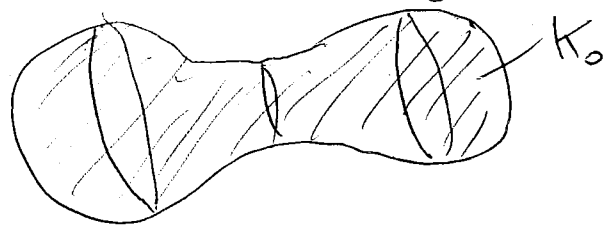
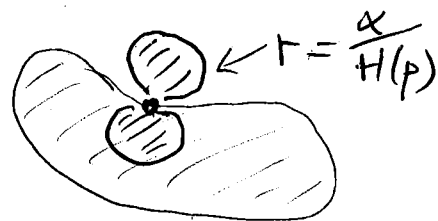


recall:  $M_0 = \partial K_0 \subset \mathbb{R}^{n+1}$  closed mean convex hypersurface

$\Rightarrow \exists!$  MCF  $\{K_t\}_{t \geq 0}$   
starting at  $K_0$ .



- )  $K_{t_2} \subset K_{t_1}$  for  $t_2 > t_1$  (mean convex)
- )  $K_t = \emptyset$  for  $t \geq T_{\text{ext}}$
- )  $\{K_t\}_{t \geq 0}$  is the maximal family of closed sets starting at  $K_0$  that satisfies the avoidance principle
- )  $\left\{ \begin{array}{l} -\operatorname{div}(\frac{Du}{|Du|}) = \frac{1}{|Du|} \text{ in } K_0 \\ u = 0 \text{ on } \partial K_0 \end{array} \right\} \quad u(x) = t \Leftrightarrow x \in \partial K_t$
- )  $H^n \llcorner \partial K_t$  is a Brakke flow (with equality)
- ) If  $K_0$  is  $\alpha$ -noncollapsed, then so is  $K_t$  for all  $t \geq 0$ .



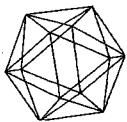
•)  $\{K_t \times \mathbb{R}\}_{t \geq 0}$  is a limit of smooth flows.



~~viscosity~~ viscosity mean curvature

$$H_\nu(p) := \inf \{ H_x(p) \mid x \in K^{\text{smooth}}, p \in \partial x \}$$

~~or~~  $\lim_{t \rightarrow \infty} p \text{ not } \in (\inf \{ \dots \} + \infty)$



Proof  $\operatorname{div} \left( \frac{Du^\varepsilon}{\sqrt{\varepsilon^2 + |Du^\varepsilon|^2}} \right) = - \frac{1}{\sqrt{\varepsilon^2 + |Du^\varepsilon|^2}}$   
 i.e.  $N^\varepsilon = \operatorname{graph} \left( \frac{u^\varepsilon}{\varepsilon} \right)$  satisfies  $\vec{H} = -\frac{1}{\varepsilon} \vec{e}_{n+2}$   
 $\subset \mathbb{R}^{n+1} \times \mathbb{R}$



$Z^*, Z_*: N^\varepsilon \rightarrow \mathbb{R}$ ,  $Z^*(x) = \sup_{y \neq x} Z(x, y)$ ,  $Z_*(x) = \inf_{y \neq x} Z(x, y)$   
 where  $Z(x, y) = \frac{2 \langle x - y, \nu_x \rangle}{|x - y|^2}$

$\Delta \frac{Z^*}{H} + 2 \langle \nabla \log H, \nabla \frac{Z^*}{H} \rangle \geq 0 \Rightarrow \max \frac{Z^*}{H}$  is attained at  $\partial N^\varepsilon$

Similarly,  $\min \frac{Z_*}{H}$  is attained at  $\partial N^\varepsilon$ .

