

1. WEAK CONTINUITY OF THE WICK ORDERED CUBIC NLS IN $L^2(T)$

Consider the Wick ordered cubic NLS:

$$(1.1) \quad \begin{cases} iu_t - u_{xx} \pm (|u|^2 - 2f|u|^2)u = 0 \\ u|_{t=0} = u_0 \end{cases}$$

for $(x, t) \in \mathbb{T} \times \mathbb{R}$. By writing as an integral equation, (1.1) is equivalent to

$$(1.2) \quad u(t) = S(t)u_0 \mp i \int_0^t S(t-t')\mathcal{N}(u)(t')dt'$$

where $\mathcal{N}(u) = (|u|^2 - 2f|u|^2)u$.

Theorem 1.1 (Weak continuity of (1.1)). *Assume that $u_{0,n}$ converges to u_0 in $L^2(\mathbb{T})$. Let u_n and u denote the unique global solutions of (1.1) with initial data $u_{0,n}$ and u_0 , respectively. Then, given $T > 0$, we have the following.*

- (a) u_n converges weakly to u in $L^4_{T,x} := L^4([-T, T]; L^4(\mathbb{T}))$.
- (b) For any $|t| \leq T$, $u_n(t)$ converges weakly to $u(t)$ in $L^2(\mathbb{T})$. Moreover, this weak convergence is uniform for $|t| \leq T$. i.e. for any $\phi \in L^2(\mathbb{T})$,

$$\lim_{n \rightarrow \infty} \sup_{|t| \leq T} |\langle u_n(t) - u(t), \phi \rangle_{L^2}| = 0.$$

Remark 1.2. We do not expect the weak continuity in the Strichartz space, i.e. in $L^6_{T,x}$. This is due to the failure of the $L^6_{x,t}$ Strichartz estimate in the periodic setting.

Define $\mathcal{N}_1(u_1, u_2, u_3)$ and $\mathcal{N}_2(u_1, u_2, u_3)$ by

$$(1.3) \quad \mathcal{N}_1(u_1, u_2, u_3) = \sum_{\substack{n=n_1-n_2+n_3 \\ (n_2-n_1)(n_2-n_3) \neq 0}} \widehat{u}_1(n_1)\widehat{u}_2(n_2)\widehat{u}_3(n_3)e^{inx}$$

and

$$(1.4) \quad \mathcal{N}_2(u_1, u_2, u_3) = - \sum_n \widehat{u}_1(n)\widehat{u}_2(n)\widehat{u}_3(n)e^{inx}.$$

Moreover, let $\mathcal{N}_j(u) := \mathcal{N}_j(u, u, u)$. Then, we have

$$\mathcal{N}(u) = \mathcal{N}_1(u) + \mathcal{N}_2(u).$$

• **Part 1:** First, we show that u_n converges to u as space-time distributions. In the following, we assume that the local well-posedness guarantees the existence of the solutions on the time interval $[-1, 1]$ for $u_{0,n}$ and u_0 . i.e. all the estimates hold on $[-1, 1]$. (Otherwise, we can replace $[-1, 1]$ by $[-\delta, \delta]$ for some $\delta > 0$.)

• **Linear part:** Since $u_{0,n} \rightharpoonup u_0$ in $L^2(\mathbb{T})$, we have $\|u_{0,n} - u_0\|_{H^{-\varepsilon}(\mathbb{T})} \rightarrow 0$ for any $\varepsilon > 0$. Then, for any test function $\phi \in C_c^\infty(\mathbb{T} \times \mathbb{R})$, we have

$$\begin{aligned} \iint \eta(t)S(t)(u_{0,n} - u_0)\phi(x, t)dxdt &\leq \|\eta(t)S(t)(u_{0,n} - u_0)\|_{X^{-\varepsilon, \frac{1}{2}+}} \|\phi\|_{X^{\varepsilon, -\frac{1}{2}-}} \\ &\lesssim C_\phi \|u_{0,n} - u_0\|_{H^{-\varepsilon}} \rightarrow 0. \end{aligned}$$

Hence, $\eta(t)S(t)u_{0,n}$ converges to $\eta(t)S(t)u_0$ as space-time distributions.

• **Nonlinear part:**

- (i) By the uniform boundedness principle, we have $\|u_{0,n}\|_{L^2} \leq C$ for some $C > 0$. Then, by the local theory, we have $\|u_n\|_{X_1^{0,\frac{1}{2}+}} \leq C'$ for all n . Thus, there exists a subsequence u_{n_k} converging weakly to some v in $X_1^{0,\frac{1}{2}+}$.
- (ii) It essentially follows from Lemmata 2.2 and 2.3 in [Molinet, '09] that \mathcal{N}_j , $j = 1, 2$, is weakly continuous from $X_1^{0,\frac{1}{2}+}$ into $X_1^{0,-\frac{7}{16}}$.
- (iii) Recall the nonhomogeneous linear estimate:

$$\left\| \int_0^t S(t-t')F(t')dt' \right\|_{X_1^{0,b}} \lesssim \|F\|_{X_1^{0,b-1}} \quad \text{for } b > \frac{1}{2}.$$

Now, let $\mathcal{M}(u)$ denote the Duhamel term. i.e.

$$\mathcal{M}(u)(t) := \mp i \int_0^t S(t-t')\mathcal{N}(u)(t')dt'.$$

Similarly, define $\mathcal{M}_j(u_1, u_2, u_3)$ by

$$\mathcal{M}_j(u_1, u_2, u_3)(t) := \mp i \int_0^t S(t-t')\mathcal{N}_j(u_1, u_2, u_3)(t')dt'$$

for $j = 1, 2$. Also, let $\mathcal{M}_j(u) := \mathcal{M}_j(u, u, u)$.

