

# SYMPLECTIC STRATIFIED SPACES AND REDUCTION

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Given a Hamiltonian  $G$ -space  $(M, \omega, \mathcal{A}, \mu)$ , let us consider the topological subspace  $\mu^{-1}(0)$  of  $M$ . Since  $0 \in \mathfrak{g}^*$  is a fixed point of the coadjoint representation, and since  $\mu$  is  $G$ -equivariant, it follows that  $\mathcal{A}$  restricts to a  $G$ -action on  $\mu^{-1}(0)$ . Accordingly, we may consider the quotient topological space  $M_0 := \mu^{-1}(0)/G$ , called the reduced space of  $(M, \omega, \mathcal{A}, \mu)$ .

In the presence of certain additional hypotheses,  $M_0$  is naturally a symplectic manifold<sup>1</sup>. However, this will not hold for the general Hamiltonian  $G$ -space. Nevertheless, if one requires  $G$  to be compact, then  $M_0$  will have intriguing topological properties. In particular, there is a partition of  $M_0$  into symplectic manifolds fitting together in some desirable ways. This partition realizes  $M_0$  as a so-called symplectic stratified space. We will develop the notions necessary to formulate a precise definition of this object, and we will subsequently exhibit  $M_0$  as a symplectic stratified space.

**Definition 0.1.** *Let  $X$  be a paracompact Hausdorff topological space, and  $I$  a partially ordered set. An  $I$ -decomposition of  $X$  is a disjoint locally finite cover,  $\{S_i\}_{i \in I}$ , of  $X$  by locally closed subsets<sup>2</sup>, satisfying the below two properties.*

- (i) *For each  $i \in I$ , the subspace  $S_i$  is a topological manifold.*
- (ii) *If  $(i, j) \in I \times I$ , then  $S_i \cap \overline{S_j} \neq \emptyset \iff S_i \subseteq \overline{S_j} \iff i \leq j$ .<sup>3</sup>*

*A decomposed space is a paracompact Hausdorff topological space  $X$ , together with a distinguished  $I$ -decomposition  $\{S_i\}_{i \in I}$  of  $X$  for some partially ordered set  $I$ . We shall call the partially ordered set  $I$  the index set of the decomposed space, and the subspaces  $S_i$  the pieces of the space.*

**Example 0.1.** *Let  $X$  be a topological space. Recall that the cone over  $X$ ,  $CX$ , is defined to be the quotient of  $X \times [0, \infty)$  obtained by identifying the points in  $X \times \{0\}$ . If  $(X, \{S_i\}_{i \in I})$  is a decomposed space, then there is a canonical realization of  $CX$  as a decomposed space. Precisely, one defines the set  $J = I \sqcup \{0\}$ , and augments it with the partial order coinciding with that on  $I \subseteq J$ , such that  $0 \leq i$  for all  $i \in I$ . Now, for each  $i \in I$ , define  $\tilde{S}_i$  to be the image of  $S_i \times (0, \infty)$  under the quotient map  $X \times [0, \infty) \rightarrow CX$ . Also, let  $\tilde{S}_0$  be the image of  $X \times \{0\}$  under the quotient map. We note that  $\{\tilde{S}_j\}_{j \in J}$  is a  $J$ -decomposition of  $CX$ , as desired.*

**Definition 0.2.** *Let  $X$  be a decomposed space, and  $S \subseteq X$  a piece. We define an  $S$ -chain in  $X$  of length  $n \geq 0$  to be a sequence,  $S = A_0, A_1, \dots, A_n$ , of  $n + 1$  pieces, with the property that if  $i, j \in \{0, \dots, n\}$  and  $j = i + 1$ , then  $A_i \neq A_j$  and  $A_i \subseteq \overline{A_j}$ . The depth of  $S$ ,  $\text{depth}_X(S)$ , is defined to be*

$$\text{depth}_X(S) = \sup\{n \geq 0 : \exists \text{ an } S\text{-chain of length } n\}.$$

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<sup>1</sup>Indeed, if  $G$  is compact and acts freely on  $\mu^{-1}(0)$ , then  $\mu^{-1}(0)$  is an embedded submanifold of  $M$ , there exists a unique smooth manifold structure on  $M_0$  for which the quotient map  $\pi : \mu^{-1}(0) \rightarrow M_0$  is a submersion, and there is a unique symplectic form  $\omega_0$  on the smooth manifold  $M_0$  for which  $\pi^*(\omega_0)$  is the restriction of  $\omega$  to  $\mu^{-1}(0)$ . This is a statement of the Marsden-Weinstein-Meyer Theorem.