$\Rightarrow N^\varepsilon$  is  $\alpha_\varepsilon$ -noncollapsed, with  $\liminf_{\varepsilon \rightarrow 0} \alpha_\varepsilon \geq \alpha = \alpha(K_0)$

$\varepsilon \rightarrow 0 \Rightarrow \{K_t \times \mathbb{R}\}$  and thus  $\{K_t\}$  is  $\alpha$ -noncollapsed  $\square$

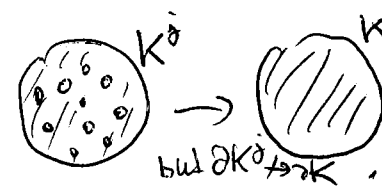
Def The class of  $\alpha$ -noncollapsed flows is the smallest class of set flows  $\{K_t\}$  which ~~contains~~:

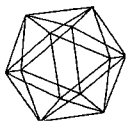
• ~~contains~~ all compact level set flows with smooth  $\alpha$ -noncollapsed initial condition

• ~~contains~~ all smooth  $\alpha$ -noncoll. flows in  $\mathbb{C} \mathbb{R}^{n+1}$  open

• is closed under restriction, parabolic rescaling, Hausdorff limits

Lemma:  $K^j \xrightarrow{\text{Hausdorff}} K \Rightarrow \partial K^j \rightarrow \partial K$   
 $\alpha$ -noncollapsed

Remark not true without  $\alpha$ -noncoll, e.g. 

Thm (Local curvature estimate)

$$\forall \alpha > 0 \exists \rho = \rho(\alpha) > 0, C_\rho = C_\rho(\alpha) < \infty:$$

If  $\mu_K$  is an  $\alpha$ -noncollapsed flow in  $P(p, t, r)$   
 $p \in K_t, H(p, t) \leq r^{-1}$

$$\text{then } \sup_{P(p, t, \rho r)} |\nabla^p A| \leq C_\rho r^{-(p+1)}$$



Rmk  ~~$\mu_K$  flow in~~

•)  $H(p, t) \leq 1$   ~~$\Rightarrow H \leq C$~~   $\Rightarrow H \leq C_0$  in  $P(p, t, \rho)$

*parabolic ball of definite size*

- (•) like "local Harnack inequality"
- ) Cor:  $|\nabla H| \leq C(\alpha) \cdot H^2$  (used some time ago)

i.e. curv. control at a single point,  
gives curv. control on par. ball of definite size.

(4)

$H(0, 0) \leq j^{-1}$ , but such that

$$(4.5) \quad \sup_{P(0,0,1)} |A| \geq j.$$

We can choose coordinates such that the outward normal of  $K_0^j$  at  $(0, 0)$  is  $e_{n+1}$ . Furthermore, by [HK13a, App. D] we can assume that the sequence is admissible, i.e. that for every  $R < \infty$  some time slice  $K_{t_j}^j$  contains  $B(0, R)$ , for  $j$  sufficiently large.

**Claim 4.6.** *The sequence of mean curvature flows  $\{K^j\}$  converges in the pointed Hausdorff topology to a static halfspace in  $\mathbb{R}^{n+1} \times (-\infty, 0]$ , and similarly for their complements.*

*Proof of Claim 4.6.* For  $R < \infty$ ,  $d > 0$  let  $\bar{B}_{R,d} = \overline{B((-R+d)e_{n+1}, R)}$ , so  $\bar{B}_{R,d}$  is the closed  $R$ -ball tangent to the horizontal hyperplane  $\{x_{n+1} = d\}$  at the point  $d e_{n+1}$ . When  $R$  is large, it will take time approximately  $Rd$  for  $\bar{B}_{R,d}$  to leave the upper halfspace  $\{x_{n+1} > 0\}$ . Since  $0 \in \partial K_0^j$  for all  $j$ , it follows that  $\bar{B}_{R,d}$  cannot be contained in the interior of  $K_t^j$  for any  $t \in [-T, 0]$ , where  $T \simeq Rd$ . Thus, for large  $j$  we can find  $d_j \leq d$  such that  $\bar{B}_{R,d_j}$  has interior contact with  $K_t^j$  at some point  $q_j$ , where  $\langle q_j, e_{n+1} \rangle < d$ ,  $\|q_j\| \lesssim \sqrt{Rd}$ , and moreover  $\liminf_{j \rightarrow \infty} \langle q_j, e_{n+1} \rangle \geq 0$ .

