

# Reconstructing maps out of groups

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Theorem (Whittaker, '63)

$M, N$  closed manifolds.

$$\text{Homeo}(M) \cong \text{Homeo}(N) \Rightarrow M = N \quad (\text{and } \cong \text{ inner})$$

Abstract

Theorem (Fillipkiewicz, '89)

$$\text{Diff}^r(M) \cong \text{Diff}^s(N) \Rightarrow M = N, r = s \quad (\text{and } \cong \text{ inner})$$

"Algebraic structure of  $\text{Diff}^r(M)$  determines  $M$  and  
it's  $C^r$  structure"

Q: Is it enough to know finitely generated subgroups?

Q: (Navas '17)  $\exists^?$  a finitely generated subgroup  $\mathcal{D}$   
 $\text{Diff}^r(M)$  not isomorphic to a subgroup of  $\text{Diff}^s(M)$ ?  
 $s > r$

A: (Kim-Koberda '17)  
Mann-Wolff '19): Yes, if  $\dim(M) = 1$ , whenever  $r < s$ ,  
in fact, there ~~are~~ an uncountable family of  
such f.g. examples for every  $r \neq s$ .

- True even for Hölder regularities  $C^{\kappa+\alpha}$  vs  $C^{\kappa+\beta}$
- [MW] True even for  $C^{\kappa+\text{Lipschitz}}$  vs  $C^{\kappa+1}$  ( $\kappa \geq 1$ )
- [kk] True even if you restrict to simple groups (!)

### Broader Context:

Algebraic property of  
group  $G$

+ minor  
dynamical  
hypotheses  
about  $G \curvearrowright$



Big  
Constraints on  
dynamics/regularity of  
 $G \curvearrowright M$ .

Ex: •  $G$  non-abelian  $\Rightarrow$  for any action  $G \curvearrowright \mathbb{R}$  by homeos,  
[Hölder's] ~~for~~ some  $g \neq \text{id}$  has a fixed point.

•  $G$  has (T)  $\Rightarrow$  ~~no~~ any action of  $G$  on  $S^1$   
[Navas '02] by  $C^2$  diffeomorphisms factors  
thru a finite group.  $\Leftarrow$

## Toy theorem:

$g \in \text{Homeo}_*(S^1)$  commutes with rotation  $x \mapsto x + \alpha$ ,  $\alpha \notin \mathbb{Q}$   
 $\mathbb{R}/\mathbb{Z}$

then  $g$  is a rotation:  $g(x) = x + \beta$

Proof: • Suffice to show  $g$  preserves Lebesgue measure,

• Suppose not, then  $\exists y \in S^1$  s.t.  $g(\underline{[y, y + \epsilon]}) = \underline{[z, z + \epsilon']}$   
 $\epsilon' \neq \epsilon$ .

since  $x \xrightarrow{f} x + \alpha$  has dense orbits

WLOG can take  $[y, f^N(y)] = [y, y + N\alpha]$

but  $g[y, f^N(y)] = [g(y), g f^N(y)] = [g(y), g(y) + N\alpha]$

□

\* This has <sup>a few</sup> parallels with our theorem proof, including constraints posed by commuting elements.

## Recall

Thm:  $\forall r$   $\exists$  f.g.  $\Gamma \subset \text{Diff}^r(M)$ , not isomorphic to any subgroup of  $\text{Diff}^s(M)$ ; for any  $s > r$ .  
 $S^1, \mathbb{I}, \mathbb{R}$

## Proof $M = S^1$

### STEP 1:

There are groups  $\Gamma' \subset \text{Diff}^\infty(S^1)$  that "act in only one way"

any  $\phi: \Gamma' \rightarrow \text{Diff}^r(S^1)$  is  $C^r$ -conjugate to  $\Gamma'$

$\exists h \in \text{Diff}^r(S^1)$  s.t.  $\forall \gamma \in \Gamma'$

$$h \circ \phi(\gamma) \circ h^{-1} = \gamma$$

### STEP 2:

Some of these  $\Gamma'$  are rich enough to

"algebraically remember" another map:

if  $f, g$  homeos and

$\langle \Gamma', f \rangle \cong \langle \Gamma', g \rangle$  then  $f$  is  $C^r$  conjugate to  $g$ .

# "RECONSTRUCTING MAPS OUT OF GROUPS"

Special case of Step 1 [To be black box]

Thm: [Ghys + ...]  $\langle a, b, c \mid a^2 = b^3 = c^7 = abc = 1 \rangle$

$\Gamma'$  = Triangle group  $\Delta_{237} \subset \text{PSL}(2, \mathbb{R}) \subset \text{Diff}^\infty(S^1)$

If  $\varphi: \Gamma' \rightarrow \text{Diff}^r(S^1)$  nontrivial,  $r \geq 3$ ,

"Acts in only one way" then  $\varphi(\Gamma')$  is  $C^r$ -conjugate to  $\Delta_{237}$

Remark:

$1 < r < 3$ ,  $\mathbb{I}$  or  $\mathbb{R}$  instead of  $S^1$ , require work

+ results of Bonatti-Monteverde-Navas-Rivera  
+ Classical dynamical arguments  
(Sternberg linearization...)

STEP 2:

"Reconstructing maps"

Def: Say  $\Gamma \subset \text{Homeo}(X)$  Recognizes maps if

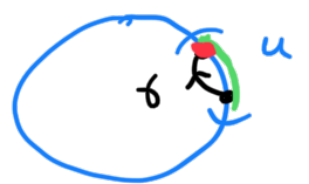
$$\left. \begin{array}{l} \langle \Gamma, f \rangle \xrightarrow[\Phi]{\cong} \langle \Gamma, g \rangle \text{ and } \Phi|_{\Gamma} = \text{id} \\ \Phi(f) = g \end{array} \right\} \Rightarrow f = g$$

Prop: ① If  $\Gamma$  has small supports everywhere, then  $\Gamma$  recognizes homeo's of  $X$ .