<sup>2</sup>A subset of a topological space is called locally closed if it is open with respect to the subspace topology of its closure.

<sup>3</sup>One calls this the Frontier Condition.

**Definition 0.3.** Let  $X$  be a decomposed space with non-empty index set  $I$  and pieces  $\{S_i\}_{i \in I}$ . The depth of  $X$ ,  $\text{depth}(X)$ , is defined by

$$\text{depth}(X) = \sup_{i \in I} \text{depth}_X(S_i).$$

**Remark 0.1.** In the interest of our being able to define the depth of an arbitrary decomposed space, we shall require that each of our decomposed spaces come equipped with a non-empty index set.

**Definition 0.4.** A 0-stratified space is a decomposed space  $X$  of depth 0. An  $n$ -stratified space,  $n \geq 1$ , is a decomposed space  $(X, \{S_i\}_{i \in I})$  of depth  $n$ , with the property that for each piece  $S$  of  $X$  and point  $x \in S$ , there exist an open neighbourhood  $U(x)$  of  $x$  in  $X$ , an open coordinate ball  $B(x)$  of  $x$  in  $S$ , an  $m$ -stratified stratified space  $(L, \{P_j\}_{j \in J})$  with  $m < n$ , and a homeomorphism  $\varphi_x : B(x) \times CL \rightarrow U(x)$ , such that for each piece of  $B(x) \times CL$ ,  $\varphi_x$  restricts to a homeomorphism of that piece with a piece of  $U(x)$ <sup>4</sup>. We shall refer to the pieces of a stratified space as strata.

**Definition 0.5.** A smooth stratified space consists of a stratified space  $X$ , together with the below data.

- (i) a smooth manifold structure for each stratum of  $X$
- (ii) a distinguished subalgebra,  $C^\infty(X)$ , of the  $\mathbb{R}$ -algebra  $C^0(X)$  of continuous maps  $X \rightarrow \mathbb{R}$ , with the property that  $f|_S \in C^\infty(S)$  for all strata  $S$  of  $X$  and for all  $f \in C^\infty(X)$

**Definition 0.6.** A symplectic stratified space consists of a smooth stratified space  $X$ , augmented with the below additional data.

- (i) a symplectic form,  $\omega_S \in \Omega^2(S)$ , for each stratum  $S$  of  $X$
- (ii) a Poisson algebraic structure<sup>5</sup>,  $\{, \} : C^\infty(X) \times C^\infty(X) \rightarrow C^\infty(X)$  on  $C^\infty(X)$ , for which the restriction maps to strata,  $i_S^* : C^\infty(X) \rightarrow C^\infty(S)$ , are Poisson algebra morphisms<sup>6</sup>

Let  $G$  be a group and  $M$  a set with a left  $G$ -action. We wish to associate a canonical partially ordered set to this action. To this end, denote by  $G^S$  the collection of those subgroups of  $G$  with the property of being conjugate in  $G$  to the stabilizer subgroup of a point in  $M$ . More succinctly,

$$G^S := \{H \leq G : \exists p \in M, g \in G \text{ such that } gHg^{-1} = \text{Stab}_G(p)\}.$$

Identifying conjugate subgroups of  $G^S$ , we obtain an equivalence relation. Let  $I$  denote the resulting quotient space. We define a partial order,  $\leq$ , on  $I$  by  $[H] \leq [K]$  if and only if  $K$  is contained in a conjugate of  $H$  in  $G$ . Well-definedness follows from the observation that  $K$  is contained in a conjugate of  $H$  if and only if for every conjugate  $H'$  of  $H$  and  $K'$  of  $K$ ,  $K'$  is contained in a conjugate of  $H'$ .

For each  $\alpha \in I$ , consider the set  $M_\alpha := \{p \in M : [\text{Stab}_G(p)] = \alpha\}$ .