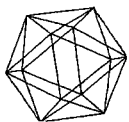
The mean curvature satisfies  $H(q_j, t) \leq \frac{n}{R}$ . Since  $K_t^j$  satisfies the  $\alpha$ -Andrews condition, there is a closed ball  $\bar{B}_j$  with radius at least  $\frac{\alpha R}{n}$  making exterior contact with  $K_0^j$  at  $q_j$ . By a simple geometric calculation, this implies that  $K_t^j$  has height  $\lesssim \frac{d}{\alpha}$  in the ball  $B(0, R')$  where  $R'$  is comparable to  $\sqrt{Rd}$ . As  $d$  and  $R$  are arbitrary, this implies that for any  $T > 0$ , and any compact subset  $Y \subset \{x_{n+1} > 0\}$ , for large  $j$  the time slice  $K_t^j$  is disjoint from  $Y$ , for all  $t \geq -T$ .

Finally, observe that for any  $T > 0$  and any compact subset  $Y \subset \{x_{n+1} < 0\}$ , the time slice  $K_t^j$  contains  $Y$  for all  $t \in [-T, 0]$ , and large  $j$ , because  $K_{-T}^j$  contains a ball whose forward evolution under MCF contains  $Y$  at any time  $t \in [-T, 0]$ . This proves the claim.  $\square$

Finishing the proof of the theorem, by Claim 4.6, admissibility, and one-sided minimization (see below), we get for every  $\varepsilon > 0$ , every  $t \leq 0$  and every ball  $B(x, r)$  centered on the hyperplane  $\{x_{n+1} = 0\}$ , that

$$(4.7) \quad |\partial K_t^j \cap B(x, r)| \leq (1 + \varepsilon) \omega_n r^n,$$

for  $j$  large enough. Hence, the local regularity theorem for the mean curvature flow (Theorem 2.14) implies  $\limsup_{j \rightarrow \infty} \sup_{P(0,0,1)} |A| = 0$ ; this contradicts (4.5).  $\square$

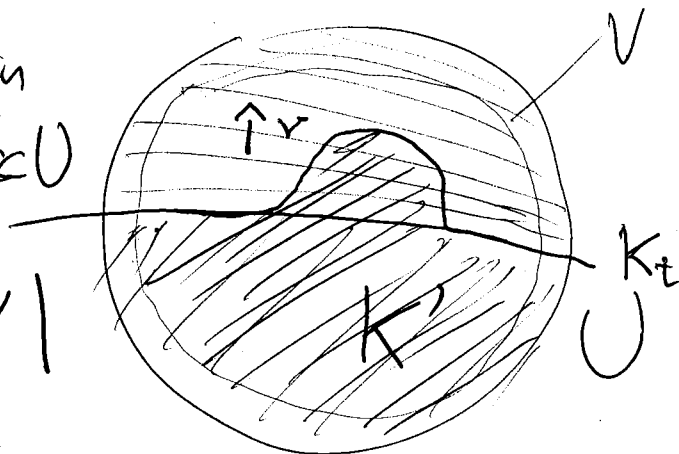


# One sided minimization

$\{K_{t'}\}_{t' \leq t}$  mean convex st  $\partial K_t$  foliated  $U \setminus \text{Int}(K_t)$

Claim If  $K' \supseteq K_t$  is closed domain which agrees with  $K_t$  outside  $V \subset U$

then  $|\partial K_t \cap V| \leq |\partial K' \cap V|$



Proof ~~r outward unit~~

$r = \nabla F$  on  $U \setminus \text{Int}(K_t)$  defined by outward unit normal of the foliation

$$\text{div } r = H \geq 0$$

$$\Rightarrow |\partial K' \cap V| - |\partial K_t \cap V| \geq \int_{\partial K' \cap V} \langle r, \nu_{\partial K'} \rangle - \int_{\partial K_t \cap V} \langle r, \nu_{\partial K_t} \rangle$$

$$= \int_{\text{Stokes } (K' \setminus K_t) \cap V} \text{div } r \geq 0.$$

□

In our situation, can take  $K' = K_t^j \cup (\overline{B(x, r)} \cap \{x_n \leq \delta\})$



**Exercise 4.8** (One-sided minimization). *Use Stokes' theorem to prove the following. If  $\{K_{t'} \subseteq U\}_{t' \leq t}$  is a smooth family of mean convex domains such that  $\{\partial K_{t'}\}$  foliates  $U \setminus \text{Int}(K_t)$ , then*

$$(4.9) \quad |\partial K_t \cap V| \leq |\partial K' \cap V|$$

for every closed domain  $K' \supseteq K_t$  which agrees with  $K_t$  outside a compact smooth domain  $V \subseteq U$ . Using this, prove the density bound (4.7).