Then, it follows from (i)~(iii) that $\mathcal{M}(u_{n_k}) \rightharpoonup \mathcal{M}(v)$ in $X_1^{0,\frac{1}{2}+}$. In particular, $\mathcal{M}(u_{n_k})$ converges to $\mathcal{M}(v)$ as space-time distributions.

Remark 1.3. Given linear $T : X \rightarrow Y$, we have $T^* : Y^* \rightarrow X^*$. If $f_n \rightharpoonup f$ in X , then we have, for $\phi \in Y^*$, $\langle T(f_n - f), \phi \rangle = \langle f_n - f, T^*\phi \rangle \rightarrow 0$ since $T^*\phi \in X^*$. Hence, $Tf_n \rightarrow Tf$ in Y .

Since u_{n_k} is a solution to (1.1) with the initial data u_{0,n_k} , we have

$$u_{n_k} = S(t)u_{0,n_k} + \mathcal{M}(u_{n_k}).$$

By taking the limits of both sides, we obtain

$$(1.5) \quad v = S(t)u_0 + \mathcal{M}(v),$$

where the equality holds in the sense of space-time distributions.

From the uniqueness of the Cauchy problem (1.1) in $L^2(\mathbb{T})$, we have $v = u$ in $X_1^{0,\frac{1}{2}+}$.

Remark 1.4. From the standard local theory, the uniqueness holds only in $X_1^{0,\frac{1}{2}+}$. In fact, we can show that the uniqueness holds in $L_{x,t}^4(\mathbb{T} \times [-1, 1])$ with little effort.

$$\begin{aligned} \|\eta(t)u\|_{L_{x,t}^4} &\leq \|\eta(t)S(t)u_0\|_{L_{x,t}^4} + \left\| \eta(t) \int_0^t S(t-t')|\eta u|^2 \eta u(t')dt' \right\|_{L_{x,t}^4} \\ &\lesssim \|u_0\|_{L_x^2} + \left\| \int_0^t S(t-t')|\eta u|^2 \eta u(t')dt' \right\|_{X^{0,\frac{3}{8}}} \end{aligned}$$

Moreover, the second term can be estimated by

$$\lesssim \| |u|^2 u \|_{X^{0,-\frac{3}{8}}} = \sup_{\|v\|_{X^{0,\frac{3}{8}}}} \iint v |\eta u|^2 (\eta u) dx dt \leq \sup_{\|v\|_{X^{0,\frac{3}{8}}}} \|v\|_{L_{x,t}^4} \|\eta u\|_{L_{x,t}^4}^3 \leq \|\eta u\|_{L_{x,t}^4}^3.$$

This shows that u is indeed a unique solution in $L^4_{x,t}$ (which is a bigger space than $X^{0,\frac{1}{2}+}$). In the above computation, we took $|u|^2u$ as the nonlinearity, but one can proceed exactly in the same manner for $\mathcal{N}(u)$ by imposing appropriate frequency restriction.

We showed that the subsequence u_{n_k} converges weakly to u in $X^{0,\frac{1}{2}+}_1$ and $L^4_{x,t}(\mathbb{T} \times [-1, 1])$.

Remark 1.5. Weak convergence in $X^{0,\frac{1}{2}+}_1$ implies that in $L^4_{x,t}(\mathbb{T} \times [-1, 1])$ since

$$X^{0,-\frac{1}{2}-}_1 = (X^{0,\frac{1}{2}+}_1)^* \supset (L^4_{x,t}(\mathbb{T} \times [-1, 1]))^* = L^{\frac{4}{3}}_{x,t}(\mathbb{T} \times [-1, 1]).$$

The argument above also shows that u is the only weak limit point (in $X^{0,\frac{1}{2}+}_1$ and $L^4_{x,t}(\mathbb{T} \times [-1, 1])$) of u_n . Hence, it follows from the boundedness of u_n in $X^{0,\frac{1}{2}+}_1$ and $L^4_{x,t}(\mathbb{T} \times [-1, 1])$ that the whole sequence u_n converges weakly to u . This establishes Part (a) of Theorem 1.1 on $[-1, 1]$.

