

PEANO ARITHMETIC

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$$Induction_{\varphi} := \left[\varphi(0) \wedge (\forall x)[\varphi(x) \rightarrow \varphi(Sx)] \right] \rightarrow (\forall x)\varphi(x)$$

for each \mathcal{L}_{NT} -formula $\varphi(x)$ with one free variable.

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- PA is easily seen to be recursive: there is a simple algorithm to decide membership in $\{\ulcorner \alpha \urcorner : \alpha \in PA\}$. By 1st Incompleteness Theorem, there exists a sentence θ such that $\mathfrak{N} \models \theta$ but $PA \not\models \theta$. (In particular, PA is not complete.)

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- Whereas Robinson arithmetic N is very weak (it doesn't prove $(\forall x)(\forall y)(x + y = y + x)$), Peano arithmetic PA is quite powerful – it proves any result you have seen in MAT315. (It is even claimed that $PA \vdash$ Fermat's Last Theorem.)

2ND INCOMPLETENESS THEOREM

The sentence Con_A :

Let A be a recursive set of \mathcal{L}_{NT} -sentences.

Recall that the set $\mathbf{THM}_A := \{\ulcorner \varphi \urcorner : A \vdash \varphi\}$ is Σ -definable. Fix a Σ -formula $Thm_A(x)$ which defines \mathbf{THM}_A .

Let Con_A be the sentence

$$Con_A \equiv \neg Thm_A(\ulcorner \perp \urcorner).$$

This sentence expresses “ A is consistent”: note that A is consistent if, and only if, $\mathfrak{N} \models Con_A$.

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Theorem 6.6.3 (Godel's 2nd Incompleteness Theorem)

If A is any consistent, recursive set of \mathcal{L}_{NT} -sentences which extends PA , then $A \not\vdash \text{Con}_A$.

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- PA itself is consistent and recursive. Therefore, $PA \not\vdash Con_{PA}$.

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- PA itself is consistent and recursive. Therefore, $PA \not\vdash Con_{PA}$.
- How do you and I know that PA is consistent? We can prove \mathfrak{N} is a model of Con_{PA} using the usual axioms of ZFC (Zermelo-Frankl set theory with choice). Therefore, $ZFC \vdash Con_{PA}$ (interpreting the sentence Con_{PA} in the language of set theory).

However, $ZFC \not\vdash Con_{ZFC}$.

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- 2nd Incompleteness Theorem answered a question asked by David Hilbert in 1900 by showing that no “sufficiently powerful formal system” (including set theory ZFC) can prove its own consistency.

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- Alternative phrasing of 2nd Incompleteness Theorem: *If A is recursive extension of PA , then A is consistent $\Leftrightarrow A \not\vdash \text{Con}_A$.*

(If A is inconsistent, then $A \vdash \text{Con}_A$ since A proves everything.)

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- Since $PA \not\vdash Con_{PA}$, it follows that $PA \cup \{\neg Con_{PA}\}$ is consistent. (This is because, if we assume that $PA \cup \{\neg Con_{PA}\} \vdash \perp$, then $PA \vdash \neg Con_{PA} \rightarrow \perp$ by the Deduction Theorem; it would then follow that $PA \vdash Con_{PA}$ by the (PC) rule, but this contradicts the fact that $PA \not\vdash Con_{PA}$.)

Therefore, there exists a model \mathfrak{M} of $PA \cup \{\neg Con_{PA}\}$.

(Note: This model looks similar to \mathfrak{N} — for example, addition $+^{\mathfrak{M}}$ is commutative. However, $Th(\mathfrak{M}) \neq Th(\mathfrak{N})$.)

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- Since $PA \not\vdash Con_{PA}$, it follows that $PA \cup \{\neg Con_{PA}\}$ is consistent.

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- QUESTION: Since PA is consistent, why not take Con_{PA} as an additional axiom?

Let $PA' := PA \cup \{Con_{PA}\}$. Then $PA' \vdash Con_{PA}$, but $PA' \not\vdash Con_{PA'}$. So we are left with the same problem.