Our next estimate gives pinching of the curvatures towards positive.

**Theorem 4.10** (Convexity estimate [HK13a]). *For all  $\varepsilon > 0$ ,  $\alpha > 0$ , there exists  $\eta = \eta(\varepsilon, \alpha) < \infty$  with the following property. If  $\mathcal{K}$  is an  $\alpha$ -Andrews flow in a parabolic ball  $P(p, t, \eta r)$  centered at a boundary point  $p \in \partial K_t$  with  $H(p, t) \leq r^{-1}$ , then*

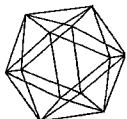
$$(4.11) \quad \lambda_1(p, t) \geq -\varepsilon r^{-1}.$$

The convexity estimate (Theorem 4.10) says that a boundary point  $(p, t)$  in an  $\alpha$ -Andrews flow has almost positive definite second fundamental form, assuming only that the flow has had a chance to evolve over a portion of spacetime which is large compared to  $H^{-1}(p, t)$ . In particular, ancient  $\alpha$ -Andrews flows  $\{K_t \subset \mathbb{R}^{n+1}\}_{t \in (-\infty, T)}$  (e.g. blowup limits) are always convex; this is crucial for the analysis of singularities.

*Proof of Theorem 4.10.* Fix  $\alpha$ . The  $\alpha$ -Andrews condition implies that the assertion holds for  $\varepsilon = \frac{1}{\alpha}$ . Let  $\varepsilon_0 \leq \frac{1}{\alpha}$  be the infimum of the  $\varepsilon$ 's for which it holds, and suppose towards a contradiction that  $\varepsilon_0 > 0$ .

It follows that there is a sequence  $\{\mathcal{K}^j\}$  of  $\alpha$ -Andrews flows, where for all  $j$ ,  $(0, 0) \in \partial \mathcal{K}^j$ ,  $H(0, 0) \leq 1$  and  $\mathcal{K}^j$  is defined in  $P(0, 0, j)$ , but  $\lambda_1(0, 0) \rightarrow -\varepsilon_0$  as  $j \rightarrow \infty$ . After passing to a subsequence,  $\{\mathcal{K}^j\}$  converges smoothly to a mean curvature flow  $\mathcal{K}^\infty$  in the parabolic ball  $P(0, 0, \rho)$ , where  $\rho = \rho(\alpha)$  is the quantity from Theorem 4.2. Note that for  $\mathcal{K}^\infty$  we have  $\lambda_1(0, 0) = -\varepsilon_0$  and thus  $H(0, 0) = 1$ .

By continuity  $H > \frac{1}{2}$  in  $P(0, 0, r)$  for some  $r \in (0, \rho)$ . Furthermore we have  $\frac{\lambda_1}{H} \geq -\varepsilon_0$  everywhere in  $P(0, 0, r)$ . This is because every  $(p, t) \in \partial \mathcal{K}^\infty \cap P(0, 0, r)$  is a limit of a sequence  $\{(p_j, t_j) \in \partial \mathcal{K}^j\}$  of boundary points, and for every  $\varepsilon > \varepsilon_0$ , if  $\eta = \eta(\varepsilon, \alpha)$ , then for large  $j$ ,  $\mathcal{K}^j$  is defined in  $P(p_j, t_j, \eta H^{-1}(p_j, t_j))$ , which implies that the ratio  $\frac{\lambda_1}{H}(p_j, t_j)$  is bounded below by  $-\varepsilon$ . Thus, in the parabolic ball  $P(0, 0, r)$ , the ratio  $\frac{\lambda_1}{H}$  attains a negative minimum  $-\varepsilon_0$  at  $(0, 0)$ . Since  $\lambda_1 < 0$  and  $\lambda_n > 0$  the Gauss curvature  $K = \lambda_1 \lambda_n$  is strictly negative. However, by the equality case of the maximum principle for  $\frac{\lambda_1}{H}$ , the hypersurface



