

## Linear Algebraic Groups

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ABSTRACT. We give a summary, without proofs, of basic properties of linear algebraic groups, with particular emphasis on reductive algebraic groups.

### 1. Algebraic groups

Let  $K$  be an algebraically closed field. An *algebraic  $K$ -group*  $\mathbf{G}$  is an algebraic variety over  $K$ , and a group, such that the maps  $\mu : \mathbf{G} \times \mathbf{G} \rightarrow \mathbf{G}$ ,  $\mu(x, y) = xy$ , and  $\iota : \mathbf{G} \rightarrow \mathbf{G}$ ,  $\iota(x) = x^{-1}$ , are morphisms of algebraic varieties. For convenience, in these notes, we will fix  $K$  and refer to an algebraic  $K$ -group as an algebraic group. If the variety  $\mathbf{G}$  is affine, that is,  $\mathbf{G}$  is an algebraic set (a Zariski-closed set) in  $K^n$  for some natural number  $n$ , we say that  $\mathbf{G}$  is a *linear algebraic group*. If  $\mathbf{G}$  and  $\mathbf{G}'$  are algebraic groups, a map  $\varphi : \mathbf{G} \rightarrow \mathbf{G}'$  is a *homomorphism of algebraic groups* if  $\varphi$  is a morphism of varieties and a group homomorphism. Similarly,  $\varphi$  is an *isomorphism of algebraic groups* if  $\varphi$  is an isomorphism of varieties and a group isomorphism.

A closed subgroup of an algebraic group is an algebraic group. If  $\mathbf{H}$  is a closed subgroup of a linear algebraic group  $\mathbf{G}$ , then  $\mathbf{G}/\mathbf{H}$  can be made into a quasi-projective variety (a variety which is a locally closed subset of some projective space). If  $\mathbf{H}$  is normal in  $\mathbf{G}$ , then  $\mathbf{G}/\mathbf{H}$  (with the usual group structure) is a linear algebraic group.

Let  $\varphi : \mathbf{G} \rightarrow \mathbf{G}'$  be a homomorphism of algebraic groups. Then the kernel of  $\varphi$  is a closed subgroup of  $\mathbf{G}$  and the image of  $\varphi$  is a closed subgroup of  $\mathbf{G}'$ .

Let  $X$  be an affine algebraic variety over  $K$ , with affine algebra (coordinate ring)  $K[X] = K[x_1, \dots, x_n]/I$ . If  $k$  is a subfield of  $K$ , we say that  $X$  is *defined over  $k$*  if the ideal  $I$  is generated by polynomials in  $k[x_1, \dots, x_n]$ , that is,  $I$  is generated by  $I_k := I \cap k[x_1, \dots, x_n]$ . In this case, the  $k$ -subalgebra  $k[X] := k[x_1, \dots, x_n]/I_k$  of  $K[X]$  is called a  *$k$ -structure* on  $X$ , and  $K[X] = k[X] \otimes_k K$ . If  $X$  and  $X'$  are algebraic varieties defined over  $k$ , a morphism  $\varphi : X \rightarrow X'$  is *defined over  $k$*  (or is a  *$k$ -morphism*) if there is a homomorphism  $\varphi_k^* : k[X'] \rightarrow k[X]$  such that the algebra homomorphism  $\varphi^* : K[X'] \rightarrow K[X]$  defining  $\varphi$  is  $\varphi_k^* \times id$ . Equivalently, the coordinate functions of  $\varphi$  all have coefficients in  $k$ . The set  $X(k) := X \cap k^n$  is called the  *$K$ -rational points* of  $X$ .

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If  $k$  is a subfield of  $K$ , we say that a linear algebraic group  $\mathbf{G}$  is *defined over  $k$*  (or is a  *$k$ -group*) if the variety  $\mathbf{G}$  is defined over  $k$  and the homomorphisms  $\mu$  and  $\iota$  are defined over  $k$ . Let  $\varphi : \mathbf{G} \rightarrow \mathbf{G}'$  be a  $k$ -homomorphism of  $k$ -groups. Then the image of  $\varphi$  is defined over  $k$  but the kernel of  $\varphi$  might not be defined over  $k$ .

An algebraic variety  $X$  over  $K$  is *irreducible* if it cannot be expressed as the union of two proper closed subsets. Any algebraic variety  $X$  over  $K$  can be expressed as the union of finitely many irreducible closed subsets:

$$X = X_1 \cup X_2 \cup \cdots \cup X_r,$$

where  $X_i \not\subset X_j$  if  $j \neq i$ . This decomposition is unique and the  $X_i$  are the maximal irreducible subsets of  $X$  (relative to inclusion). The  $X_i$  are called the *irreducible components* of  $X$ .

Let  $\mathbf{G}$  be an algebraic group. Then  $\mathbf{G}$  has a unique irreducible component  $\mathbf{G}^0$  containing the identity element. The irreducible component  $\mathbf{G}^0$  is a closed normal subgroup of  $\mathbf{G}$ . The cosets of  $\mathbf{G}^0$  in  $\mathbf{G}$  are the irreducible components of  $\mathbf{G}$ , and  $\mathbf{G}^0$  is the connected component of the identity in  $\mathbf{G}$ . Also, if  $\mathbf{H}$  is a closed subgroup of  $\mathbf{G}$  of finite index in  $\mathbf{G}$ , then  $\mathbf{H} \supset \mathbf{G}^0$ . For a linear algebraic group, connectedness is equivalent to irreducibility. It is usual to refer to an irreducible algebraic group as a connected algebraic group.

If  $\varphi : \mathbf{G} \rightarrow \mathbf{G}'$  is a homomorphism of algebraic groups, then  $\varphi(\mathbf{G}^0) = \varphi(\mathbf{G})^0$ . If  $k$  is a subfield of  $K$  and  $\mathbf{G}$  is defined over  $k$ , then  $\mathbf{G}^0$  is defined over  $k$ .

The *dimension* of  $\mathbf{G}$  is the dimension of the variety  $\mathbf{G}^0$ . That is, the dimension of  $\mathbf{G}$  is the transcendence degree of the field  $K(\mathbf{G}^0)$  over  $K$ .

If  $\mathbf{G}$  is a linear algebraic group, then  $\mathbf{G}$  is isomorphic, as an algebraic group, to a closed subgroup of  $\mathbf{GL}_n(K)$  for some natural number  $n$ .

**EXAMPLE 1.1.**  $\mathbf{G} = K$ , with  $\mu(x, y) = x + y$  and  $\iota(x) = -x$ . The usual notation for this group is  $\mathbf{G}_a$ . It is connected and has dimension 1.

**EXAMPLE 1.2.** Let  $n$  be a positive integer and let  $M_n(K)$  be the set of  $n \times n$  matrices with entries in  $K$ . The general linear group  $\mathbf{G} = \mathbf{GL}_n(K)$  is the group of matrices in  $M_n(K)$  that have nonzero determinant. Note that  $\mathbf{G}$  can be identified with the closed subset  $\{(g, x) \mid g \in M_n(K), x \in K, (\det g)x = 1\}$  of  $K^{n^2} \times K = K^{n^2+1}$ . Then  $K[\mathbf{G}] = K[x_{ij}, 1 \leq i, j \leq n, \det(x_{ij})^{-1}]$ . The dimension of  $\mathbf{GL}_n(K)$  is  $n^2$ , and it is connected. In the case  $n = 1$ , the usual notation for  $\mathbf{GL}_1(K)$  is  $\mathbf{G}_m$ . The only connected algebraic groups of dimension 1 are  $\mathbf{G}_a$  and  $\mathbf{G}_m$ .

**EXAMPLE 1.3.** Let  $n$  be a positive integer and let  $I_n$  be the  $n \times n$  identity matrix. The  $2n \times 2n$  matrix  $J = \begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}$  is invertible and satisfies  ${}^t J = -J$ , where  ${}^t J$  denotes the transpose of  $J$ . The  $2n \times 2n$  symplectic group  $\mathbf{G} = \mathbf{Sp}_{2n}(K)$  is defined by  $\{g \in M_{2n}(K) \mid {}^t g J g = J\}$ .

## 2. Jordan decomposition in linear algebraic groups

Recall that a matrix  $x \in M_n(K)$  is *semisimple* if  $x$  is diagonalizable: there is a  $g \in \mathbf{GL}_n(K)$  such that  $g x g^{-1}$  is a diagonal matrix. Also,  $x$  is unipotent if  $x - I_n$  is nilpotent:  $(x - I_n)^k = 0$  for some natural number  $k$ . Given  $x \in \mathbf{GL}_n(K)$ , there exist elements  $x_s$  and  $x_u$  in  $\mathbf{GL}_n(K)$  such that  $x_s$  is semisimple,  $x_u$  is unipotent, and  $x = x_s x_u = x_u x_s$ . Furthermore,  $x_s$  and  $x_u$  are uniquely determined.