HILBERT-BERNAYS DERIVABILITY CONDITIONS

Lemma. PA satisfies the following “derivability conditions” for all formulas α and β :

(D1) If $PA \vdash \alpha$, then $PA \vdash \text{Thm}_{PA}(\overline{\ulcorner \alpha \urcorner})$.

If PA proves α , then PA proves “ PA proves α ”.

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(D2) $PA \vdash \text{Thm}_{PA}(\overline{\ulcorner \alpha \urcorner}) \rightarrow \text{Thm}_{PA}(\overline{\ulcorner \text{Thm}_{PA}(\overline{\ulcorner \alpha \urcorner}) \urcorner})$.

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(D3) $PA \vdash [Thm_{PA}(\overline{\Gamma \alpha \overline{\Gamma}}) \wedge Thm_{PA}(\overline{\Gamma \alpha \rightarrow \beta \overline{\Gamma}})] \rightarrow Thm_{PA}(\overline{\Gamma \beta \overline{\Gamma}})$.

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PA proves “if PA proves α and PA proves $\alpha \rightarrow \beta$, then PA proves β ”.

Moreover, if A is a recursive extension of PA , then A satisfies derivability conditions (D1)–(D3) with respect to $Thm_A(x)$.

Proof of 2nd Incompleteness Theorem. Let A be a consistent, recursive extension of PA . Let θ be a sentence such that

$$(*) \quad N \vdash \theta \leftrightarrow \neg \text{Thm}_A(\overline{\ulcorner \theta \urcorner}).$$

By proof of 1st Incompleteness Theorem, we know that $A \not\vdash \theta$.

CLAIM: $A \vdash \text{Con}_A \rightarrow \theta$. (It follows that $A \not\vdash \text{Con}_A$.)

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PROOF OF CLAIM: By (*), we have $A \vdash \text{Thm}_A(\overline{\ulcorner \theta \urcorner}) \rightarrow \neg\theta$.

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By (PC) rule, $A \vdash \text{Thm}_A(\overline{\ulcorner \theta \urcorner}) \rightarrow \overline{\text{Thm}_A(\ulcorner \neg\theta \urcorner)}$.

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By (PC) rule, $A \vdash \text{Thm}_A(\overline{\Gamma\theta\overline{\Gamma}}) \rightarrow \text{Thm}_A(\overline{\Gamma\neg\theta\overline{\Gamma}})$.

Next step: $A \vdash \text{Thm}_A(\overline{\Gamma\theta\overline{\Gamma}}) \rightarrow \underbrace{\text{Thm}_A(\overline{\Gamma\perp\overline{\Gamma}})}_{\neg\text{Con}_{PA}}$.

Taking the contrapositive, we have $A \vdash \text{Con}_A \rightarrow \neg\text{Thm}_A(\overline{\Gamma\theta\overline{\Gamma}})$.

Finally, by $(*)$ and (PC) rule: $A \vdash \text{Con}_A \rightarrow \theta$.

Q.E.D.

COMPLETE, CONSISTENT, RECURSIVE THEORIES

The 1st Incompleteness Theorem implies that $Th(\mathfrak{N})$ has no complete, consistent, recursive axiomatization.

The same is true of any theory that “interprets” $Th(\mathfrak{N})$, such as models of ZFC (set theory).

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In contrast, there are beautiful examples of complete, consistent, recursive theories:

- $Th(\mathbb{N}, 0, 1, +)$ (Presburger Arithmetic)
- $Th(\mathbb{R}, 0, 1, +, \cdot, <)$ (the theory of real closed fields)
- Euclidean Geometry: $Th(\mathbb{R}^2, \text{Between}, \text{Congruent})$ where
$$\text{Between} := \{(a, b, c) \in (\mathbb{R}^2)^3 : b \in ac\},$$
$$\text{Congruent} := \{(a, b, c, d) \in (\mathbb{R}^2)^4 : |ab| = |cd|\}.$$

Here ab denotes the line segment between points $a, b \in \mathbb{R}^2$, and $|ab|$ is the length of ab . (See Tarski’s axioms.)