# Regularity & Structure theory for mean convex MCF (1)

(White, Huisken-Sinestrari, H-Kleiner)

Local curvature estimate  $\forall \alpha > 0 \exists p > 0, C_e < \infty$ :

$\forall K$   $\alpha$ -noncollapsed flow in  $P(p, t, r)$ ,  $H(p, t) \leq r^{-1}$

$$\Rightarrow \sup_{P(p, t, r)} |\nabla^p A| \leq C_e r^{-1-\epsilon}$$

Convexity estimate  $\forall \alpha, \epsilon > 0 \exists \eta < \infty$ :

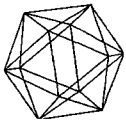
$\forall K$   $\alpha$ -noncollapsed flow in  $P(p, t, \eta r)$ ,  $H(p, t) \leq r^{-1}$

$$\Rightarrow \frac{\lambda_1(A)}{H} \geq -\epsilon.$$

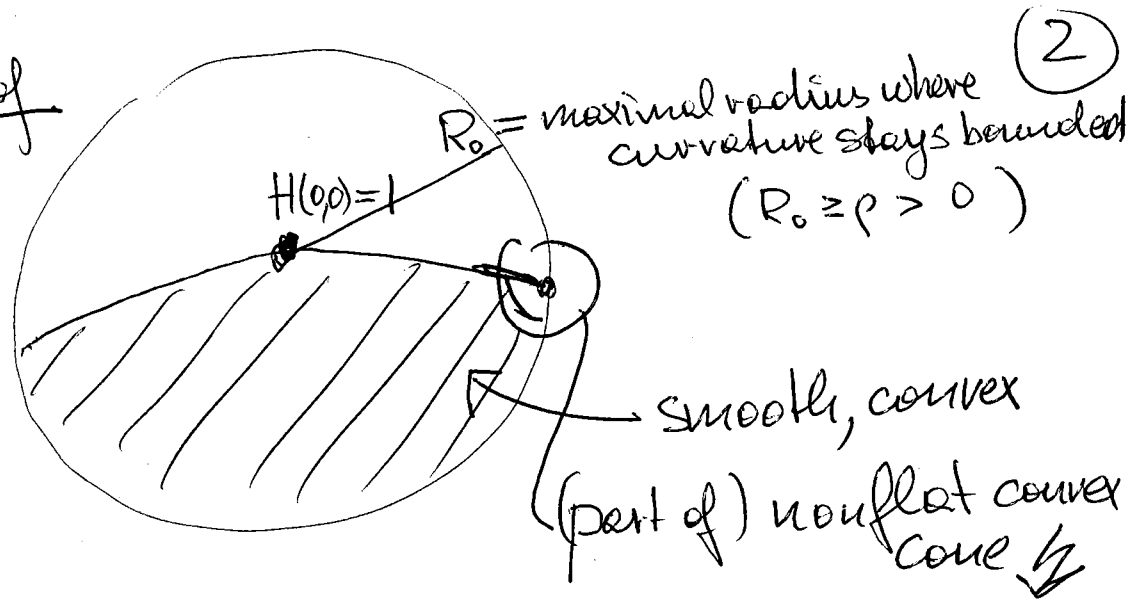
Global curvature estimate  $\forall \alpha > 0, \Lambda < \infty \exists \eta, C_e < \infty$ :

$\forall K$   $\alpha$ -noncollapsed flow in  $P(p, t, \eta r)$ ,  $H(p, t) \leq r^{-1}$

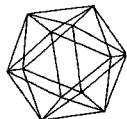
$$\Rightarrow \sup_{P(p, t, \Lambda r)} |\nabla^p A| \leq C_e r^{-1-\epsilon}$$



Idea of proof







# Singularities / high curvature regions

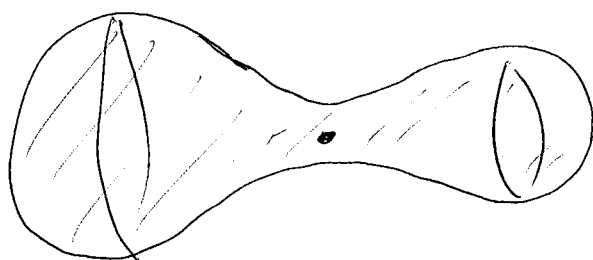
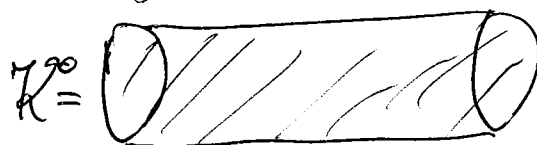
(3)