Let us specialize to the case in which  $G$  is a compact Lie group with Lie algebra  $\mathfrak{g}$ , and  $(M, \omega, \mathcal{A}, \mu)$  is a Hamiltonian  $G$ -space. For future reference, we shall let  $Z := \mu^{-1}(0)$ , the zero-level set of the moment map. Consider the quotient map  $\pi : Z \rightarrow M_0$ , and for each  $\alpha \in I$ , set  $(M_0)_\alpha := \pi(M_\alpha \cap Z)$ . We observe that if  $\alpha, \beta \in I$  and  $(M_0)_\alpha \cap (M_0)_\beta \neq \emptyset$ , then we may choose  $p \in M_\alpha \cap Z$  and  $q \in M_\beta \cap Z$ , such that  $\pi(p) = \pi(q)$ . By the definition of our quotient space  $M_0$ , it follows that  $p$  and  $q$  lie in the same  $G$ -orbit, and hence  $\text{Stab}_G(p)$  and  $\text{Stab}_G(q)$  are conjugate in  $G$ . Therefore,  $[\text{Stab}_G(p)] = [\text{Stab}_G(q)]$  in  $I$ . However,  $p \in M_\alpha$  and  $q \in M_\beta$ , implying that  $\alpha = [\text{Stab}_G(p)]$  and  $\beta = [\text{Stab}_G(q)]$ . It follows that  $\alpha = \beta$ , and we conclude that the sets  $\{(M_0)_i : i \in I\}$  are disjoint. Furthermore, the sets  $M_i$  cover  $M$ , meaning that the sets  $M_i \cap Z$  cover  $Z$ , and hence that the sets  $(M_0)_i$  cover  $M_0$  (as  $\pi$  is surjective).

In light of our determinations, it perhaps seems sensible to regard the  $(M_0)_\alpha$ 's as candidates for strata of the reduced space  $M_0$ . However, there is an example of a reduced space in which one of these

<sup>4</sup>The decomposed space structures of  $B(x) \times CL$  and  $U(x)$  are canonically induced by those of  $CL$  and  $X$ , respectively. Specifically, the pieces of  $B(x) \times CL$  are  $\{B(x) \times \tilde{P}_j\}_{j \in J \sqcup \{0\}}$ , while those of  $U(x)$  are  $\{U(x) \cap S_i\}_{i \in I}$

<sup>5</sup>A Poisson algebra over a field  $K$  is an associative  $K$ -algebra  $A$ , together with a Lie bracket on  $A$  that is simultaneously a derivation of  $A$ .

<sup>6</sup>We view  $C^\infty(S)$  as the Poisson algebra canonically induced by the symplectic form  $\omega_S$ .

candidate strata has connected components of different dimensions (meaning that this stratum is not a topological manifold). Fortunately, some semblance of a resolution is obtained via partitioning the candidate strata into connected components.

**Theorem 0.1.** *The reduced space  $M_0$  is a disjoint union of the subspaces  $\{(M_0)_\alpha : \alpha \in I\}$ . This decomposition has the below properties.*

- (i) *If  $\alpha \in I$ , then each connected component of  $(M_0)_\alpha$  is a topological manifold.*
- (ii) *If  $(\alpha, \beta) \in I \times I$ , then  $\alpha \leq \beta \Leftrightarrow (M_0)_\alpha \cap \overline{(M_0)_\beta} \neq \emptyset \Leftrightarrow (M_0)_\alpha \cap \overline{(M_0)_\beta} \neq \emptyset$  and every connected component of  $(M_0)_\alpha$  intersecting  $\overline{(M_0)_\beta}$  non-trivially belongs to  $\overline{(M_0)_\beta}$ .*
- (iii) *There is a canonical realization of  $M_0$  as a symplectic stratified space with strata the connected components of the  $(M_0)_\alpha$ 's.<sup>7</sup>*

**Claim 0.1.** *If  $\alpha \in I$  and  $p \in M_\alpha \cap Z$ , then there is an open subset  $U \subseteq (M_0)_\alpha$  containing  $[p]$ , and a realization of the subspace  $U$  as a symplectic manifold.*

Given  $\alpha \in I$  and  $p \in M_\alpha \cap Z$ , let  $\mathcal{O}_p$  denote the  $G$ -orbit of  $p$  in  $M$ . Since  $G$  is compact,  $\mathcal{O}_p$  is an embedded submanifold of  $M$ . More intriguingly, perhaps, this embedding is isotropic (the proof of which was given in the presentation).