Now suppose that  $\mathbf{G}$  is a linear algebraic group. Choose  $n$  and an injective homomorphism  $\varphi : \mathbf{G} \rightarrow \mathbf{GL}_n(K)$  of algebraic groups. If  $g \in \mathbf{G}$ , the semisimple

and unipotent parts  $\varphi(g)_s$  and  $\varphi(g)_u$  of  $\varphi(g)$  lie in  $\varphi(\mathbf{G})$ , and the elements  $g_s$  and  $g_u$  such that  $\varphi(g_s) = \varphi(g)_s$  and  $\varphi(g_u) = \varphi(g)_u$  depend only on  $g$  and not on the choice of  $\varphi$  (or  $n$ ). The elements  $g_s$  and  $g_u$  are called the semisimple and unipotent part of  $g$ , respectively. An element  $g \in \mathbf{G}$  is *semisimple* if  $g = g_s$  (and  $g_u = 1$ ), and *unipotent* if  $g = g_u$  (and  $g_s = 1$ ).

*Jordan decomposition.*

- (1) If  $g \in \mathbf{G}$ , there exist elements  $g_s$  and  $g_u$  in  $\mathbf{G}$  such that  $g = g_s g_u = g_u g_s$ ,  $g_s$  is semisimple, and  $g_u$  is unipotent. Furthermore,  $g_s$  and  $g_u$  are uniquely determined by the above conditions.
- (2) If  $k$  is a perfect subfield of  $K$  and  $\mathbf{G}$  is a  $k$ -group, then  $g \in \mathbf{G}(k)$  implies  $g_s, g_u \in \mathbf{G}(k)$ .

Jordan decompositions are preserved by homomorphisms of algebraic groups. Suppose that  $\mathbf{G}$  and  $\mathbf{G}'$  are linear algebraic groups and  $\varphi : \mathbf{G} \rightarrow \mathbf{G}'$  is a homomorphism of linear algebraic groups. Let  $g \in \mathbf{G}$ . Then  $\varphi(g)_s = \varphi(g_s)$  and  $\varphi(g)_u = \varphi(g_u)$ .

### 3. Lie algebras

Let  $\mathbf{G}$  be a linear algebraic group. The tangent bundle  $T(\mathbf{G})$  of  $\mathbf{G}$  is the set  $\text{Hom}_{K\text{-alg}}(K[\mathbf{G}], K[t]/(t^2))$  of  $K$ -algebra homomorphisms from the affine algebra  $K[\mathbf{G}]$  of  $\mathbf{G}$  to the algebra  $K[t]/(t^2)$ . If  $g \in \mathbf{G}$ , the evaluation map  $f \mapsto f(g)$  from  $K[\mathbf{G}]$  to  $K$  is a  $K$ -algebra isomorphism. This results in a bijection between  $\mathbf{G}$  and  $\text{Hom}_{K\text{-alg}}(K[\mathbf{G}], K)$ . Composing elements of  $T(\mathbf{G})$  with the map  $a + bt + (t^2) \mapsto a$  from  $K[t]/(t^2)$  to  $K$  results in a map from  $T(\mathbf{G})$  to  $\mathbf{G} = \text{Hom}_{K\text{-alg}}(K[\mathbf{G}], K)$ . The tangent space  $T_1(\mathbf{G})$  of  $\mathbf{G}$  at the identity element 1 of  $\mathbf{G}$  is the fibre of  $T(\mathbf{G})$  over 1. If  $X \in T_1(\mathbf{G})$  and  $f \in K[\mathbf{G}]$ , then  $X(f) = f(1) + t d_X(f) + (t^2)$  for some  $d_X(f) \in K$ . This defines a map  $d_X : K[\mathbf{G}] \rightarrow K$  which satisfies:

$$d_X(f_1 f_2) = d_X(f_1) f_2(1) + f_1(1) d_X(f_2), \quad f_1, f_2 \in K[\mathbf{G}].$$

Let  $\mu^* : K[\mathbf{G}] \rightarrow K[\mathbf{G}] \otimes_K K[\mathbf{G}]$  be the  $K$ -algebra homomorphism which corresponds to the multiplication map  $\mu : \mathbf{G} \times \mathbf{G} \rightarrow \mathbf{G}$ . Set  $\delta_X = (1 \otimes d_X) \circ \mu^*$ . The map  $\delta_X : K[\mathbf{G}] \rightarrow K[\mathbf{G}]$  is a  $K$ -linear map and a *derivation*:

$$\delta_X(f_1 f_2) = \delta_X(f_1) f_2 + f_1 \delta_X(f_2), \quad f_1, f_2 \in K[\mathbf{G}].$$

Furthermore,  $\delta_X$  is left-invariant:  $\ell_g \delta_X = \delta_X \ell_g$  for all  $g \in \mathbf{G}$ , where  $(\ell_g f)(g') = f(g^{-1} g')$ ,  $f \in K[\mathbf{G}]$ . The map  $X \mapsto \delta_X$  is a  $K$ -linear isomorphism of  $T_1(\mathbf{G})$  onto the vector space of  $K$ -linear maps from  $K[\mathbf{G}]$  to  $K[\mathbf{G}]$  which are left-invariant derivations.

Let  $\mathfrak{g} = T_1(\mathbf{G})$ . Define  $[X, Y] \in \mathfrak{g}$  by  $\delta_{[X, Y]} = \delta_X \circ \delta_Y - \delta_Y \circ \delta_X$ . Then  $\mathfrak{g}$  is a vector space over  $K$  and the map  $[\cdot, \cdot]$  satisfies:

- (1)  $[\cdot, \cdot]$  is linear in both variables
- (2)  $[X, X] = 0$  for all  $X \in \mathfrak{g}$
- (3)  $[[X, Y], Z] + [[Y, Z], X] + [[Z, X], Y] = 0$  for all  $X, Y, Z \in \mathfrak{g}$ . (*Jacobi identity*)

Therefore  $\mathfrak{g}$  is a Lie algebra over  $K$ . We call it the Lie algebra of  $\mathbf{G}$ .

EXAMPLE 3.1. If  $\mathbf{G} = \mathbf{GL}_n(K)$ , then  $\mathfrak{g}$  is isomorphic to the Lie algebra  $\mathfrak{gl}_n(K)$  which is  $M_n(K)$  equipped with the Lie bracket  $[X, Y] = XY - YX$ ,  $X, Y \in M_n(K)$ .

EXAMPLE 3.2. If  $\mathbf{G} = \mathbf{Sp}_{2n}(K)$ , then  $\mathfrak{g}$  is isomorphic to the Lie algebra  $\{X \in M_{2n}(K) \mid {}^tXJ + JX = 0\}$ , with bracket  $[X, Y] = XY - YX$ .

Let  $\varphi : \mathbf{G} \rightarrow \mathbf{G}'$  be a homomorphism of linear algebraic groups. Composition with the algebra homomorphism  $\varphi^* : K[\mathbf{G}'] \rightarrow K[\mathbf{G}]$  results in a map  $T(\varphi) : T(\mathbf{G}) \rightarrow T(\mathbf{G}')$ . The differential  $d\varphi$  of  $\varphi$  is the restriction  $d\varphi = T(\varphi)|_{\mathfrak{g}}$  of  $T(\varphi)$  to  $\mathfrak{g}$ . It is a  $K$ -linear map from  $\mathfrak{g}$  to  $\mathfrak{g}'$ , and satisfies

$$d\varphi([X, Y]) = [d\varphi(X), d\varphi(Y)], \quad X, Y \in \mathfrak{g}.$$

That is,  $d\varphi$  is a *homomorphism of Lie algebras*. If  $\varphi$  is bijective, then  $\varphi$  is an isomorphism if and only if  $d\varphi$  is an isomorphism of Lie algebras. If  $K$  has characteristic zero, any bijective homomorphism of linear algebraic groups is an isomorphism.

If  $\mathbf{H}$  is a closed subgroup of a linear algebraic group  $\mathbf{G}$ , then (via the differential of inclusion) the Lie algebra  $\mathfrak{h}$  of  $\mathbf{H}$  is isomorphic to a Lie subalgebra of  $\mathfrak{g}$ . And  $\mathbf{H}$  is a normal subgroup of  $\mathbf{G}$  if and only if  $\mathfrak{h}$  is an ideal in  $\mathfrak{g}$  ( $[X, Y] \in \mathfrak{h}$  whenever  $X \in \mathfrak{g}$  and  $Y \in \mathfrak{h}$ ).

If  $g \in \mathbf{G}$ , then  $\text{Int}_g : \mathbf{G} \rightarrow \mathbf{G}$ ,  $\text{Int}_g = gg_0g^{-1}$ ,  $g_0 \in \mathbf{G}$ , is an isomorphism of algebraic groups, so  $\text{Ad } g := d(\text{Int}_g) : \mathfrak{g} \rightarrow \mathfrak{g}$  is an isomorphism of Lie algebras. Note that  $(\text{Ad } g)^{-1} = \text{Ad } g^{-1}$ ,  $g \in \mathbf{G}$ , and  $\text{Ad}(g_1g_2) = \text{Ad } g_1 \circ \text{Ad } g_2$ ,  $g_1, g_2 \in \mathbf{G}$ . The map  $\text{Ad} : \mathbf{G} \rightarrow \mathbf{GL}(\mathfrak{g})$  is a homomorphism of algebraic groups, called the *adjoint representation* of  $\mathbf{G}$ .