 $\gamma_K$   $\alpha$ -noncollapsed flow

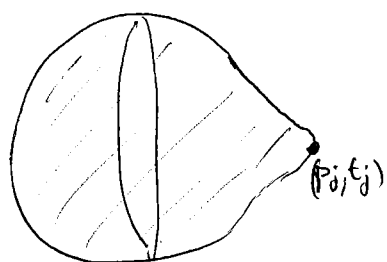
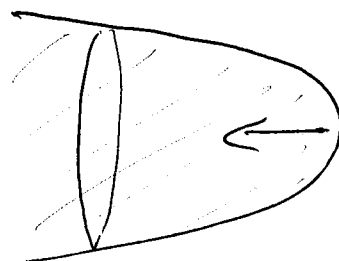
Blowup sequence  $\gamma_K^j$  obtained by parabolic rescaling  $(p, t) \mapsto (\lambda_j(p - p_j), \lambda_j^2(t - t_j))$

 $\Rightarrow \gamma_K^j \rightarrow \gamma_K^\infty = \text{limit flow}$ 

tangent flow = limit flow in special case where  $(p_j, t_j)$  is fixed.

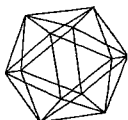
Ex

tangent flow at  $(p_{\text{sing}}, t_{\text{sing}})$ :


= round shrinking cylinder

Ex

 $\rightarrow \gamma_K^\infty =$ 


translating bowl soliton

Note: ~~particular~~ All limit flows are ~~in particular~~  $\alpha$ -noncollapsed, ancient, defined on  $\mathbb{R}^{n+1} \times (-\infty, 0)$



# Structure theorem for ancient $\alpha$ -noncollapsed flows

(4)

$\mathcal{K}$  ancient  $\alpha$ -noncollapsed flow in  $\mathbb{R}^{n+1}$

~~$T \in (-\infty, +\infty]$  extinction~~

$T := \sup \{t : K_t \neq \emptyset\} \in (-\infty, +\infty]$  extinction time.

Then:

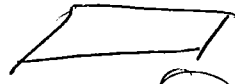
(1)  $\mathcal{K} \cap \{t < T\}$  is smooth,


~~$H(p, t) \leq$~~

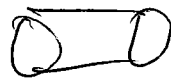
(2)  $\mathcal{K}$  has convex time slices

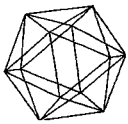
(3)  $\mathcal{K}$  is either a static halfspace, or it has ~~strictly positive~~  $H > 0$  and sweeps out all space, i.e.  $\bigcup_{t \in \mathbb{R}} K_t = \mathbb{R}^{n+1}$

Furthermore, if  $\mathcal{K}$  is backwardly selfsimilar (eg a tangent flow) then it is either

(i) a static halfspace 

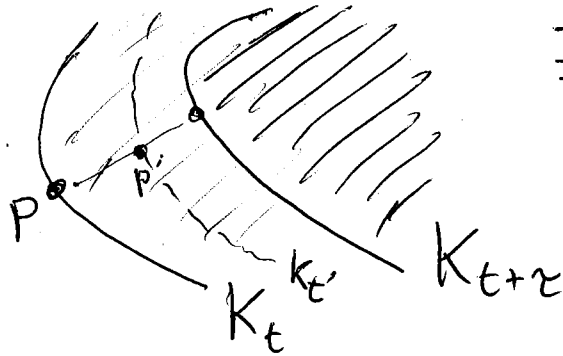
or (ii) a round shrinking sphere 

or (iii) a round shrinking cylinder .



