths  $\gamma_\alpha$  are thickened to intervals attached to the discs  $e_\alpha$ .

We can use this construction to obtain any group as a fundamental group. Choose a presentation

$$G = \langle g_\alpha \mid r_\beta \rangle.$$

This is possible since any group is a quotient of a free group. Then we construct  $X_G$  from  $\vee_\alpha S_\alpha^1$  by attaching 2-cells  $e_\beta^2$  by loops specified by the words  $r_\beta$ . (for example, to obtain  $\mathbb{Z}_n = \langle a \mid a^n = 1 \rangle$ , attach a single 2-cell to  $S^1$  via the map  $z \mapsto z^n$ . For  $n = 2$  we obtain  $\mathbb{R}P^2$ .)

The Cayley complex is one way of describing the universal cover of  $X_G$ . It is a cell complex  $\tilde{X}_G$  constructed as follows: The vertices are the elements of  $G$  itself. Then at each vertex  $g \in G$ , attach an edge joining  $g$  to  $gg_\alpha$  for each generator  $g_\alpha$ . The resulting graph is the Cayley graph of  $G$  with respect to the generators  $g_\alpha$ . Then, each relation  $r_\beta$  determines a loop starting at any  $g \in G$ , and we attach a 2-cell to all these loops. There is an obvious map to  $X_G$  given by quotienting by the action of  $G$  on the left, which sends all points to the basepoint, each edge  $g \rightarrow gg_\alpha$  to the edge  $S_\alpha^1$ , and each 2-cell associated to  $r_\beta$  to that attached in the construction of  $X_G$ .

For example, consider  $G = \mathbb{Z}_2 * \mathbb{Z}_2 = \langle a, b \mid a^2 = b^2 = 1 \rangle$ . then the Cayley graph has vertices  $\{\dots, bab, ba, b, e, a, ab, aba, \dots\}$ , and two generators so there will be four edges coming in/out of each vertex  $g$ : two outward edges corresponding to right multiplication by  $a, b$  to  $ga, gb$ , and two inward coming from  $ga^{-1}, gb^{-1}$ . We therefore obtain an infinite sequence of tangent circles. We produce the Cayley complex by attaching a 2-cell corresponding to  $a^2$  to the loop produced at each vertex  $g$  by following the loop  $g \rightarrow ga \rightarrow ga^2$ . This attaches two 2-cells to each circle, yielding a sequence of tangent 2-spheres, clearly a simply-connected space. The action of  $G$  corresponds to an action by even translations ( $ab$ ) and the antipodal maps, giving the quotient space  $\mathbb{R}P^2 \vee \mathbb{R}P^2$ .

### 1.7 Group actions and Deck transformations

In many cases we obtain covering spaces  $\tilde{X} \rightarrow X$  from group actions; if a group  $A$  acts on  $\tilde{X}$ , the quotient map  $\tilde{X} \rightarrow \tilde{X}/A$  may, under some assumptions on  $A$  and its action, be a covering.

For example, we can define the  $n$ -fold covering  $S^1 \rightarrow S^1$  as simply the quotient of  $S^1$  by the action of  $\mathbb{Z}_n$  via  $x \mapsto x\sigma^n$  for  $\sigma = e^{2\pi i/n}$ , or even  $\mathbb{R} \rightarrow S^1$  via the quotient by the  $\mathbb{Z}$  action  $x \mapsto x + n$ .

In general, if  $p : (\tilde{X}, \tilde{x}_0) \rightarrow (X, x_0)$  is a universal cover, then we can obtain  $X$  as a quotient of  $\tilde{X}$  by the action of the fundamental group  $\pi_1(X, x_0)$  as follows:

Given an element  $[\gamma] \in \pi_1(X, x_0)$ ,  $\gamma$  lifts to a path terminating in  $\tilde{x}'_0$  over  $x_0$ . Now the covering  $p$  has a unique lift to  $\tilde{X}$ , sending  $\tilde{x}_0$  to the alternative basepoint  $\tilde{x}'_0$ . This lift is a homeomorphism  $\tilde{X} \rightarrow \tilde{X}$ , and this defines an action of  $\pi_1(X, x_0)$  on  $\tilde{X}$ . We'll be careful in a moment to show the quotient is  $X$ .

In general, not all covering maps  $p$  will be the quotient by the action of a group: this will only be the case for *normal* covering maps, i.e. those for which  $p_*(\pi_1(\tilde{X}))$  is a normal subgroup  $N$ ; Then  $\pi_1(X, x_0)/N$  is a group, and this will act in the same way as above, with quotient  $X$ .

**Example 1.39** (Coverings of surfaces). *There are many interesting coverings of surfaces, which can be constructed by acting by symmetry groups:*

*An example of a covering of a compact surface: take a genus  $mn + 1$  surface, draw it as a surface with  $m$  genus  $n$  legs and a hole in the center. There is an obvious  $\mathbb{Z}_n$  symmetry by rotating by  $2\pi/n$ . The quotient map is then a  $m$ -fold covering map to a surface of genus  $n + 1$ .*

*Consider a genus  $g$  surface in  $\mathbb{R}^3$  with the holes along an axis, and consider the rotation about this axis by  $\pi$ , giving a  $\mathbb{Z}_2$  action with  $2(g + 1)$  fixed points. Remove the fixed points. The punctured surface then is a 2-sheeted cover of  $S^2$  punctured in  $2(g + 1)$  points. This is the topological description of an equation  $y^2 = f(z)$  with  $f$  of degree  $2g + 1$  (this way,  $y^2 = f$  has exactly two solutions except at the  $2g + 1$  zeros of  $f$  and the point at infinity where  $f = \infty$ ). The particular case where  $f$  has degree 3 defines a genus 1 surface, which is called an elliptic curve once a complex structure is chosen on it.*