If  $\mathbf{G}$  is a  $k$ -group, then its Lie algebra  $\mathfrak{g}$  has a natural  $k$ -structure  $\mathfrak{g}(k)$ , with  $\mathfrak{g} \simeq K \otimes_k \mathfrak{g}(k)$ . Also,  $\text{Ad}$  is defined over  $k$ .

*Jordan decomposition in the Lie algebra.* We can define semisimple and nilpotent elements in  $\mathfrak{g}$  in manner analogous to definitions of semisimple and unipotent elements in  $\mathbf{G}$  (as  $\mathfrak{g}$  is isomorphic to a Lie subalgebra of  $\mathfrak{gl}_n(K)$  for some  $n$ ). If  $X \in \mathfrak{g}$ , there exist unique elements  $X_s$  and  $X_n \in \mathfrak{g}$  such that  $X = X_s + X_n$ ,  $[X_s, X_n] = 0$ ,  $X_s$  is semisimple, and  $X_n$  is nilpotent. If  $\varphi : \mathbf{G} \rightarrow \mathbf{G}'$  is a homomorphism of algebraic groups, then  $d\varphi(X)_s = d\varphi(X_s)$  and  $d\varphi(X)_n = d\varphi(X_n)$  for all  $X \in \mathfrak{g}$ .

#### 4. Tori

A *torus* is a linear algebraic group which is isomorphic to the direct product  $\mathbf{G}_m^d = \mathbf{G}_m \times \cdots \times \mathbf{G}_m$  ( $d$  times), where  $d$  is a positive integer. A linear algebraic group  $\mathbf{G}$  is a torus if and only if  $\mathbf{G}$  is connected and abelian, and every element of  $\mathbf{G}$  is semisimple.

A *character* of a torus  $\mathbf{T}$  is a homomorphism of algebraic groups from  $\mathbf{T}$  to  $\mathbf{G}_m$ . The product of two characters of  $\mathbf{T}$  is a character of  $\mathbf{T}$ , the inverse of a character of  $\mathbf{T}$  is a character of  $\mathbf{T}$ , and characters of  $\mathbf{T}$  commute with each other, so the set  $X(\mathbf{T})$  of characters of  $\mathbf{T}$  is an abelian group. A *one-parameter* subgroup of  $\mathbf{T}$  is a homomorphism of algebraic groups from  $\mathbf{G}_m$  to  $\mathbf{T}$ . The set  $Y(\mathbf{T})$  of one-parameter subgroups is an abelian group. If  $\mathbf{T} \simeq \mathbf{G}_m$ , then  $X(\mathbf{T}) = Y(\mathbf{T})$  is just the set of maps  $x \mapsto x^r$ , as  $r$  varies over  $\mathbb{Z}$ . In general,  $\mathbf{T} \simeq \mathbf{G}_m^d$  for some positive integer  $d$ , so  $X(\mathbf{T}) \simeq X(\mathbf{G}_m)^d \simeq \mathbb{Z}^d \simeq Y(\mathbf{T})$ . We have a pairing

$$\begin{aligned} \langle \cdot, \cdot \rangle : X(\mathbf{T}) \times Y(\mathbf{T}) &\rightarrow \mathbb{Z} \\ \langle \chi, \eta \rangle &\mapsto r \text{ where } \chi \circ \eta(x) = x^r, \quad x \in \mathbf{G}_m. \end{aligned}$$

Let  $k$  be a subfield of  $K$ . A torus  $\mathbf{T}$  is a  $k$ -torus if  $\mathbf{T}$  is defined over  $k$ . Let  $\mathbf{T}$  be a  $k$ -torus. Let  $X(\mathbf{T})_k$  be the subgroup of  $X(\mathbf{T})$  made up of those characters of  $\mathbf{T}$  which are defined over  $k$ . We say that  $\mathbf{T}$  is  $k$ -split (or splits over  $k$ ) whenever  $X(\mathbf{T})_k$  spans  $k[\mathbf{T}]$ , or, equivalently, whenever  $\mathbf{T}$  is  $k$ -isomorphic to  $\mathbf{G}_m \times \cdots \times \mathbf{G}_m$  ( $d$  times,  $d = \dim \mathbf{T}$ ). In this case,  $\mathbf{T}(k) \simeq k^\times \times \cdots \times k^\times$ . If  $X(\mathbf{T})_k = 0$ , then we say that  $\mathbf{T}$  is  $k$ -anisotropic. There exists a finite Galois extension of  $k$  over which  $\mathbf{T}$  splits. There exist unique tori  $\mathbf{T}_{spl}$  and  $\mathbf{T}_{an}$  of  $\mathbf{T}$ , both defined over  $k$ , such that  $\mathbf{T} = \mathbf{T}_{spl}\mathbf{T}_{an}$ ,  $\mathbf{T}_{spl}$  is  $k$ -split and  $\mathbf{T}_{an}$  is  $k$ -anisotropic. Also,  $\mathbf{T}_{an}$  is the identity component of  $\bigcap_{\chi \in X(\mathbf{T})_k} \ker \chi$ .

EXAMPLE 4.1. Let  $\mathbf{T}$  be the subgroup of  $\mathbf{GL}_n(K)$  consisting of diagonal matrices in  $\mathbf{GL}_n(K)$ . Then  $\mathbf{T}$  is a  $k$ -split  $k$ -torus for any subfield  $k$  of  $K$ .

EXAMPLE 4.2. Let  $\mathbf{T}$  be the closed subgroup of  $\mathbf{GL}_2(\mathbb{C})$  defined by

$$\mathbf{T} = \left\{ \begin{bmatrix} a & b \\ -b & a \end{bmatrix} \mid a, b \in \mathbb{C}, a^2 + b^2 \neq 0 \right\}.$$

Then  $\mathbf{T}$  is an  $\mathbb{R}$ -torus and is  $\mathbb{R}$ -anisotropic.

### 5. Reductive groups, root systems and root data—the absolute case

Let  $\mathbf{G}$  be a linear algebraic group which contains at least one torus. Then the set of tori in  $\mathbf{G}$  has maximal elements, relative to inclusion. Such maximal elements are called *maximal tori* of  $\mathbf{G}$ . All of the maximal tori in  $\mathbf{G}$  are conjugate. The *rank* of  $\mathbf{G}$  is defined to be the dimension of a maximal torus in  $\mathbf{G}$ .

Now suppose that  $\mathbf{G}$  is a linear algebraic group and  $\mathbf{T}$  is a torus in  $\mathbf{G}$ . Recall that the adjoint representation  $\text{Ad} : \mathbf{G} \rightarrow \mathbf{GL}(\mathfrak{g})$  is a homomorphism of algebraic groups. Therefore  $\text{Ad}(\mathbf{T})$  consists of commuting semisimple elements, and so is diagonalizable. Given  $\alpha \in X(\mathbf{T})$ , let  $\mathfrak{g}_\alpha = \{ X \in \mathfrak{g} \mid \text{Ad}(t)X = \alpha(t)X, \forall t \in \mathbf{T} \}$ . The nonzero  $\alpha \in X(\mathbf{T})$  such that  $\mathfrak{g}_\alpha \neq 0$  are the *roots* of  $\mathbf{G}$  relative to  $\mathbf{T}$ . The set of roots of  $\mathbf{G}$  relative to  $\mathbf{T}$  will be denoted by  $\Phi(\mathbf{G}, \mathbf{T})$ .

The centralizer  $Z_{\mathbf{G}}(\mathbf{T})$  of  $\mathbf{T}$  in  $\mathbf{G}$  is the identity component of the normalizer  $N_{\mathbf{G}}(\mathbf{T})$  of  $\mathbf{T}$  in  $\mathbf{G}$ . The *Weyl group*  $W(\mathbf{G}, \mathbf{T})$  of  $\mathbf{T}$  in  $\mathbf{G}$  is the (finite) quotient  $N_{\mathbf{G}}(\mathbf{T})/Z_{\mathbf{G}}(\mathbf{T})$ . Because  $W(\mathbf{G}, \mathbf{T})$  acts on  $\mathbf{T}$ ,  $W(\mathbf{G}, \mathbf{T})$  also acts on  $X(\mathbf{T})$ , and  $W(\mathbf{G}, \mathbf{T})$  permutes the roots of  $\mathbf{T}$  in  $\mathbf{G}$ . Since any two maximal tori in  $\mathbf{G}$  are conjugate, their Weyl groups are isomorphic. The Weyl group of any maximal torus is referred to as the Weyl group of  $\mathbf{G}$ .

An algebraic group  $\mathbf{G}$  contains a unique maximal normal solvable subgroup, and this subgroup is closed. Its identity component is called the *radical* of  $\mathbf{G}$ , written  $R(\mathbf{G})$ . The set  $R_u(\mathbf{G})$  of unipotent elements in  $R(\mathbf{G})$  is a normal closed subgroup of  $\mathbf{G}$ , and is called the *unipotent radical* of  $\mathbf{G}$ . If  $\mathbf{G}$  is a linear algebraic group such that the radical  $R(\mathbf{G}^0)$  of  $\mathbf{G}^0$  is trivial, then  $\mathbf{G}$  is *semisimple*. In fact,  $\mathbf{G}$  is semisimple if and only if  $\mathbf{G}$  has no nontrivial connected abelian normal subgroups. If  $R_u(\mathbf{G}^0)$  is trivial, then  $\mathbf{G}$  is *reductive*. The *semisimple rank* of  $\mathbf{G}$  is defined to be the rank of  $\mathbf{G}/R(\mathbf{G})$ , and the *reductive rank* of  $\mathbf{G}$  is the rank of  $\mathbf{G}/R_u(\mathbf{G})$ .