$\forall$  open  $U \subset X$   
 $\exists \gamma \in \Gamma$  supported on  $U$   
(ptwise Fix  $X - U$ )

② If  $\Gamma$  has the contraction property, then  $\Gamma$  recognizes maps with non-total support

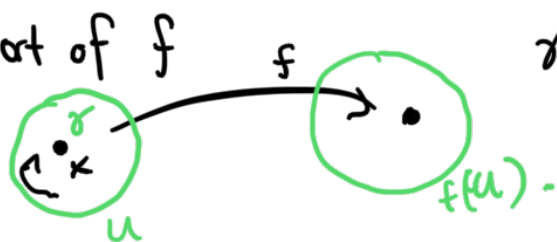
$\forall$  open  $U \subset X$   
 $\exists \gamma \in \Gamma$  s.t.  $\gamma(X - U) \subset U$ .



Proof ①:

First recognize support of  $f$

• If  $f(x) \neq x$ ,



$\gamma \circ f \neq f \circ \gamma$

$[f, \gamma] \neq \text{id}$

• If  $x \notin \text{supp}(f)$ , then  $f$  fixes nbhd of  $x$ , so for any small  $U$  containing  $x$ , any  $\gamma$  supported on  $U$

$[f, \gamma] = \text{id}$

This shows  $\text{supp}(f) = \text{supp}(g)$  if  $\langle \Gamma, f \rangle \cong \langle \Gamma, g \rangle$

$$\begin{array}{ccc} \Gamma & \xrightarrow{\text{id}} & \Gamma \\ f & \longrightarrow & g \end{array}$$

To get  $f = g$ ,

suppose for contradiction  $f(x) \neq g(x)$ .

(WLOG  $f(x) \neq x$ )



WLOG  $\gamma(x) \neq x$

•  $f \gamma^{-1} f^{-1} \gamma$  supported in  $U \cup f(U)$

•  $g \gamma^{-1} g^{-1} \gamma$  has support in  $gU \cup U$

Contradiction w/ earlier step!

□

Case ② (Contracting property) is more interesting, but same outline: First recognize support.

•  $f(x) \neq x \Rightarrow f$  and  $\gamma f \gamma^{-1}$  don't commute  
 ↙ contraction to nbhd of  $x$

•  $x \notin \text{supp}(f) \Rightarrow \dots \dots$  do commute.

## Proof of Main Theorem, easy case:

Let  $\Gamma = \langle \underline{\Delta}_{237}, \underline{f} \rangle$   
↑ Diffeo that is  $C^5$ , not  $C^6$ , non-total support.

Claim:  $\Gamma$  is not isomorphic to a group of  $C^6$  diffeos.

Proof: Suppose it were, via some  $\phi: \Gamma \rightarrow \text{Diff}^6(S^1)$

• **GHYS**  $\Rightarrow$  After conjugating by  $C^6$  diffeo  
 $\phi|_{\underline{\Delta}_{237}} = \text{id}$ .

•  $\Delta_{237}$  has contracting property.

• Prop  $\Rightarrow \phi(f) = f$   $\leftarrow C^5$  not  $C^6$  contradiction.

□

## The good part:

This is a general strategy, didn't use  $M = S^1$  except for Black box. Potential to generalize!

## The hard part:

Generalizing the black box.

- Ghys' argument was uniqueness of projective structures on  $\mathbb{R}P^1$  ( $C^3$  comes from Schwarzian)
- To reduce from  $C^3$  or work with  $\mathbb{I}$ ,  $\mathbb{R}$ , need a lot of work

- Totally open in higher dimensions:  
Find a group that "acts in only one way"

### JUST A FEW DETAILS:

- What's "intermediate regularity"?

Imagine diffeo fixing 0, with  $r^{\text{th}}$  derivative

$$x \mapsto x^\alpha \text{ near } 0 \quad \alpha \in (0, 1)$$

$$\text{or } x \mapsto x^\alpha \log\left(\frac{1}{x}\right)^\beta \quad \beta \in \mathbb{R}$$

- What's  $\Gamma'$  (group that acts in only one way) when  $M = [0, 1]$ ?

$$\Gamma'' \cong \left\langle \text{BS}(1, 2), \text{irrational} \right\rangle$$

$$\begin{array}{c} x \mapsto 2x \\ \leftarrow \quad \rightarrow \end{array}$$

$$x \mapsto \lambda x$$

$$(0, 1) \cong \mathbb{R} \quad \begin{array}{c} \rightarrow \\ x \mapsto x+1 \end{array}$$

Prop: If  $\Gamma'' \rightarrow \text{Diff}^r[0, 1]$  is  $C^0$ -conjugate to the standard action, then it is  $C^r$ -conjugate.

- BMNR: action of  $\text{BS}(1, 2)$  has derivative 2 at its fixed point  
 $x \mapsto 2x$

- Sternberg linearization:  
hyperbolic fixed point for  $a \rightsquigarrow$  locally conjugate to  $x \mapsto 2x$ ,  
+ regularity of conjugacy.

- Irrational  $\lambda \rightsquigarrow$  conjugacy works for  $\Gamma''$   
(further down)

(by Thurston)  
+ uniqueness  
statement from Sternberg

OK, but still need  $C^0$ -conjugacy to get started.

For this put  $\Gamma'' \subset \Gamma' = \langle \Gamma'', f \rangle$

& argue using

classification of  $BS(1,2)$  actions <sup>↑ with small support</sup> + map recognition