**Lemma 0.1.** *The embedding  $i : \mathcal{O}_p \hookrightarrow M$  is isotropic.*

**Theorem 0.2.** *(Weinstein's Equivariant Isotropic Embedding Theorem) Let  $K$  be a compact Lie group,  $B$  a smooth  $K$ -manifold, and  $(E, \omega)$ ,  $(E', \omega')$  symplectic manifolds, each augmented with a  $K$ -action by symplectic automorphisms. Suppose that  $i : B \hookrightarrow E$  and  $i' : B \hookrightarrow E'$  are  $K$ -equivariant isotropic embeddings with isomorphic symplectic normal bundles<sup>8</sup>. Then, there exist  $K$ -invariant open neighbourhoods,  $U$  and  $U'$ , of  $i(B)$  in  $E$  and  $i'(B)$  in  $E'$ , respectively, and a  $K$ -equivariant symplectomorphism,  $\varphi : U \rightarrow U'$ , such that*

$$\varphi \circ i = i'$$

as maps  $B \rightarrow E'$

With the Equivariant Isotropic Embedding Theorem in mind, we observe that the inclusion  $\mathcal{O}_p \hookrightarrow M$  is a  $G$ -equivariant isotropic embedding of  $\mathcal{O}_p$  into a symplectic manifold. Seeking to apply our theorem, we will  $G$ -equivariantly and isotropically embed  $\mathcal{O}_p$  into another symplectic  $G$ -manifold, such that the associated symplectic normal bundle is isomorphic to that of the embedding  $\mathcal{O}_p \hookrightarrow M$ .

To this end, consider the fibre  $V := (N^\omega \mathcal{O}_p)_p$  of the symplectic normal bundle of  $\mathcal{O}_p \hookrightarrow M$ . It is easily verified that  $\omega(p)$  descends to a symplectic form on  $V$ . Setting  $H := \text{Stab}_G(p)$ , we note that  $H$  acts on  $V$  by symplectic vector space automorphisms. Now, let  $\mathfrak{h} = \text{Lie}(H) \subseteq \mathfrak{g}$ , noting that  $H$  is a closed subgroup (hence an embedded submanifold) of  $G$ . Note that  $\mathfrak{h}$  is an invariant subspace of the restricted adjoint representation  $H \rightarrow \text{Aut}(\mathfrak{g})$ , allowing for us to induce an  $H$ -representation on  $\mathfrak{g}/\mathfrak{h}$ . Of course, one then has the canonical dual representation on  $(\mathfrak{g}/\mathfrak{h})^*$ . Furthermore, we may consider the direct sum  $(\mathfrak{g}/\mathfrak{h})^* \oplus V$  of linear  $H$ -representations.

Now, consider the principal  $H$ -bundle  $G \rightarrow \mathcal{O}_p$ ,  $g \mapsto g \cdot p$ , and form the so-called associated bundle  $Y := G \times_H ((\mathfrak{g}/\mathfrak{h})^* \oplus V)$ . Recall that  $Y$  is the product manifold  $G \times ((\mathfrak{g}/\mathfrak{h})^* \oplus V)$ , modulo the free left  $H$ -action  $h \cdot (g, v) = (gh^{-1}, h \cdot v)$ . It is natural, then, to consider the map  $\pi : Y \rightarrow \mathcal{O}_p$  given by  $[(g, v)] \mapsto g \cdot p$ . This constitutes a vector bundle with total space  $Y$  and base space  $\mathcal{O}_p$ . Accordingly,

<sup>7</sup>Since we do not claim to have exhibited  $M_0$  as a decomposed space in the sense of Definition 1.2, we must specify precisely what is meant by item (iii). To this end, we mean that each of our advertised strata has a canonical symplectic manifold structure, and that  $M_0$  has a canonical  $C^0(M_0)$ -subalgebra,  $C^\infty(M_0)$ , with a Poisson bracket for which the restriction maps to strata  $S$  define Poisson algebra morphisms  $C^\infty(M_0) \rightarrow C^\infty(S)$ .

