

- 1) Assignment 3, (due June 11, 11:59 PM)
- 2) Term test (mock Term test on June 16)
- 3) Post-lecture practice questions.
(finger exercises)

Submanifolds

We start by studying more the algebraic properties of F_* .

Let $F: N \rightarrow M$ be a C^∞ map

$$\begin{aligned} \text{rk } F(p) &:= \text{rank}(F_{*,p}: T_p N \rightarrow T_{F(p)} M) \\ &= \dim(F_{*,p}(T_p N)) \\ &= \text{rank}\left(D(\psi \circ F \circ \phi) \Big|_{\phi^{-1}(p)}\right) = \text{rank}\left(\left[\frac{\partial F^i}{\partial x^j}\right]_p\right) \end{aligned}$$

Def: F is an immersion at p if $F_{*,p}$ is injective. ← open condition

F is an immersion if $F_{*,p}$ is injective $\forall p \in N$
(we say F has constant rank n)

Def: F is a submersion at p if $F_{*,p}$ is surjective ← open condition.

F is a submersion if $F_{*,p}$ is surjective $\forall p \in N$.
(we say F has constant rank m)

Proposition: F is a local diffeomorphism at p iff F is a submersion and an immersion at p .

Ex: Canonical immersion $i: \mathbb{R}^n \rightarrow \mathbb{R}^m$, where $n < m$
 $(x^1, \dots, x^n) \mapsto (x^1, \dots, x^n, 0, \dots, 0)$

i is smooth.

Check i is
an open
map

$$i_*: \frac{\partial}{\partial x^i} \Big|_P \mapsto \frac{\partial}{\partial x^i} \Big|_{i(P)} \quad i=1, \dots, n$$

$$\{i_*\} = Di = \begin{bmatrix} I_{n \times n} \\ 0 \end{bmatrix} \text{ injective } \forall P$$

Ex: Canonical submersion $\pi: \mathbb{R}^n \rightarrow \mathbb{R}^m$, where $n > m$
 $(x^1, \dots, x^n) \mapsto (x^1, \dots, x^m)$

π is smooth.

$$\{\pi_*\} = Di = \begin{bmatrix} I_{m \times m} & 0 \end{bmatrix} \text{ which is} \\ \text{surjective } \forall P.$$

The immersion theorem: Let $F: N \rightarrow M$ be an immersion
 let $P \in N$.

$\exists (U, \phi)$ near P on N and (V, ψ) near $F(P)$ on M s.t.

$$\psi \circ F \circ \phi^{-1}: (x^1, \dots, x^n) \mapsto (x^1, \dots, x^m, 0, \dots, 0)$$

Corollary: An immersion is locally injective.

Compare F_* with F

Submersion Theorem: Let $F: N \rightarrow M$ be a submersion
Let $P \in N$

$$\exists \psi \circ F \circ \phi^{-1}: (x^1, \dots, x^n) \mapsto (x^1, \dots, x^m)$$

Corollary: A submersion is an open map.

More generally:

Constant rank theorem: Let $F: N \rightarrow M$ be C^∞ map
with constant rank r . Let $P \in N$.

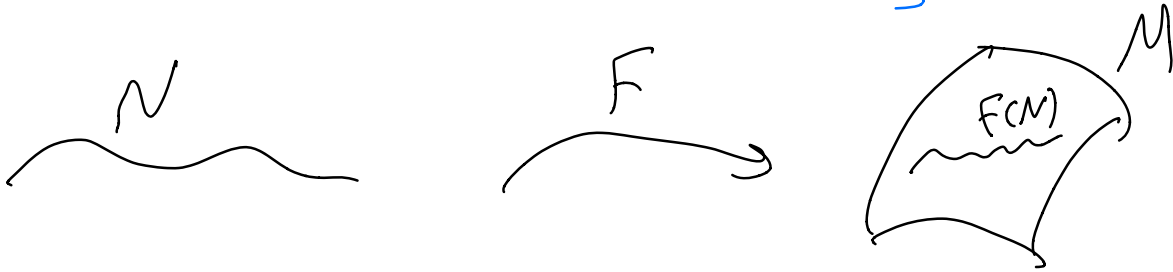
$$\exists \psi \circ F \circ \phi^{-1}: (x^1, \dots, x^n) \mapsto (x^1, \dots, x^r, 0, \dots, 0)$$

(Proven in Appendix).

Def: Let $F: N \rightarrow M$ be a C^∞ map. We say F is
an embedding if it's an immersion that is
homeomorphic onto its image,

- 1) F is an immersion
- 2) $F: N \rightarrow \underline{F(N)}$ is a homeomorphism
↖ equipped with subspace topol.