The derived group  $\mathbf{G}_{der}$  of  $\mathbf{G}$  is a closed subgroup of  $\mathbf{G}$ , and is connected when  $\mathbf{G}$  is connected. Suppose that  $\mathbf{G}$  is connected and reductive. Then

- (1)  $\mathbf{G}_{der}$  is semisimple.
- (2)  $R(\mathbf{G}) = Z(\mathbf{G})^0$ , where  $Z(\mathbf{G})$  is the centre of  $\mathbf{G}$ , and  $R(\mathbf{G})$  is a torus.
- (3)  $R(\mathbf{G}) \cap \mathbf{G}_{der}$  is finite, and  $\mathbf{G} = R(\mathbf{G})\mathbf{G}_{der}$ .

For the rest of this section, assume that  $\mathbf{G}$  is a connected reductive group. Let  $\mathbf{T}$  be a torus in  $\mathbf{G}$ . Then  $Z_{\mathbf{G}}(\mathbf{T})$  is reductive. This fact is useful for inductive arguments. Now assume that  $\mathbf{T}$  is maximal. Let  $\mathfrak{t}$  be the Lie algebra of  $\mathbf{T}$  and let  $\Phi = \Phi(\mathbf{G}, \mathbf{T})$ . Then

- (1)  $\mathfrak{g} = \mathfrak{t} \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_{\alpha}$  and  $\dim \mathfrak{g}_{\alpha} = 1$  for all  $\alpha \in \Phi$ .
- (2) If  $\alpha \in \Phi$ , let  $\mathbf{T}_{\alpha} = (\text{Ker } \alpha)^0$ . Then  $\mathbf{T}_{\alpha}$  is a torus, of codimension one in  $\mathbf{T}$ .
- (3) If  $\alpha \in \Phi$ , let  $\mathbf{Z}_{\alpha} = Z_{\mathbf{G}}(\mathbf{T}_{\alpha})$ . Then  $\mathbf{Z}_{\alpha}$  is a reductive group of semisimple rank 1, and the Lie algebra  $\mathfrak{z}_{\alpha}$  of  $\mathbf{Z}_{\alpha}$  satisfies  $\mathfrak{z}_{\alpha} = \mathfrak{t} \oplus \mathfrak{g}_{\alpha} \oplus \mathfrak{g}_{-\alpha}$ . The group  $\mathbf{G}$  is generated by the subgroups  $\mathbf{Z}_{\alpha}$ ,  $\alpha \in \Phi$ .
- (4) The centre  $Z(\mathbf{G})$  of  $\mathbf{G}$  is equal to  $\bigcap_{\alpha \in \Phi} \mathbf{T}_{\alpha}$ .
- (5) If  $\alpha \in \Phi$ , there exists a unique connected  $\mathbf{T}$ -stable (relative to conjugation by  $\mathbf{T}$ ) subgroup  $\mathbf{U}_{\alpha}$  of  $\mathbf{G}$  having Lie algebra  $\mathfrak{g}_{\alpha}$ . Also,  $\mathbf{U}_{\alpha} \subset \mathbf{Z}_{\alpha}$ .
- (6) Let  $n \in N_{\mathbf{G}}(\mathbf{T})$ , and let  $w$  be the corresponding element of  $W = W(\mathbf{G}, \mathbf{T})$ . Then  $n\mathbf{U}_{\alpha}n^{-1} = \mathbf{U}_{w(\alpha)}$  for all  $\alpha \in \Phi$ .
- (7) Let  $\alpha \in \Phi$ . Then there exists an isomorphism  $\varepsilon_{\alpha} : \mathbf{G}_{\alpha} \rightarrow \mathbf{U}_{\alpha}$  such that  $t\varepsilon_{\alpha}(x)t^{-1} = \varepsilon_{\alpha}(\alpha(t)x)$ ,  $t \in \mathbf{T}$ ,  $x \in \mathbf{G}_{\alpha}$ .
- (8) The groups  $\mathbf{U}_{\alpha}$ ,  $\alpha \in \Phi$ , together with  $\mathbf{T}$ , generate the group  $\mathbf{G}$ .

Let  $\langle \Phi \rangle$  be the subgroup of  $X(\mathbf{T})$  generated by  $\Phi$  and let  $V = \langle \Phi \rangle \otimes_{\mathbb{Z}} \mathbb{R}$ . Then the set  $\Phi$  is a subset of the vector space  $V$  and is a root system. In general an *abstract root system* in a finite dimensional real vector space  $V$ , is a subset  $\Phi$  of  $V$  that satisfies the following axioms:

- (R1):**  $\Phi$  is finite,  $\Phi$  spans  $V$ , and  $0 \notin \Phi$ .
- (R2):** If  $\alpha \in \Phi$ , there exists a reflection  $s_{\alpha}$  relative to  $\alpha$  such that  $s_{\alpha}(\Phi) \subset \Phi$ .  
(A *reflection* relative to  $\alpha$  is a linear transformation sending  $\alpha$  to  $-\alpha$  that restricts to the identity map on a subspace of codimension one).
- (R3):** If  $\alpha, \beta \in \Phi$ , then  $s_{\alpha}(\beta) - \beta$  is an integer multiple of  $\alpha$ .

A root system is *reduced* if it has the property that if  $\alpha \in \Phi$ , then  $\pm\alpha$  are the only multiples of  $\alpha$  which belong to  $\Phi$ .

The *rank* of  $\Phi$  is defined to be  $\dim V$ . The *abstract Weyl group*  $W(\Phi)$  is the subgroup of  $\mathbf{GL}(V)$  generated by the set  $\{s_{\alpha} \mid \alpha \in \Phi\}$ .

If  $\mathbf{T}$  is a maximal torus in  $\mathbf{G}$ , then  $\Phi = \Phi(\mathbf{G}, \mathbf{T})$  is a root system in  $V = \langle \Phi \rangle \otimes_{\mathbb{Z}} \mathbb{R}$ , and it is reduced. The rank of  $\Phi$  is equal to the semisimple rank of  $\mathbf{G}$ , and the abstract Weyl group  $W(\Phi)$  is isomorphic to  $W = W(\mathbf{G}, \mathbf{T})$ .

A *base* of  $\Phi$  is a subset  $\Delta = \{\alpha_1, \dots, \alpha_{\ell}\}$ ,  $\ell = \text{rank}(\Phi)$ , such that  $\Delta$  is a basis of  $V$  and each  $\alpha \in \Phi$  is uniquely expressed in the form  $\alpha = \sum_{i=1}^{\ell} c_i \alpha_i$ , where the  $c_i$ 's are all integers, no two of which have different signs. The elements of  $\Delta$  are called *simple roots*. The set of *positive roots*  $\Phi^+$  is the set of  $\alpha \in \Phi$  such that the coefficients of the simple roots in the expression for  $\alpha$ , as a linear combination of simple roots, are all nonnegative. Similarly,  $\Phi^-$  consists of those  $\alpha \in \Phi$  such that the coefficients are all nonpositive. Clearly  $\Phi$  is the disjoint union of  $\Phi^+$  and  $\Phi^-$ . Given  $\alpha \in \Phi$ , there exists a base containing  $\alpha$ . Given a base  $\Delta$ , the set  $\{s_{\alpha} \mid \alpha \in \Delta\}$  generates  $W = W(\Phi)$ . The subgroups  $\mathbf{Z}_{\alpha}$ ,  $\alpha \in \Delta$ , generate  $\mathbf{G}$ . Equivalently, the subgroups  $\mathbf{U}_{\alpha}$ ,  $\alpha \in \Delta$ , and  $\mathbf{T}$ , generate  $\mathbf{G}$ .

There is an inner product  $(\cdot, \cdot)$  on  $V$  with respect to which each  $w \in W$  is an orthogonal linear transformation. If  $\alpha, \beta \in \Phi$ , then  $s_{\alpha}(\beta) = \beta - (2(\beta, \alpha)/(\alpha, \alpha))\alpha$ . A *Weyl chamber* in  $V$  is a connected component in the complement of the union

of the hyperplanes orthogonal to the roots. The set of Weyl chambers in  $V$  and the set of bases of  $\Phi$  correspond in a natural way, and  $W$  permutes each of them simply transitively.

If  $\alpha \in \Phi$ , there exists a unique  $\alpha^\vee \in Y(\mathbf{T})$  such that  $\langle \beta, \alpha^\vee \rangle = 2(\beta, \alpha)/(\alpha, \alpha)$  for all  $\beta \in \Phi$ . The set  $\Phi^\vee$  of elements  $\alpha^\vee$  (called co-roots) forms a root system in  $\langle \Phi^\vee \rangle \otimes_{\mathbb{Z}} \mathbb{R}$ , called the *dual* of  $\Phi$ . The Weyl group  $W(\Phi^\vee)$  is isomorphic to  $W(\Phi)$ , via the map  $s_\alpha \mapsto s_{\alpha^\vee}$ .

