

Learning Objectives

In this tutorial you will be determining the number of partitions of a set of distinct elements.

These problems relate to the following course learning objectives: *Analyze a counting problem by proving an exact or approximate enumeration, or a method to compute one efficiently, and describe solutions to iterated processes by relating recurrences to induction and combinatorial identities.*

Counting Partitions

A *partition of $[n]$ into k parts* is a collection of k disjoint non-empty sets whose union is $[n]$. For example, $\{\{1, 3\}, \{2\}, \{4\}\}$ is a partition of $[4]$ into 3 parts. To simplify notation, we would write this partition as $\{13, 2, 4\}$.

The number of partitions of $[n]$ into k sets is denoted $\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \}$. The partitions of $[4]$ into 3 parts are:

$$\{12, 3, 4\}, \{13, 2, 4\}, \{14, 2, 3\}, \{1, 23, 4\}, \{1, 24, 3\}, \{1, 2, 34\}.$$

Hence $\{ \begin{smallmatrix} 4 \\ 3 \end{smallmatrix} \} = 6$.

1. Determine $\{ \begin{smallmatrix} 3 \\ 2 \end{smallmatrix} \}$, $\{ \begin{smallmatrix} 4 \\ 2 \end{smallmatrix} \}$, and $\{ \begin{smallmatrix} 5 \\ 4 \end{smallmatrix} \}$.
2. Show that $\{ \begin{smallmatrix} n \\ 1 \end{smallmatrix} \} = 1$ and $\{ \begin{smallmatrix} n \\ n \end{smallmatrix} \} = 1$.
3. Show that $\{ \begin{smallmatrix} n \\ n-1 \end{smallmatrix} \} = \binom{n}{2}$.

Formulas and Recursions

In any partition, the element 1 is either in a part by itself, or in a part with at least one other element.

4. Using this observation, give a bijective proof that

$$\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \} = \{ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \} + k \{ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \}.$$

5. Compute $\{ \begin{smallmatrix} 5 \\ 2 \end{smallmatrix} \}$ and $\{ \begin{smallmatrix} 5 \\ 3 \end{smallmatrix} \}$.
6. Conjecture and prove a formula for $\{ \begin{smallmatrix} n \\ 2 \end{smallmatrix} \}$, either by giving a bijective proof, or using the recurrence and induction.

Let $S(n, k)$ denote the number of surjections from $[n]$ to $[k]$. Recall that we gave a method for counting these by inclusion-exclusion.

7. Show that $\{ \begin{smallmatrix} n \\ k \end{smallmatrix} \} = \frac{S(n, k)}{k!}$.
8. Compute $\{ \begin{smallmatrix} 100 \\ 3 \end{smallmatrix} \}$. How many iterations would this computation take when using the recurrence relation?

- We have $\left\{ \begin{smallmatrix} 3 \\ 2 \end{smallmatrix} \right\} = \binom{3}{2} = 3$, by considering which two elements go together;
 $\left\{ \begin{smallmatrix} 4 \\ 2 \end{smallmatrix} \right\} = 4 + 3 = 7$, either we have a partition with one element alone 4 ways, or we have two parts of size 2, $\binom{4}{2}/2$ ways, dividing by two because choosing two elements gives the same partition as choosing their complement; and
 $\left\{ \begin{smallmatrix} 5 \\ 4 \end{smallmatrix} \right\} = \binom{5}{2} = 10$, by choosing which elements go in the part of size 2.
- There is only one way to put all elements into one part, and only one way to put them each into their own part.
- Using $n - 1$ parts will mean having one part of size 2 and the rest of size 1. To do this, we choose two elements for the part of size 2, and the order they are chosen doesn't matter.
- If 1 is in a part by itself, we can remove that part and partition the remaining $n - 1$ elements into $k - 1$ parts, $\left\{ \begin{smallmatrix} n-1 \\ k-1 \end{smallmatrix} \right\}$ ways. If it is not, then we first partition the remaining elements into k parts, $\left\{ \begin{smallmatrix} n-1 \\ k \end{smallmatrix} \right\}$ ways, then place 1 into one of the k parts. These are disjoint options and cover all possibilities.
- Using the recurrence and previous values, we have

$$\left\{ \begin{smallmatrix} 5 \\ 2 \end{smallmatrix} \right\} = \left\{ \begin{smallmatrix} 4 \\ 1 \end{smallmatrix} \right\} + 2\left\{ \begin{smallmatrix} 4 \\ 2 \end{smallmatrix} \right\} = 1 + 14 = 15$$

and

$$\left\{ \begin{smallmatrix} 5 \\ 3 \end{smallmatrix} \right\} = \left\{ \begin{smallmatrix} 4 \\ 2 \end{smallmatrix} \right\} + 3\left\{ \begin{smallmatrix} 4 \\ 3 \end{smallmatrix} \right\} = 7 + 18 = 25.$$

- $\left\{ \begin{smallmatrix} n \\ 2 \end{smallmatrix} \right\} = 2^{n-1} - 1$. This can be shown by induction, or by counting subsets of $[n]$ containing 1 as the first part of the partition. The other part will be the remaining elements, so we need to subtract the number of ways it can be empty.
- A surjection gives us a labelled partition: each element of $[n]$ is placed into a set corresponding to an element of $[k]$, and no set is empty. To remove the labels, we divide by the number of ways to label these sets.
- Since the number of surjections $[n]$ to $[3]$ is $3^n - 3 \cdot 2^n + 3$, we have $\left\{ \begin{smallmatrix} 100 \\ 3 \end{smallmatrix} \right\} = (3^{99} - 2^{100} + 1)/2$. Reducing this by using the recurrence would take 95 iterations to get down to $\left\{ \begin{smallmatrix} 5 \\ 3 \end{smallmatrix} \right\}$, the largest value of $\left\{ \begin{smallmatrix} n \\ 3 \end{smallmatrix} \right\}$ which we knew.