**Example 1.40.** *The antipodal map on  $S^n$  is an action of  $\mathbb{Z}_2$  with no fixed points; the quotient map is a covering of  $\mathbb{R}P^n$ . This will imply that  $\pi_1(\mathbb{R}P^n) = \mathbb{Z}_2$ . In the case  $n = 3$ , this 2:1 cover is also known as the sequence of groups*

$$0 \longrightarrow \mathbb{Z}_2 = \{\pm 1\} \longrightarrow SU(2) \xrightarrow{\pi} SO(3) \longrightarrow 0$$

*Note that  $SO(3)$  has several famous finite subgroups: the cyclic groups  $A_n$ , the dihedral groups  $D_n$ , and the symmetry groups of the tetrahedron, octahedron, and dodecahedron,  $E_6, E_7, E_8$ . In this way we can construct other covering spaces, e.g.  $S^3 \rightarrow S^3/\pi^{-1}(E_8)$ , the Poincaré dodecahedral space, a homology 3-sphere.*

To formalize the observations above, we wish to answer the following questions: Given a connected covering space (without basepoint), what is its group of automorphisms (deck transformations), and when does this group define the covering as a quotient? And, more generally, when is a group action defining a covering map?

**Definition 10.** A covering map  $p : \tilde{X} \rightarrow X$  is called normal when, for each  $x \in X$  and each pair of lifts  $\tilde{x}, \tilde{x}'$  of  $x$ , there is an automorphism of  $p$  taking  $\tilde{x}$  to  $\tilde{x}'$ .

**Theorem 1.41.** *If  $p : \tilde{X} \rightarrow X$  is a path-connected covering (of  $X$  path-connected and locally path-connected), with characteristic subgroup  $H$ , then the group of automorphisms of  $p$  is  $A = N(H)/H$ , and the quotient  $\tilde{X}/A$  is the covering with characteristic subgroup  $N(H)$ . Therefore, a covering is normal precisely when  $H$  is normal, and in this case the automorphism group is  $A = \pi_1(X)/H$  and  $\tilde{X}/A = X$ .*

*Proof.* Changing the basepoint from  $\tilde{x}_0 \in p^{-1}(x_0)$  to  $\tilde{x}_1 \in p^{-1}(x_0)$  corresponds to conjugating  $H$  by  $[\gamma] \in \pi_1(X, x_0)$  which lifts to a path  $\tilde{\gamma}$  from  $\tilde{x}_0$  to  $\tilde{x}_1$ . Therefore,  $[\gamma] \in N(H)$  iff  $p_*(\pi_1(\tilde{X}, \tilde{x}_0)) = p_*(\pi_1(\tilde{X}, \tilde{x}_1))$ , which is the case (by the lifting of maps) iff there is a deck transformation taking  $\tilde{x}_0$  to  $\tilde{x}_1$ . Therefore  $\tilde{X}$  is normal iff  $N(H) = \pi_1(X, x_0)$ , i.e.  $H$  is already normal in  $\pi_1(X, x_0)$ .

In general there is a group homomorphism  $\varphi : N(H) \rightarrow A$ , sending  $[\gamma]$  to the deck transformation mapping  $\tilde{x}_0 \mapsto \tilde{x}_1$  as above. It is surjective by the argument above, and its kernel is precisely the classes  $[\gamma]$  lifting to loops, i.e. the elements of  $H$  itself. □

**Theorem 1.42.** *Suppose  $G$  acts on  $Y$  in a properly discontinuous way, i.e. each  $y \in Y$  has a neighbourhood  $U$  such that  $gU$  are disjoint for all  $g \in G$ . Then the quotient of  $Y$  by  $G$  is a normal covering map, and if  $Y$  is path-connected then  $G$  is the automorphism group of the cover.*

*Proof.* First we remark that deck transformations of a covering space obviously have the properly discontinuous property.

To prove the result, take any open set  $U$  as in the definition of proper discontinuity. Then the quotient map identifies the disjoint homeomorphic neighbourhoods  $\{g(U) : g \in G\}$  with  $p(U) \subset Y/G$ . By the definition of the quotient topology, this gives a homeomorphism on each component, and hence we have a covering.

Certainly  $G$  is a subgroup of the deck transformations, and the covering space is normal since  $g_2g_1^{-1}$  takes  $g_1(U)$  to  $g_2(U)$ , and if  $Y$  is path-connected then  $G$  equals the deck transformations, since if a deck transformation  $f$  sends  $y$  to  $f(y)$ , we may simply lift the covering to the alternative point  $f(y)$  (the lifting criterion is satisfied since the cover is normal) and this deck transformation must coincide with  $f$  by uniqueness.  $\square$

**Remark 1.** *Suppose  $p : \tilde{X} \rightarrow X$  is a finite covering. Fixing  $x_0 \in X$ , we have two natural permutation actions on the finite set  $p^{-1}(x_0)$ : one is by  $\pi_1(X, x_0)$ , via lifting of loops, i.e. given  $[\gamma] \in \pi_1(X, x_0)$ , the permutation  $\sigma([\gamma])$  acts on  $\tilde{x}_0$  by  $\sigma(\tilde{x}_0) = \tilde{\gamma}(1)$ , where  $\tilde{\gamma}$  is the lift of  $\gamma$  starting at  $\tilde{x}_0$ . The study of this permutation action is an alternative approach to classifying covering spaces, and this is described in Hatcher. It is useful to understand both approaches.*

*The second action is by the group of deck transformations  $A = N(H)/H$  (for the characteristic subgroup  $H$ ). These actions commute. Interestingly, when  $\tilde{X}$  is the universal cover,  $A$  is  $\pi_1(X, x_0)$  as well, and so we have the same group acting in two ways—these actions need not coincide.*