A root system  $\Phi$  is said to be *irreducible* if  $\Phi$  cannot be expressed as the union of two mutually orthogonal proper subsets. In general,  $\Phi$  can be partitioned uniquely into a union of irreducible root systems in subspaces of  $V$ . The group  $\mathbf{G}$  is *simple* (or *almost simple*) if  $\mathbf{G}$  contains no proper nontrivial closed connected normal subgroup. When  $\mathbf{G}$  is semisimple and connected, then  $\mathbf{G}$  is simple if and only if  $\Phi$  is irreducible.

The reduced irreducible root systems are those of type  $A_n, n \geq 1, B_n, n \geq 1, C_n, n \geq 3, D_n, n \geq 4, E_6, E_7, E_8, F_4$ , and  $G_2$ . For each  $n \geq 1$  there is one irreducible nonreduced root system,  $BC_n$ . (These root systems are described in many of the references). If  $n \geq 2$ , the root system of  $\mathbf{GL}_n(K)$  (relative to any maximal torus) is of type  $A_{n-1}$ . The root system of  $\mathbf{Sp}_{2n}(K)$  is of type  $C_n$ , if  $n \geq 3$ , and of type  $A_1$  and  $B_2$  for  $n = 1$  and  $2$ , respectively.

The quadruple  $\Psi(\mathbf{G}, \mathbf{T}) = (X, Y, \Phi, \Phi^\vee) = (X(\mathbf{T}), Y(\mathbf{T}), \Phi(\mathbf{G}, \mathbf{T}), \Phi^\vee(\mathbf{G}, \mathbf{T}))$  is a *root datum*. An abstract root datum is a quadruple  $\Psi = (X, Y, \Phi, \Phi^\vee)$ , where  $X$  and  $Y$  are free abelian groups such that there exists a bilinear mapping  $\langle \cdot, \cdot \rangle : X \times Y \rightarrow \mathbb{Z}$  inducing isomorphisms  $X \simeq \text{Hom}(Y, \mathbb{Z})$  and  $Y \simeq \text{Hom}(X, \mathbb{Z})$ , and  $\Phi \subset X$  and  $\Phi^\vee \subset Y$  are finite subsets, and there exists a bijection  $\alpha \mapsto \alpha^\vee$  of  $\Phi$  onto  $\Phi^\vee$ . The following two axioms must be satisfied:

- (RD1):**  $\langle \alpha, \alpha^\vee \rangle = 2$
- (RD2):** If  $s_\alpha : X \rightarrow X$  and  $s_{\alpha^\vee} : Y \rightarrow Y$  are defined by  $s_\alpha(x) = x - \langle x, \alpha^\vee \rangle \alpha$  and  $s_{\alpha^\vee}(y) = y - \langle \alpha, y \rangle \alpha^\vee$ , then  $s_\alpha(\Phi) \subset \Phi$  and  $s_{\alpha^\vee}(\Phi^\vee) \subset \Phi^\vee$  (for all  $\alpha \in \Phi$ ).

The axiom **(RD2)** may be replaced by the equivalent axiom:

- (RD2')**: If  $\alpha \in \Phi$ , then  $s_\alpha(\Phi) \subset \Phi$ , and the  $s_\alpha, \alpha \in \Phi$ , generate a finite group.

If  $\Phi \neq \emptyset$ , then  $\Phi$  is a root system in  $V := \langle \Phi \rangle \otimes_{\mathbb{Z}} \mathbb{R}$ , where  $\langle \Phi \rangle$  is the subgroup of  $X$  generated by  $\Phi$ . The set  $\Phi^\vee$  is the dual of the root system  $\Phi$ .

The quadruple  $\Psi^\vee = (Y, X, \Phi^\vee, \Phi)$  is also a root datum, called the *dual* of  $\Psi$ . A root datum is *reduced* if it satisfies a third axiom

- (RD3):**  $\alpha \in \Phi \implies 2\alpha \notin \Phi$ .

The root datum  $\Psi(\mathbf{G}, \mathbf{T})$  is reduced.

An isomorphism of a root datum  $\Psi = (X, Y, \Phi, \Phi^\vee)$  onto a root datum  $\Psi' = (X', Y', \Phi', \Phi'^\vee)$  is a group isomorphism  $f : X \rightarrow X'$  which induces a bijection of  $\Phi$  onto  $\Phi'$  and whose dual induces a bijection of  $\Phi^\vee$  onto  $\Phi'^\vee$ . If  $\mathbf{G}'$  is a linear algebraic group which is isomorphic to  $\mathbf{G}$ , and  $\mathbf{T}'$  is a maximal torus in  $\mathbf{G}'$ , then the root data  $\Psi(\mathbf{G}, \mathbf{T})$  and  $\Psi(\mathbf{G}', \mathbf{T}')$  are isomorphic.

If  $\Psi$  is a reduced root datum, there exists a connected reductive  $K$ -group  $\mathbf{G}$  and a maximal torus  $\mathbf{T}$  in  $\mathbf{G}$  such that  $\Psi = \Psi(\mathbf{G}, \mathbf{T})$ . The pair  $(\mathbf{G}, \mathbf{T})$  is unique up to isomorphism.

## 6. Parabolic subgroups

Let  $\mathbf{G}$  be a connected linear algebraic group. The set of connected closed solvable subgroups of  $\mathbf{G}$ , ordered by inclusion, contains maximal elements. Such a maximal element is called a *Borel subgroup* of  $\mathbf{G}$ . If  $\mathbf{B}$  is a Borel subgroup, then  $\mathbf{G}/\mathbf{B}$  is a projective variety and any other Borel subgroup is conjugate to  $\mathbf{B}$ . If  $\mathbf{P}$  is a closed subgroup of  $\mathbf{G}$ , then  $\mathbf{G}/\mathbf{P}$  is a projective variety if and only if  $\mathbf{P}$  contains a Borel subgroup. Such a subgroup is called a *parabolic subgroup*. If  $\mathbf{P}$  is a parabolic subgroup, then  $\mathbf{P}$  is connected and the normalizer  $N_{\mathbf{G}}(\mathbf{P})$  of  $\mathbf{P}$  in  $\mathbf{G}$  is  $\mathbf{P}$ . If  $\mathbf{P}$  and  $\mathbf{P}'$  are parabolic subgroups containing a Borel subgroup  $\mathbf{B}$ , and  $\mathbf{P}$  and  $\mathbf{P}'$  are conjugate, then  $\mathbf{P} = \mathbf{P}'$ .

Now assume that  $\mathbf{G}$  is a connected reductive linear algebraic group. Let  $\mathbf{T}$  be a maximal torus in  $\mathbf{G}$ . Then  $\mathbf{T}$  lies inside some Borel subgroup  $\mathbf{B}$  of  $\mathbf{G}$ . Let  $\mathbf{U} = R_u(\mathbf{B})$  be the unipotent radical of  $\mathbf{B}$ . There exists a unique base  $\Delta$  of  $\Phi = \Phi(\mathbf{G}, \mathbf{T})$  such that  $\mathbf{U}$  is generated by the groups  $\mathbf{U}_\alpha$ ,  $\alpha \in \Phi^+$ , and  $\mathbf{B} = \mathbf{T} \times \mathbf{U}$ . Conversely if  $\Delta$  is a base of  $\Phi$ , then the group generated by  $\mathbf{T}$  and by the groups  $\mathbf{U}_\alpha$ ,  $\alpha \in \Phi^+$ , is a Borel subgroup of  $\mathbf{G}$ . Hence the set of Borel subgroups of  $\mathbf{G}$  which contain  $\mathbf{T}$  is in one to one correspondence with the set of bases of  $\Phi$ . The Weyl group  $W$  permutes the set of Borel subgroups containing  $\mathbf{T}$  simply transitively. The set of Borel subgroups containing  $\mathbf{T}$  generates  $\mathbf{G}$ .

*The Bruhat decomposition.* Let  $\mathbf{B}$  be a Borel subgroup of  $\mathbf{G}$ , and let  $\mathbf{T}$  be a maximal torus of  $\mathbf{G}$  contained in  $\mathbf{B}$ . Then  $\mathbf{G}$  is the disjoint union of the double cosets  $\mathbf{B}w\mathbf{B}$ , as  $w$  ranges over a set of representatives in  $N_{\mathbf{G}}(\mathbf{T})$  of the Weyl group  $W$  ( $\mathbf{B}w\mathbf{B} = \mathbf{B}w'\mathbf{B}$  if and only if  $w = w'$  in  $W$ ).

