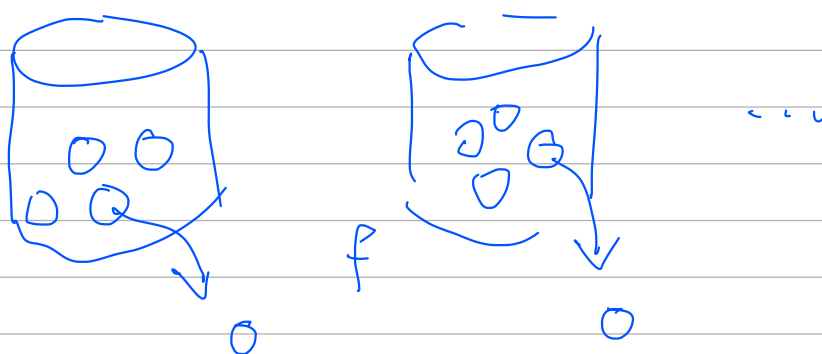


The Axiom of Choice and Zorn's Lemma

Def: Let  $A$  be non-empty collection of non-empty sets. Then a  $f: A \rightarrow \cup A$  is choice function if  $f(B) \in B$ .



Examples:

1. All pairs of gloves in the world  
Picking the left glove is a choice function
2.  $A = \mathcal{P}(\mathbb{N}) \setminus \{\emptyset\}$ ,  $B \in A$   
 $f(B) = \min(B)$
3.  $W$ : well-ordered  
 $A = \mathcal{P}(W) \setminus \{\emptyset\}$ ,  $B \in A$   
 $f(B) = \min(B)$

Q: Do we always have a choice function?

$$\emptyset(B) = \exists x (x \in B)$$

Finite collection  $B_1, \dots, B_n$

$$\phi(B_1) \wedge \phi(B_2) \wedge \dots \wedge \phi(B_n)$$

Conjoin finitely many formulae  $\forall$

$A = \{ \text{infinitely many sets} \} ?$

Axiom of Choice: For a non-empty collection  $A$  of non-empty sets, there exists a choice function.

Consider a product

$$\prod_{\alpha \in J} U_\alpha \neq \emptyset \quad \text{if} \quad U_\alpha \neq \emptyset$$

Equivalents of AC:

Thm: The following statements are equivalent

1. AC

2. The Well-Ordering Theorem

3. Zorn's Lemma



Every set can be well-ordered

$$\mathbb{N} \longrightarrow \{ \boxed{2}, 4, 8, \dots \}$$

$$2 \Rightarrow 1: f(B) = \min(B)$$

Def:  $(P, \leq)$  be a partial order.  $A \subseteq P$ .

Then, we say  $p \in P$  is an upper bound for  $A$  if  $a \leq p \quad \forall a \in A$

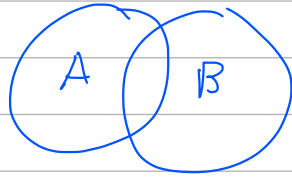
Partial order:  $(P, \leq)$

1. Reflexive:  $a \leq a$

2. Anti-symmetric:  $a \leq b, b \leq a \Rightarrow a = b$

3. Transitive:  $a \leq b, b \leq c \Rightarrow a \leq c$

$\subseteq$ :



not comparable

total order: every pair of elements is comparable.

Def  $(P, \leq)$  partial order

$m \in P$  is maximal if

there is no  $p \in P$  s.t.  $m \leq p$

Def: A chain is a totally ordered subset.

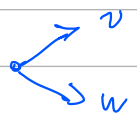
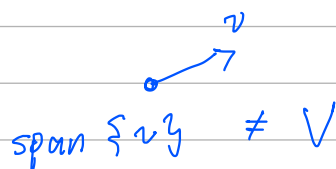
Zorn's Lemma:

Let  $(P, \leq)$  be a partial order s.t. every chain has an upper bound.

Then  $P$  has a maximal element.

Cor: Every vector space has a basis.

Finite-dim:  $V$



where  $w \in V \setminus \text{span}\{v\}$

$\text{span}\{v, w\} \neq V$

go on inductively to get a basis

Infinite dim:



$V \setminus \text{span}\{v\}$ :  
still infinite

Proof:  $(P, \subseteq)$

$P = \{B : B \text{ is linearly independent}\}$

$\subseteq$ : Set inclusion

Claim: If  $B \in P$  is maximal,  
then  $B$  is a basis of  $V$ .

$B$  lin. ind.  $v$

$\text{span } B = V$  ?

Suppose  $V \setminus \text{span}\{B\} \neq \emptyset$ ,

$v \in V \setminus \text{span}\{B\}$ ,

$B' = B \cup \{v\}$

We see that  $B' \supseteq B$

$B'$  is lin. ind.

Hence,  $B$  is not maximal  $\rightarrow \leftarrow$

□

Claim: Every chain has an upper bound.

$C = \{B_\alpha : \alpha \in J\}$

$B_\alpha \subseteq B_\beta$  or  $B_\beta \subseteq B_\alpha \quad \forall \alpha, \beta \in J$

$X = \bigcup C$  is an upper bound of  $C$

①  $B_\alpha \subseteq X$ : Obvious

②  $X \in \mathcal{P} : X$  is lin. ind.

$\{v_1, v_2, \dots, v_n\} \subseteq X$

lin. dependent

Suppose  $\hookrightarrow$  exists.

Then  $v_1 \in B_1 \subseteq X$

$\vdots$

$v_n \in B_n \subseteq X$

$\max \{B_1, \dots, B_n\} = B_k \subseteq X$   
 $\{v_1, \dots, v_k\}$

$B_k \in \mathcal{P}$ ,  $B_k$  lin. ind.

Hence  $\{v_1, \dots, v_k\}$  is lin. ind.

So,  $X$  is an upper bound.  $\rightarrow \leftarrow$   $\square$

There exists a basis of  $V$ .  $\square$

Summary: Zorn's lemma is useful  
when you try to construct an  
object by repeating a procedure,  
but not done even after infinitely  
many times.