Remark 1.6. If the whole sequence u_n does not converge weakly to u , then there exists $\phi \in X^{0,-\frac{1}{2}-}_1 = (X^{0,\frac{1}{2}+}_1)^*$ such that $\langle u_n, \phi \rangle \not\rightarrow \langle u, \phi \rangle$. This, in turn, implies that there exists $\varepsilon > 0$ such that for any $N \in \mathbb{N}$, there exists $n \geq N$ such that $|\langle u_n - u, \phi \rangle| > \varepsilon$.

Given $\varepsilon > 0$, we can construct a subsequence u_{n_k} with $|\langle u_{n_k} - u, \phi \rangle| > \varepsilon$ for each k . However, by repeating the previous argument (from the boundedness of u_{n_k} in $X^{0,\frac{1}{2}+}_1$), u_{n_k} has a sub-subsequence converging to u , which is a contradiction.

• **Part 2:** Now, we prove Part (b) of Theorem 1.1. Fix $\phi \in L^2(\mathbb{T})$.

• **Linear part:** Given $\varepsilon > 0$, choose $\psi \in H^1(\mathbb{T})$ such that $\|\phi - \psi\|_{L^2} < \frac{\varepsilon}{2K}$, where $K = \sup_n \|u_{0,n} - u_0\|_{L^2} < \infty$. Then, there exists N_1 such that

$$\begin{aligned} \sup_{|t| \leq 1} |\langle S(t)(u_{0,n} - u_0), \phi \rangle_{L^2}| &\leq \sup_{|t| \leq 1} |\langle S(t)(u_{0,n} - u_0), \psi \rangle_{L^2}| + \sup_{|t| \leq 1} |\langle S(t)(u_{0,n} - u_0), \phi - \psi \rangle_{L^2}| \\ &\leq \|S(t)(u_{0,n} - u_0)\|_{L^\infty([-1,1]; H^{-1})} \|\psi\|_{H^1} \\ &\quad + \|S(t)(u_{0,n} - u_0)\|_{L^\infty([-1,1]; L^2)} \|\phi - \psi\|_{L^2} \\ &\lesssim \|S(t)(u_{0,n} - u_0)\|_{X^{-1,\frac{1}{2}+\frac{\varepsilon}{2K}}} \|S(t)(u_{0,n} - u_0)\|_{X^{0,\frac{1}{2}+}} \\ &\leq C \|u_{0,n} - u_0\|_{H^{-1}} + \frac{\varepsilon}{2K} \|u_{0,n} - u_0\|_{L^2} < \varepsilon \end{aligned}$$

for $n \geq N_1$ since $u_{0,n}$ converges strongly u_n in H^{-1} .

• **Nonlinear part:** Since $u_n \rightarrow u$ in $X^{0,\frac{1}{2}+}_1$, we see that $\mathcal{N}(u_n)$ converges strongly $\mathcal{N}(u_n)$ in $X^{-1,-\frac{7}{16}}_1$. (See the proof of Lemmata 2.2 and 2.3 in [Molinet, '09].) This, in turn, implies that $\mathcal{M}(u_n)$ converges strongly to $\mathcal{M}(u)$ in $X^{-1,\frac{1}{2}+}_1$ in view of the nonhomogeneous linear estimate.

Given $\varepsilon > 0$, choose $\psi \in H^1(\mathbb{T})$ such that $\|\phi - \psi\|_{L^2} < \frac{\varepsilon}{2K}$, where $K = \sup_n \|\mathcal{M}(u_n) - \mathcal{M}(u_n)\|_{X^{0,\frac{1}{2}+}_1} < \infty$. Then, there exists N_2 such that

$$\begin{aligned} \sup_{|t| \leq 1} |\langle \mathcal{M}(u_n) - \mathcal{M}(u), \phi \rangle| &\leq \sup_{|t| \leq 1} |\langle \mathcal{M}(u_n) - \mathcal{M}(u), \psi \rangle_{L^2}| + \sup_{|t| \leq 1} |\langle \mathcal{M}(u_n) - \mathcal{M}(u), \phi - \psi \rangle_{L^2}| \\ &\lesssim \|\mathcal{M}(u_n) - \mathcal{M}(u)\|_{X^{-1,\frac{1}{2}+}_1} + \|\mathcal{M}(u_n) - \mathcal{M}(u)\|_{X^{0,\frac{1}{2}+}_1} \|\phi - \psi\|_{L^2} < \varepsilon \end{aligned}$$

for $n \geq N_2$.

Hence, we have

$$(1.6) \quad \lim_{n \rightarrow \infty} \sup_{|t| \leq 1} |\langle u_n(t) - u(t), \phi \rangle_{L^2}| = 0.$$

Given $[-T, T]$, we iterate Part 1 and 2 on each $[j, j + 1]$ and obtain Theorem 1.1.

Remark 1.7. For fixed $\phi \in L^2(\mathbb{T})$, we can consider $\langle u_n(t), \phi \rangle_{L^2}$ as in Molinet. Then, we can apply Ascoli-Arzelá theorem to extract the uniform converging *subsequence*. However, I do not see how to show the *uniform* convergence of the whole sequence by modifying Molinet's argument.