<sup>8</sup>Recall that the symplectic perpendicular of the embedding  $i : B \hookrightarrow E$ ,  $T^\omega B$ , is the subbundle of the restricted tangent bundle  $TE|_B$  with fibres  $(T^\omega B)_p = \{v \in T_p E : \omega(p)(v, w) = 0 \forall w \in T_p B\}$ ,  $p \in B$ . The symplectic normal bundle of the isotropic embedding,  $N^\omega(B)$ , is then defined to be the quotient bundle  $N^\omega(B) := T^\omega B / TB$ , noting that our embedding induces an inclusion  $TB \subseteq T^\omega(B) \subseteq TE|_B$ .

we consider the zero-section embedding  $s : \mathcal{O}_p \hookrightarrow Y$ ,  $g \cdot p \mapsto [(g, 0)]$ . If one endows  $Y$  with the left  $G$ -action  $g \cdot [(g', v)] = [(gg', v)]$ , then  $s$  becomes a  $G$ -equivariant embedding. It therefore remains to exhibit  $Y$  as a symplectic manifold, such that  $G$  acts on  $Y$  by symplectic automorphisms, and such that  $s$  is an isotropic embedding whose symplectic normal bundle is isomorphic to that of  $\mathcal{O}_p \hookrightarrow M$ .

Consider the trivialization  $\Psi : G \times \mathfrak{g}^* \rightarrow T^*G$  of the cotangent bundle of  $G$  defined by  $\Psi(g, \theta) = (g, \theta \circ dL_{g^{-1}}(g))$ . One then considers the  $G$ -action on  $G \times \mathfrak{g}^*$  given by  $g \cdot (g', \theta) = (g'g^{-1}, Ad^*(g)(\theta))$ , where  $Ad^* : G \rightarrow Aut(\mathfrak{g}^*)$  is the coadjoint representation of  $G$ . Deploying our trivialization, we obtain a Hamiltonian  $G$ -action on  $T^*G$  (where we are regarding  $T^*G$  as augmented with its canonical symplectic form). This restricts to a Hamiltonian  $H$ -action, as an associated moment map is obtained by composing the previous moment map with the projection  $\mathfrak{g}^* \rightarrow \mathfrak{h}^*$ .

Now, recall that  $H$  acts on  $V$  by symplectic vector space automorphisms. Indeed, this action is actually Hamiltonian. Accordingly, it will be advantageous to consider the Hamiltonian  $H$ -space  $T^*G \times V$ . To see that this  $H$ -action is free, suppose  $h \in H$  fixes  $((g, \theta), v) \in (G \times \mathfrak{g}^*) \times V \cong T^*G \times V$ . By definition,  $((gh^{-1}, Ad^*(h)(\theta)), h \cdot v) = ((g, \theta), v)$ . In particular,  $g = gh^{-1}$ , meaning that  $h = e$ . Note also that  $H$  is a compact Lie group by virtue of being a closed subspace of the compact Lie group  $G$ . The Marsden-Weinstein-Meyer Theorem therefore gives a canonical symplectic manifold structure on the reduced space  $\Phi^{-1}(0)/H$ , where  $\Phi : T^*G \times V \rightarrow \mathfrak{h}^*$  is the moment map.

Next, one constructs an  $H$ -equivariant diffeomorphism,  $G \times ((\mathfrak{g}/\mathfrak{h})^* \oplus V) \rightarrow \Phi^{-1}(0)$ , and obtains an induced diffeomorphism  $Y = G \times ((\mathfrak{g}/\mathfrak{h})^* \oplus V)/H \rightarrow \Phi^{-1}(0)/H$ . Hence, we endow  $Y$  with the symplectic manifold structure for which this diffeomorphism is a symplectomorphism. We leave it to the interested reader to verify that  $G$  acts on  $Y$  by symplectomorphisms, and that  $s : \mathcal{O}_p \hookrightarrow Y$  is an isotropic embedding with symplectic normal bundle isomorphic to that of  $\mathcal{O}_p \hookrightarrow M$ .