Let  $\mathbf{G}$ ,  $\mathbf{B}$  and  $\mathbf{T}$  be as above. Let  $\Delta$  be the base of  $\Phi(\mathbf{G}, \mathbf{T})$  corresponding to  $\mathbf{B}$ . If  $I$  is a subset of  $\Delta$ , let  $W_I$  be the subgroup of  $W$  generated by the subset  $S_I = \{s_\alpha \mid \alpha \in I\}$  of  $I$ . Let  $\mathbf{P}_I = \mathbf{B}W_I\mathbf{B}$  (note that  $\mathbf{P}_\emptyset = \mathbf{B}$ ). Then  $\mathbf{P}_I$  is a parabolic subgroup of  $\mathbf{G}$  (containing  $\mathbf{B}$ ). A subgroup of  $\mathbf{G}$  containing  $\mathbf{B}$  is equal to  $\mathbf{P}_I$  for some subset  $I$  of  $\Delta$ . If  $I$  and  $J$  are subsets of  $\Delta$  then  $W_I \subset W_J$  implies  $I \subset J$  and  $\mathbf{P}_I \subset \mathbf{P}_J$  implies  $I \subset J$ . Also,  $\mathbf{P}_I$  is conjugate to  $\mathbf{P}_J$  if and only if  $I = J$ . A parabolic subgroup is called *standard* if it contains  $\mathbf{B}$ . Any parabolic subgroup  $\mathbf{P}$  is conjugate to some standard parabolic subgroup.

Let  $I \subset \Delta$ . The set  $\Phi_I$  of  $\alpha \in \Phi$  such that  $\alpha$  is an integral linear combination of elements of  $I$  forms a root system, with Weyl group  $W_I$ . The set of roots  $\Phi(\mathbf{P}_I, \mathbf{T})$  of  $\mathbf{P}_I$  relative to  $\mathbf{T}$  is equal to  $\Phi^+ \cup (\Phi^- \cap \Phi_I)$ . Let  $\mathbf{N}_I = R_u(\mathbf{P}_I)$ . Then  $\mathbf{N}_I$  is a  $\mathbf{T}$ -stable subgroup of  $\mathbf{U} = \mathbf{B}_u$ , and is generated by those  $\mathbf{U}_\alpha$  which are contained in  $\mathbf{N}_I$ , that is, by those  $\mathbf{U}_\alpha$  such that  $\alpha \in \Phi^+$  and  $\alpha \notin \Phi_I$ . Let  $\mathbf{T}_I = (\cap_{\alpha \in I} \text{Ker } \alpha)^0$ , and let  $\mathbf{M}_I = Z_{\mathbf{G}}(\mathbf{T}_I)$ . The set  $\Phi_I$  coincides with the set of roots in  $\Phi$  which are trivial on  $\mathbf{T}_I$ . The group  $\mathbf{M}_I$  is reductive and is generated by  $\mathbf{T}$  and by the set of  $\mathbf{U}_\alpha$ ,  $\alpha \in \Phi_I$ ,  $\mathbf{T}_I$  is the identity component of the centre of  $\mathbf{M}_I$ , and  $\Phi(\mathbf{M}_I, \mathbf{T}) = \Phi_I$ . The Lie algebra of  $\mathbf{M}_I$  is equal to  $\mathfrak{t} \oplus \bigoplus_{\alpha \in \Phi_I} \mathfrak{g}_\alpha$  (here  $\mathfrak{t}$  is the Lie algebra of  $\mathbf{T}$ ). The group  $\mathbf{M}_I$  normalizes  $\mathbf{N}_I$  and  $\mathbf{P}_I = \mathbf{M}_I \times \mathbf{N}_I$ . A *Levi factor* (or *Levi component*) of  $\mathbf{P}_I$  is a reductive group  $\mathbf{M}$  such that  $\mathbf{P}_I = \mathbf{M} \times \mathbf{N}_I$ , and the decomposition  $\mathbf{P}_I = \mathbf{M} \times \mathbf{N}_I$  is called a *Levi decomposition* of  $\mathbf{P}_I$ . If  $\mathbf{M}$  is a Levi factor of  $\mathbf{P}_I$ , then there exists  $n \in \mathbf{N}_I$  such that  $\mathbf{M} = n\mathbf{M}_I n^{-1}$ . It is possible for  $\mathbf{M}_I$  and  $\mathbf{M}_J$  to be conjugate for distinct subsets  $I$  and  $J$  of  $\Delta$ . More generally, if  $\mathbf{P}$  is any parabolic subgroup of  $\mathbf{G}$ ,  $\mathbf{P}$  has Levi decompositions (which we can obtain via

conjugation from Levi decompositions of a standard parabolic subgroup to which  $\mathbf{P}$  is conjugate).

Note that if  $\mathbf{P}$  is a proper parabolic subgroup of  $\mathbf{G}$ , then the semisimple rank of a Levi factor of  $\mathbf{P}$  is strictly less than the semisimple rank of  $\mathbf{G}$ . This fact is often used in inductive arguments.

### 7. Reductive groups - relative theory

Let  $k$  be a subfield of  $K$ . Throughout this section, we assume that  $\mathbf{G}$  is a connected reductive  $k$ -group. Then  $\mathbf{G}$  has a maximal torus which is defined over  $k$ . We say that  $\mathbf{G}$  is  $k$ -split if  $\mathbf{G}$  has a maximal torus  $\mathbf{T}$  which is  $k$ -split. If  $\mathbf{G}$  is  $k$ -split and  $\mathbf{T}$  is such a torus, then each  $\mathbf{U}_\alpha$ ,  $\alpha \in \Phi(\mathbf{G}, \mathbf{T})$ , is defined over  $k$ , and the associated isomorphism  $\varepsilon_\alpha : \mathbf{G}_\alpha \rightarrow \mathbf{U}_\alpha$  can be taken to be defined over  $k$ . If  $\mathbf{G}$  contains no  $k$ -split tori, then  $\mathbf{G}$  is said to be  $k$ -anisotropic. There exists a finite separable extension of  $k$  over which  $\mathbf{G}$  splits.

Suppose that  $\mathbf{G}$  and  $\mathbf{G}'$  are connected reductive  $k$ -split  $k$ -groups which are isomorphic. Then  $\mathbf{G}$  and  $\mathbf{G}'$  are  $k$ -isomorphic.

The centralizer  $Z_{\mathbf{G}}(\mathbf{T})$  of a  $k$ -torus  $\mathbf{T}$  in  $\mathbf{G}$  is reductive and defined over  $k$ , and if  $\mathbf{T}$  is  $k$ -split,  $Z_{\mathbf{G}}(\mathbf{T})$  is the Levi factor of a parabolic  $k$ -subgroup of  $\mathbf{G}$ . (Here, we say a closed subgroup  $\mathbf{H}$  of  $\mathbf{G}$  is a  $k$ -subgroup of  $\mathbf{G}$  if  $\mathbf{H}$  is a  $k$ -group). Any  $k$ -torus in  $\mathbf{G}$  is contained in some maximal torus which is defined over  $k$ . If  $k$  is infinite, then  $\mathbf{G}(k)$  is Zariski dense in  $\mathbf{G}$ .

The maximal  $k$ -split tori of  $\mathbf{G}$  are all conjugate under  $\mathbf{G}(k)$ . Let  $\mathbf{S}$  be a maximal  $k$ -split torus in  $\mathbf{G}$ . The  $k$ -rank of  $\mathbf{G}$  is the dimension of  $\mathbf{S}$ . The *semisimple  $k$ -rank* of  $\mathbf{G}$  is the  $k$ -rank of  $\mathbf{G}/R(\mathbf{G})$ . The finite group  ${}_k W = N_{\mathbf{G}}(\mathbf{S})/Z_{\mathbf{G}}(\mathbf{S})$  is called the  *$k$ -Weyl group*. The set  ${}_k \Phi = \Phi(\mathbf{G}, \mathbf{S})$  of roots of  $\mathbf{G}$  relative to  $\mathbf{S}$  is called the  *$k$ -roots* of  $\mathbf{G}$ . The  $k$ -roots form an abstract root system, which is not necessarily reduced, with Weyl group isomorphic to  ${}_k W$ . The rank of  ${}_k \Phi$  is equal to the semisimple  $k$ -rank of  $\mathbf{G}$ .

A Borel subgroup  $\mathbf{B}$  of  $\mathbf{G}$  might not be defined over  $k$ . We say that  $\mathbf{G}$  is  $k$ -quasisplit if  $\mathbf{G}$  has a Borel subgroup that is defined over  $k$ . If  $\mathbf{P}$  is a parabolic  $k$ -subgroup of  $\mathbf{G}$ , then  $R_u(\mathbf{P})$  is defined over  $k$ . A Levi factor  $\mathbf{M}$  of a parabolic  $k$ -subgroup is called a Levi  $k$ -factor of  $\mathbf{P}$  if  $\mathbf{M}$  is a  $k$ -group. Any two Levi  $k$ -factors of  $\mathbf{P}$  are conjugate by a unique element of  $R_u(\mathbf{P})(k)$ . If two parabolic  $k$ -subgroups of  $\mathbf{G}$  are conjugate by an element of  $\mathbf{G}$  then they are conjugate by an element of  $\mathbf{G}(k)$ . The group  $\mathbf{G}$  contains a proper parabolic  $k$ -subgroup if and only if  $\mathbf{G}$  contains a noncentral  $k$ -split torus, that is, if the semisimple  $k$ -rank of  $\mathbf{G}$  is positive. The results described in this section give no information in the case where  $\mathbf{G}$  has semisimple  $k$ -rank zero.