Proof (1)  $p \in \partial K_t$ ,  $\tau < T-t$

(5)



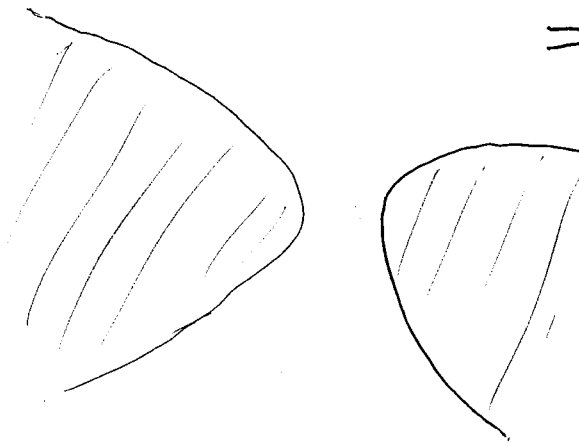
$\exists p' \in \partial K_{t'}$ ,  $t' \in [t, t+\tau]$   
with  $|p-p'| \leq d(p, K_{t+\tau})$   
and  $H(p', t') \leq \frac{d(p, K_{t+\tau})}{\tau}$

glob. curv. est centered at  $(p', t')$

$$\Rightarrow H(p, t) \leq C(\tau, d(p, K_{t+\tau}))$$

(2) convexity estimate  $\Rightarrow \frac{\lambda_1}{H} \geq 0$ .

$\Rightarrow \partial K_t$  has positive semidefinite  
2<sup>nd</sup> fundamental form



Only one connected  
component  
 $\Rightarrow$  convex.

(3)  $H = 0$  at some  $(p, t)$   $\Rightarrow$  static halfspace  
loc. curv. est  
 $\alpha$ -noncoll

Other case:  $H > 0$ . as in (2)  $\Rightarrow$  sweeps out all space.

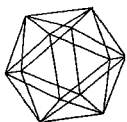
Moreover: backward self-similar

$$\Rightarrow t = -\frac{1}{2} \text{ slice satisfies } H + \langle x, v \rangle = 0$$

convexity  $\Rightarrow |A| \leq H \leq |x|$  grows at most linearly

one sided minimization  $\Rightarrow |\partial K_t \cap B_r| \leq C r^n$

Huisken's classification  $\Rightarrow$  ~~classification~~  $\Rightarrow$  classification [17]



# Size of the singular set

(6)

 $M$   $\alpha$ -noncollapsed flow

$$S' := \left\{ (p, t) \in \partial M : \begin{array}{l} \text{flow not smooth in a} \\ \text{nbhd of } (p, t) \text{ where} \\ \text{flow is smooth} \end{array} \right\}$$

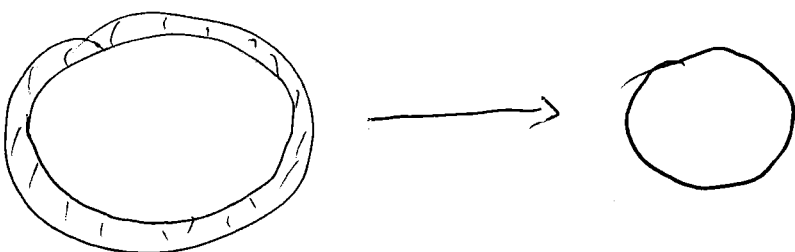
$$\subset \underbrace{\mathbb{R}^{n+1} \times \mathbb{R}}_{\text{space-time}}$$

$$d((x_1, t_1), (x_2, t_2)) := \max(|x_1 - x_2|, |t_1 - t_2|^{1/2})$$

 $\dim$  = Hausdorff  $\dim$  wrt  $d$ 

$$\text{eg } \dim(\mathbb{R}^{n+1} \times \mathbb{R}) = n+3.$$

Partial regularity thm  $\dim S' \leq n-1.$ 

Ex   $\dim S' = n-1$

Proof ~~loc. curve~~ Str. thm & Huisken's mon. formula

 $\Rightarrow$  tangent flows: static ~~flow~~ <sup>paraboloid</sup>, shrink. sphere or cyl. (of mult. One)

loc. curv. est  $\Rightarrow S' = \{(p, t) \in \partial M : \begin{array}{l} \text{no tang. flow at } (p, t) \\ \text{is static halfspace} \end{array}\}$ 

If  $\dim S' > n-1 \Rightarrow \exists$  tangent flow with  $\dim S' > n-1$   
<sup>blowup</sup>  
as density point