(topological embedding)



Ex 1: Canonical immersion

\Rightarrow Daniels' Theorem:

An immersion is locally an embedding

Ex 2: Let M be a k -dim manifold in \mathbb{R}^n
(as defined in Assignment 7)

Then the inclusion map $i: M \hookrightarrow \mathbb{R}^n$ is an embedding.

equipped with smooth structure (pointing to M)
equipped with smooth structure (pointing to \mathbb{R}^n)
 \uparrow \downarrow
 $\mathbb{R}^k \hookrightarrow \mathbb{R}^n$
subspace topology (pointing to \mathbb{R}^k)

Proof: Clearly $i: M \rightarrow i(M)$ is a homeomorphism onto its image (because M is equipped with the subspace topology)

Let $f: V \rightarrow U$ be a map satisfying
 $V \subseteq \mathbb{R}^k$ (open) U (open in M)

the 3 properties in Assignment 7,

then (U, f^{-1}) is a chart

Coordinate representation of i is

$$\text{Id} \circ i \circ f : U \rightarrow \mathbb{R}^n$$

$$: x \mapsto f(x) \quad \text{which is } C^\infty$$

and $D(\text{Id} \circ i \circ f) = Df$ is injective by the third property. So i is an immersion \square

Remark: The smooth structure of M inherited the smooth structure on \mathbb{R}^n in the following sense:

If $f: \mathbb{R}^n \rightarrow \mathbb{R}$ is C^∞ ,
then $f|_M = f \circ i : M \rightarrow \mathbb{R}$ is C^∞

Def #1:

$S \subseteq M$ is an embedded submanifold of M if it's a manifold s.t. $i: S \hookrightarrow M$ is an embedding.

Ex1: k -dim manifolds in \mathbb{R}^n .

Ex2: Let $f: \mathbb{R} \rightarrow \mathbb{R}$, $f(x) = |x|$.

Then $\Gamma_f = \left\{ (x, f(x)) : x \in \mathbb{R} \right\} \subseteq \mathbb{R}^2$ is a smooth manifold with C^∞ atlas

$\left\{ (\Gamma_f, \pi|_{\Gamma_f}) \right\}$ where $\pi: (x, y) \mapsto x$

Is this an embedded submanifold of \mathbb{R}^2 ?

Is $i: \Gamma_f \hookrightarrow \mathbb{R}^2$ an embedding?

topological embedding? ✓

Note that

$$\text{Id} \circ i \circ \pi|_{\Gamma_f}^{-1}: x \mapsto (x, |x|)$$

which is not C^∞ so i is not an embedding.

Ex3: Graph of a smooth function.

Let $f: N \rightarrow M$ be a C^∞ map.

Then $\Gamma_f = \left\{ (p, f(p)) \in N \times M \mid p \in N \right\} \subseteq N \times M$

is an embedded submanifold
of $N \times M$.

Ex C

Ex 4: open subsets $U \subseteq M$ are
embedded submanifolds of M
of the same dimension.

(they are the only ones)

etc

Ex 5: Let $F: N \rightarrow M$ be an embedding

then $\exists!$ smooth structure on $F(N)$

s.t. $F(N)$ is an embedded submanifold
with the property

$F: N \rightarrow F(N)$ is
a diffeomorphism.



For every diffeomorphism $f: \mathbb{R}^3 \rightarrow \mathbb{R}^3$,

$f(S^2)$ is a submanifold of \mathbb{R}^3

That is diffeomorphic to S^2

$S^2 \cong$ ellipse

$$f(x, y, z) = (ax + by, cz)$$

\rightarrow f restricts to an embedding.

In fact, Every manifold is an ^{embedded} submanifold of a Euclidean space.

Whitney's Embedding Theorem:

For any smooth manifold M of dim n ,

$\exists f: M \rightarrow \mathbb{R}^{2n}$ that is an embedding

so $(f(M) \text{ is a submanifold of } \mathbb{R}^{2n})$ ^{one you studied in 257} diffeomorphic to M

Sharp!!

why?

$\mathbb{R}P^2$ & Klein bottle
are 2-dim manifolds that
cannot be embedded in \mathbb{R}^3

Post-lecture Practice questions:

- 1) do the **exercises** above?
- 2) Show $F: (x, y, z) \rightarrow (ax, by, cz)$
restricts to an embedding on S^2 .
Assignment 3 problem 1c then implies that the
ellipse $F(S^2)$ is diffeomorphic to S^2 .
- 3) show $\text{rk } F: N \rightarrow \mathbb{R}$ is continuous iff F is of
constant rank.
- 4) for $p \in N$, show that $\{p\} \times M$ is an embedded
submanifold of $N \times M$.
- 5) If $S \subseteq M$ is an embedded submanifold. Show that there is
no other smooth structure on S making S a submanifold
of M .

6) Think of an immersion that is not an embedding.

Pictures are fine.

Think of an injective immersion that is not an embedding.

7) Suppose $F: N \rightarrow M$ is C^∞ and $F(N) \subseteq S$ where S is a submanifold.

Show that $F: N \rightarrow S$ is also C^∞ .

8) Problem 11.1, 11.2, 11.3

9) F is an immersion $\Rightarrow F$ is injective?

F is a submersion $\Rightarrow F$ is surjective?

F is a local diffeomorphism $\Rightarrow F$ is bijective?