Let  $\mathbf{P}_0$  be a minimal element of the set of parabolic  $k$ -subgroups of  $\mathbf{G}$  (such an element exists, since the set is nonempty, as it contains  $\mathbf{G}$ ). Any minimal parabolic  $k$ -subgroup of  $\mathbf{G}$  is conjugate to  $\mathbf{P}_0$  by an element of  $\mathbf{G}(k)$ . The group  $\mathbf{P}_0$  contains a maximal  $k$ -split torus  $\mathbf{S}$  of  $\mathbf{G}$ , and  $Z_{\mathbf{G}}(\mathbf{S})$  is a  $k$ -Levi factor of  $\mathbf{P}_0$ . The semisimple  $k$ -rank of  $Z_{\mathbf{G}}(\mathbf{S})$  is zero. Because  $N_{\mathbf{G}}(\mathbf{S}) = N_{\mathbf{G}}(\mathbf{S})(k) \cdot Z_{\mathbf{G}}(\mathbf{S})$ ,  $\mathbf{G}(k)$  contains representatives for all elements of  ${}_k W$ . The group  ${}_k W$  acts simply transitively on the set of minimal parabolic  $k$ -subgroups containing  $Z_{\mathbf{G}}(\mathbf{S})$ .

Let  $\text{Lie}(Z_{\mathbf{G}}(\mathbf{S}))$  be the Lie algebra of  $Z_{\mathbf{G}}(\mathbf{S})$ . Then

$$\mathfrak{g} = \text{Lie}(Z_{\mathbf{G}}(\mathbf{S})) \oplus \bigoplus_{\alpha \in {}_k \Phi} \mathfrak{g}_\alpha.$$

If  $\alpha \in {}_k\Phi$  and  $2\alpha \notin {}_k\Phi$ , then  $\mathfrak{g}_\alpha$  is a subalgebra of  $\mathfrak{g}$ . If  $\alpha$  and  $2\alpha \in {}_k\Phi$ , then  $\mathfrak{g}_\alpha + \mathfrak{g}_{2\alpha}$  is a subalgebra of  $\mathfrak{g}$ . For each  $\alpha \in {}_k\Phi$ , set

$$\mathfrak{g}^{(\alpha)} = \begin{cases} \mathfrak{g}_\alpha, & \text{if } 2\alpha \notin {}_k\Phi \\ \mathfrak{g}_\alpha \oplus \mathfrak{g}_{2\alpha}, & \text{if } 2\alpha \in {}_k\Phi. \end{cases}$$

There exists a unique closed connected unipotent  $k$ -subgroup  $\mathbf{U}_{(\alpha)}$  of  $\mathbf{G}$  which is normalized by  $Z_{\mathbf{G}}(\mathbf{S})$  and has Lie algebra  $\mathfrak{g}^{(\alpha)}$ .

Let  $\mathbf{P}_0$  be as above. Then there exists a unique base  ${}_k\Delta$  of  ${}_k\Phi$  such that  $R_u(\mathbf{P}_0)$  is generated by the groups  $\mathbf{U}_{(\alpha)}$ ,  $\alpha \in {}_k\Phi^+$ . The set of standard parabolic  $k$ -subgroups of  $\mathbf{G}$  corresponds bijectively with the set of subsets of  ${}_k\Phi$ . Fix  $I \subset {}_k\Delta$ . Let  $\mathbf{S}_I = (\cap_{\alpha \in I} \cap \text{Ker } \alpha)^0$  and let  ${}_k\Phi_I$  be the set of  $\alpha \in {}_k\Phi$  which are integral linear combinations of the roots in  $I$ . Let  ${}_kW_I$  be the subgroup of  ${}_kW$  generated by the reflections  $s_\alpha$ ,  $\alpha \in I$ . The parabolic  $k$ -subgroup of  $\mathbf{G}$  corresponding to  $I$  is  $\mathbf{P}_I = \mathbf{P}_0 \cdot {}_kW_I \cdot \mathbf{P}_0$ . The unipotent radical of  $\mathbf{P}_I$  is equal to  $\mathbf{N}_I$ , the subgroup of  $\mathbf{G}$  generated by the groups  $\mathbf{U}_{(\alpha)}$ , as  $\alpha$  ranges over the elements of  ${}_k\Phi^+$  which are not in  ${}_k\Phi_I$ . The  $k$ -subgroup  $\mathbf{M}_I := Z_{\mathbf{G}}(\mathbf{S}_I)$  is a Levi  $k$ -factor of  $\mathbf{P}_I$ ,  $\Phi(\mathbf{M}_I, \mathbf{S}) = {}_k\Phi_I$ , and  ${}_kW_I = {}_kW(\mathbf{M}_I, \mathbf{S})$ .

A parabolic  $k$ -subgroup of  $\mathbf{G}$  is conjugate to exactly one  $\mathbf{P}_I$ , and it is conjugate to  $\mathbf{P}_I$  by an element of  $\mathbf{G}(k)$ .

*Relative Bruhat decomposition.* Let  $\mathbf{U}_0 = R_u(\mathbf{P}_0)$ . Then  $\mathbf{G}(k) = \mathbf{U}_0(k) \cdot N_{\mathbf{G}}(\mathbf{S})(k) \cdot \mathbf{U}_0(k)$ , and  $\mathbf{G}(k)$  is the disjoint union of the sets  $\mathbf{P}_0(k)w\mathbf{P}_0(k)$ , as  $w$  ranges over a set of representatives for elements of  ${}_kW$  in  $N_{\mathbf{G}}(\mathbf{S})(k)$ .

A *parabolic subgroup* of  $\mathbf{G}(k)$  is a subgroup of the form  $\mathbf{P}(k)$ , where  $\mathbf{P}$  is a parabolic  $k$ -subgroup of  $\mathbf{G}$ . A subgroup of  $\mathbf{G}(k)$  which contains  $\mathbf{P}_0(k)$  is equal to  $\mathbf{P}_I(k)$  for some  $I \subset {}_k\Delta$ . If  $I \subset {}_k\Delta$ , choosing representatives for  ${}_kW_I$  in  $N_{\mathbf{G}}(\mathbf{S})(k)$ , we have  $\mathbf{P}_I(k) = \mathbf{P}_0(k) \cdot {}_kW_I \cdot \mathbf{P}_0(k)$ . The group  $\mathbf{P}_I(k)$  is equal to its own normalizer in  $\mathbf{G}(k)$ . The Levi decomposition  $\mathbf{P}_I = \mathbf{M}_I \times \mathbf{N}_I$  carries over to the  $k$ -rational points:  $\mathbf{P}_I(k) = \mathbf{M}_I(k) \times \mathbf{N}_I(k)$ . If  $I, J \subset {}_k\Delta$  and  $g \in \mathbf{G}(k)$ , then  $g\mathbf{P}_J(k)g^{-1} \subset \mathbf{P}_I(k)$  if and only if  $J \subset I$  and  $g \in \mathbf{P}_I(k)$ .

### 8. Examples

EXAMPLE 8.1.  $\mathbf{G} = \mathbf{GL}_n(K)$ ,  $n \geq 2$ .

The group  $\mathbf{T} = \{ \text{diag}(t_1, t_2, \dots, t_n) \mid t_i \in K^\times \}$  is a maximal torus in  $\mathbf{G}$ . For  $1 \leq i \leq n$ , let  $\ell_i = (0, 0, \dots, 0, 1, 0, \dots, 0) \in \mathbf{Z}^n$ , with the 1 occurring in the  $i$ th coordinate. The map  $\sum_{i=1}^n k_i \ell_i \mapsto \chi_{\sum_{i=1}^n k_i \ell_i}$ , where

$$\chi_{\sum_{i=1}^n k_i \ell_i}(\text{diag}(t_1, \dots, t_n)) = t_1^{k_1} \dots t_n^{k_n},$$

is an isomorphism from  $\mathbf{Z}^n$  to  $X(\mathbf{T})$ . If  $\mu_{\sum_{i=1}^n k_i \ell_i}(t) = \text{diag}(t^{k_1}, \dots, t^{k_n})$ ,  $t \in$

$K^\times$ , then the map  $\sum_{i=1}^n k_i \ell_i \mapsto \mu_{\sum_{i=1}^n k_i \ell_i}$  is an isomorphism from  $\mathbf{Z}^n$  to  $Y(\mathbf{T})$ . Also,

$\langle \chi_{\sum k_i \ell_i}, \mu_{\sum \ell_j e_j} \rangle = \sum_{i=1}^n k_i \ell_i$ . The root system  $\Phi = \Phi(\mathbf{G}, \mathbf{T}) = \{ \chi_{\ell_i - \ell_j} \mid 1 \leq i \neq j \leq n \}$ .

For  $1 \leq i \neq j \leq n$ , let  $E_{ij} \in M_n(K) = \mathfrak{g}$  be the matrix having a 1 in the  $ij$ th entry, and zeros elsewhere. If  $\alpha = \chi_{\ell_i - \ell_j}$ ,  $i \neq j$ , then  $\mathfrak{g}_\alpha$  is spanned by  $E_{ij}$ , and

$U_\alpha = \{I_n + tE_{ij} \mid t \in K\}$ . The reflection  $s_\alpha$  permutes  $\ell_i$  and  $\ell_j$ , and fixes all  $\ell_k$  with  $k \notin \{i, j\}$ . The co-root  $\alpha^\vee$  is  $\mu_{\ell_i - \ell_j}$ . The Weyl group  $W$  is isomorphic to the symmetric group  $S_n$ . The root system  $\Phi \simeq \Phi^\vee$  is of type  $A_{n-1}$ .