By Theorem 1.1, we may choose  $G$ -invariant open submanifolds  $U$  and  $U'$  of  $\mathcal{O}_p$  in  $M$  and of the zero-section in  $Y$ , respectively, and a  $G$ -equivariant symplectomorphism  $\varphi : U \rightarrow U'$  respecting the embeddings  $\mathcal{O}_p \hookrightarrow M$  and  $\mathcal{O}_p \hookrightarrow Y$ . The  $G$ -action on  $Y$  is incidentally Hamiltonian, with a moment map  $J : Y \rightarrow \mathfrak{g}^*$  explicitly constructed in [3]. Hence,  $J|_{U'} \circ \varphi : U \rightarrow \mathfrak{g}^*$  is a moment map of the Hamiltonian  $G$ -action on  $U$ , meaning that  $\mu|_U = J|_{U'} \circ \varphi + f$  for some constant map  $f : U \rightarrow \mathfrak{g}^*$ . Since  $\mu(p) = 0$ , it follows that  $f = -J(\varphi(p))$ . Because  $\varphi$  respects the embeddings  $\mathcal{O}_p \hookrightarrow M$  and  $\mathcal{O}_p \hookrightarrow Y$ ,  $\varphi(p)$  belongs to the zero-section of the vector bundle  $Y \rightarrow \mathcal{O}_p$ . However, the moment map  $J$  vanishes on the zero-section, meaning that  $f = -J(\varphi(p)) = 0$ . It follows that  $J|_{U'} \circ \varphi = \mu|_U$ . Therefore,  $\varphi$  is an isomorphism of the Hamiltonian  $G$ -spaces  $(U, \mu|_U)$  and  $(U', J|_{U'})$ . In particular, for a given  $\alpha \in I$ ,  $\varphi$  must therefore induce an identification of the quotients  $(U_\alpha \cap \mu^{-1}(0))/G = (M_\alpha \cap U \cap \mu^{-1}(0))/G$  and  $(U'_\alpha \cap J^{-1}(0))/G = (Y_\alpha \cap U' \cap J^{-1}(0))/G$ . We will realize  $(Y_\alpha \cap U' \cap J^{-1}(0))/G$  as a symplectic manifold and our identification will then induce a symplectic manifold structure on  $(M_\alpha \cap U \cap \mu^{-1}(0))/G$ . Since the quotient projection  $M_\alpha \cap \mu^{-1}(0) \rightarrow (M_\alpha \cap \mu^{-1}(0))/G = (M_0)_\alpha$  is an open map,  $(M_\alpha \cap U \cap \mu^{-1}(0))/G$  is an open subset of  $(M_0)_\alpha$ , and we will therefore have realized an open neighbourhood of an arbitrary point of  $(M_0)_\alpha$  as a symplectic manifold.

Since the quotient projection  $Y_\alpha \cap J^{-1}(0) \rightarrow (Y_\alpha \cap J^{-1}(0))/G$  is also an open map, it follows that  $(Y_\alpha \cap U' \cap J^{-1}(0))/G$  is an open subset of  $(Y_\alpha \cap J^{-1}(0))/G$ . Accordingly, it will suffice to exhibit  $(Y_\alpha \cap J^{-1}(0))/G$  as a symplectic manifold, as one will then obtain an induced symplectic structure on the open submanifold  $(Y_\alpha \cap U' \cap J^{-1}(0))/G$ .

Now, consider the linear subspace  $V_H := \{v \in V : h \cdot v = v \ \forall h \in H\}$  of  $V$ . It is easily established that the restriction of the symplectic form on  $V$  to  $V_H$  yields a symplectic form on  $V_H$ . This realizes  $V_H$  as a symplectic manifold. Furthermore, the authors in [3] use properties of the moment map  $J$  to identify the quotient  $(Y_\alpha \cap J^{-1}(0))/G$  with  $V_H$ , and in so doing, they endow this quotient with the structure of a symplectic manifold (as desired). We have thus outlined the proof of our claim.

Let us briefly address the symplectic structure on  $M_0$ . To this end, let  $\pi : Z \rightarrow M_0$  be the quotient map, and define  $f \in C^0(M_0)$  to be an element of  $C^\infty(M_0)$  if  $f \circ \pi = F|_Z$  for some  $F \in C^\infty(M)^G$ . The Poisson bracket,  $\{f, g\}_{M_0}$ , of  $f, g \in C^\infty(M_0)$  is given by  $\{f, g\}_{M_0}(p) = \{f|_S, g|_S\}_S(p)$ , where  $p \in M_0$ ,

$S$  is the stratum of  $M_0$  containing  $p$ , and  $\{, \}_S : C^\infty(S) \times C^\infty(S) \rightarrow C^\infty(S)$  is the Poisson bracket on  $C^\infty(S)$ .

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