The set  $\Delta := \{\chi_{\ell_i - \ell_{i+1}} \mid 1 \leq i \leq n - 1\}$  is a base of  $\Phi$ . The corresponding Borel subgroup  $\mathbf{B}$  is the subgroup of  $\mathbf{G}$  consisting of upper triangular matrices.

If  $I \subset \Delta$ , there exists a partition  $(n_1, n_2, \dots, n_r)$  of  $n$  ( $n_i$  a positive integer,  $1 \leq i \leq r$ ,  $n_1 + n_2 + \dots + n_r = n$ ), such that

$$\mathbf{T}_I = \left\{ \text{diag} \left( \underbrace{a_1, \dots, a_1}_{n_1 \text{ times}}, \underbrace{a_2, \dots, a_2}_{n_2 \text{ times}}, \dots, \underbrace{a_r, \dots, a_r}_{n_r \text{ times}} \right) \mid a_1, a_2, \dots, a_r \in K^\times \right\}$$

The group  $\mathbf{M}_I := \mathbf{Z}_\mathbf{G}(\mathbf{T}_I)$  is isomorphic to  $\mathbf{GL}_{n_1}(K) \times \mathbf{GL}_{n_2}(K) \times \dots \times \mathbf{GL}_{n_r}(K)$ ,  $\mathbf{N}_I$  consists of matrices of the form

$$\begin{bmatrix} I_{n_1} & * & * & * \\ & I_{n_2} & * & \vdots \\ 0 & & \ddots & * \\ & & & I_{n_r} \end{bmatrix},$$

and  $\mathbf{P}_I = \mathbf{M}_I \ltimes \mathbf{N}_I$ .

EXAMPLE 8.2.  $\mathbf{G} = \mathbf{Sp}_4(K)$  (the  $4 \times 4$  symplectic group). Let

$$J = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{bmatrix}$$

Then  $\mathbf{G} = \{g \in \mathbf{GL}_4(K) \mid {}^t g J g = J\}$  and  $\mathfrak{g} = \{X \in M_4(K) \mid {}^t X J + J X = 0\}$ .

The group  $\mathbf{T} := \{\text{diag}(a, b, b^{-1}, a^{-1}) \mid a, b \in K^\times\}$  is a maximal torus in  $\mathbf{G}$  and  $X(\mathbf{T}) \simeq \mathbf{Z} \times \mathbf{Z}$ , via  $\chi_{(i,j)} \leftrightarrow (i, j)$ , where  $\chi_{(i,j)}(\text{diag}(a, b, b^{-1}, a^{-1})) = a^i b^j$ . And  $Y(\mathbf{T}) \simeq \mathbf{Z} \times \mathbf{Z}$ , via  $\mu_{(i,j)} \leftrightarrow (i, j)$ , where  $\mu_{(i,j)}(t) = (\text{diag}(t^i, t^j, t^{-j}, t^{-i}))$ . Note that  $\langle \chi_{(i,j)}, \mu_{(k,\ell)} \rangle = ki + j\ell$ .

Let  $\alpha = \chi_{(1,-1)}$  and  $\beta = \chi_{(0,2)}$ . Then

$$\Phi = \{\pm\alpha, \pm\beta, \pm(\alpha + \beta), \pm(2\alpha + \beta)\},$$

$\Delta := \{\alpha, \beta\}$  is a base of  $\Phi = \Phi(\mathbf{G}, \mathbf{T})$ , and

$$\begin{aligned} \mathfrak{g}_\alpha &= \text{Span}_K(E_{12} - E_{34}), & \mathfrak{g}_{-\alpha} &= \text{Span}_K(E_{21} - E_{43}) & \mathfrak{g}_\beta &= \text{Span}_K E_{23} \\ \mathfrak{g}_{\alpha+\beta} &= \text{Span}_K(E_{13} + E_{24}), & \mathfrak{g}_{2\alpha+\beta} &= \text{Span}_K E_{14}, & \text{etc.} \end{aligned}$$

Identifying  $\alpha$  and  $\beta$  with  $(1, -1)$  and  $(0, 2) \in \mathbf{Z} \times \mathbf{Z}$ , respectively, we have  $s_\alpha(1, -1) = (-1, 1) = -\alpha$  and  $s_\alpha(1, 1) = (1, 1)$ . The corresponding element of  $W = N_\mathbf{G}(\mathbf{T})/\mathbf{T}$  is represented by the matrix

$$\begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}.$$

We also have  $s_\beta(0, 2) = (0, -2) = -\beta$  and  $s_\beta(1, 0) = (1, 0)$ . The corresponding element of  $W = N_{\mathbf{G}}(\mathbf{T})/\mathbf{T}$  is represented by the matrix

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

The Weyl group  $W = W(\Phi)$  is equal to  $\{1, s_\alpha, s_\beta, s_\alpha s_\beta, s_\beta s_\alpha, s_\beta s_\alpha s_\beta, s_\alpha s_\beta s_\alpha, (s_\beta s_\alpha)^2\}$  which is isomorphic to the dihedral group of order 8.

The dual root system  $\Phi^\vee$  is described by

$$\begin{aligned} \Phi^\vee &= \{\pm\alpha^\vee, \pm\beta^\vee, \pm(\alpha + \beta)^\vee, \pm(2\alpha + \beta)^\vee\} \\ \alpha^\vee &= (1, -1) \quad (\alpha + \beta)^\vee = (1, 1) \\ \beta^\vee &= (0, 1) \quad (2\alpha + \beta)^\vee = (1, 0) \end{aligned}$$

The root system  $\Phi$  is of type  $C_2$  and  $\Phi^\vee$  is of type  $B_2$ , isomorphic to  $C_2$ .

REMARK 8.3. If  $n > 2$  the root system of  $\mathbf{Sp}_{2n}(K)$  is of type  $C_n$ , and the dual is of type  $B_n$ , and  $B_n$  and  $C_n$  are not isomorphic.

The Borel subgroup of  $\mathbf{G}$  which corresponds to  $\Delta$  is the subgroup  $\mathbf{B}$  of upper triangular matrices in  $\mathbf{G}$ . Apart from  $\mathbf{G}$  and  $\mathbf{B}$ , there are two standard parabolic subgroups,  $\mathbf{P}_\alpha$  and  $\mathbf{P}_\beta$ , attached to the subsets  $\{\alpha\}$  and  $\{\beta\}$  of  $\Delta$ , respectively. It is easy to check that

$$\begin{aligned} \mathbf{T}_\alpha &= (\text{Ker } \alpha)^\circ = \{\text{diag}(a, a, a^{-1} a^{-1}) \mid a \in K^\times\} \\ \mathbf{M}_\alpha &= \mathbf{Z}_{\mathbf{G}}(\mathbf{T}_\alpha) = \left\{ \begin{bmatrix} A & & & 0 \\ & \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} & & \\ & & {}^t A^{-1} & \\ & & & \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \end{bmatrix} \mid A \in \mathbf{GL}_2(K) \right\} \\ \mathbf{N}_\alpha &= \left\{ \begin{bmatrix} I_2 & B \\ & I_2 \end{bmatrix} \mid B \in M_2(K), {}^t B = B \right\} \\ \mathbf{T}_\beta &= (\text{Ker } \beta)^\circ = \{\text{diag}(a, 1, 1, a^{-1}) \mid a \in K^\times\} \\ \mathbf{M}_\beta &= \mathbf{Z}_{\mathbf{G}}(\mathbf{T}_\beta) = \left\{ \begin{bmatrix} d & 0 & 0 & 0 \\ 0 & c_{11} & c_{12} & 0 \\ 0 & c_{21} & c_{22} & 0 \\ 0 & 0 & 0 & d^{-1} \end{bmatrix} \mid d \in K^\times, c_{11}c_{22} - c_{12}c_{21} = 1 \right\} \simeq \mathbf{SL}_2(K) \times K^\times \\ \mathbf{N}_\beta &= \left\{ \begin{bmatrix} 1 & x & y & z \\ 0 & 1 & 0 & y \\ 0 & 0 & 1 & -x \\ 0 & 0 & 0 & 1 \end{bmatrix} \mid x, y, z \in K \right\} \end{aligned}$$

### 9. Comments on references

For the basic theory of linear algebraic groups, see [B1], [H] and [Sp2], as well as the survey article [B2]. For information on reductive groups defined over non algebraically closed fields, the main reference is [BoT1] and [BoT2]. Some material appears in [B1], and there is a survey of rationality properties at the end of [Sp2]. See also the survey article [Sp1]. For the classification of semisimple algebraic groups, see [Sa] and [T2]. For information on reductive groups over local nonarchimedean fields, see [BrT1], [BrT2], and the article [T1]. Adeles and algebraic groups are discussed in [W].

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