

Before moving on, an interesting view of Hilbert spaces..

Thm: Let $(L, \langle \cdot, \cdot \rangle)$ be a Hilbert space. Let $f: L \rightarrow \mathbb{R}$ be a continuous linear function. Then $\exists \alpha \in H$ so that $f(x) = \langle x, \alpha \rangle \quad \forall x \in L$.

Proof: from before, we know that L_f is codimension 1.

Let $x_0 \in L, x_0 \notin L_f$. Then $\text{span}\{x_0\} = \{\alpha x_0 \mid \alpha \in \mathbb{R}\} = L_0$

is a closed subspace. Then since $(L, \langle \cdot, \cdot \rangle)$ is a Hilbert space, we can define L_0^\perp (another closed subspace) and $L = L_0 \oplus L_0^\perp$. (Note $L_f \subseteq L_0^\perp$).

Since f is continuous, L_f is closed $\Rightarrow L_f = L_0^\perp$.

Now without loss of generality $\|x_0\|=1$. Define $\alpha = f(x_0)x_0$.

$$\begin{aligned} \langle x, \alpha \rangle &= \langle h + h', \alpha \rangle \quad \text{where } h \in L_0^\perp, h' \in L_0 \\ &= \langle h', \alpha \rangle = \langle \alpha x_0, f(x_0)x_0 \rangle \\ &= \alpha f(x_0) \quad \text{since } \|x_0\|=1 \end{aligned}$$

$$\begin{aligned} f(x) &= f(h + h') \\ &= f(h') \quad \text{since } h \in L_f \\ &= f(\alpha x_0) = \alpha f(x_0) \end{aligned}$$

$$\Rightarrow f(x) = \langle x, \alpha \rangle \quad \forall x \in L.$$

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Topological Vector Spaces

Given $(L, \langle \cdot, \cdot \rangle)$ or $(L, \|\cdot\|)$ we have a metric and this induces a topology on L .

A topological vector space is

$$(L, \tau)$$

where τ is a topology that respects the vector space structure. i.e. $+$ and \cdot are continuous wrt the topology.

i.e. if $z_0 = x_0 + y_0$ then given an open set $z_0 \in U$ \exists open sets V, W with $x_0 \in V, y_0 \in W$ and if $x \in V$ and $y \in W$ then $x + y \in U$. i.e. $W + V \subset U$.

Similarly if $z_0 = rx_0$ then given open set $z_0 \in U$ \exists open set V w/ $x_0 \in V$ and $\forall r \in \mathbb{R} \exists |r - x| \in x \in V \Rightarrow rx \in U$. i.e. $rV \subset U$.

thm: Let (L, τ) be a topological vector space. Let

$\vec{0}$ be an open set containing $\vec{0}$. Then

$x_0 + U = \{x_0 + y \mid y \in U\}$ is an open set containing \vec{x}_0 .

And every open nbhd of x_0 is equal to $x_0 + U$ for some open set containing $\vec{0}$.

i.e Just need to define the topology at $\vec{0}$.

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Given a local base for τ at $\vec{0}$, this local base will generate the entire topology.

Proof: x_0 is fixed. $f: L \rightarrow L$ where $f(x) = x - x_0$ is cts w.r.t τ since the addition is cts. \Rightarrow given an open set containing $\vec{0}$, $f^{-1}(U)$ is an open set.

$$0 \in U \subset \tau \Rightarrow f^{-1}(U) \in \tau$$

$$f^{-1}(U) = \{x \mid x - x_0 \in U\} = x_0 + U$$

Since $f(x_0) = \vec{0}$, $x_0 \in f^{-1}(U) = x_0 + U \Rightarrow x_0 + U \in \tau$, as claimed.

Now, let $x_0 \in V \subset \tau$. I want to show $V = x_0 + U$ for some $U \in \tau$. Let $g(x) = x + x_0$. Then as before, g is continuous. $\Rightarrow g^{-1}(V) \in \tau$

$$\begin{aligned} g^{-1}(V) &= \{x \mid x + x_0 \in V\} \\ &= \{x \mid x + x_0 = y \text{ some } y \in V\} \\ &= \{x \mid x = y - x_0 \text{ some } y \in V\} \\ &= V - x_0 \end{aligned}$$

$$\Rightarrow V - x_0 = U \text{ for some } U \in \tau \quad 0 \in U.$$

$$\Rightarrow V = x_0 + U \text{ as claimed}$$

The idea is that since $+$ is cts and since you can get anywhere from $\vec{0}$ using $+$, this determines the topology.

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Note: we've already seen this in a HW problem.

recall $X = \{\text{infinitely differentiable fns on } (-\infty, \infty)\}$

we defined a topology using the local bases

$$N(\phi; \varepsilon, k, R) = \left\{ \psi \in X \mid \begin{array}{l} \sup_{|x| \leq R} |\phi(x) - \psi(x)| < \varepsilon, \\ \sup_{|x| \leq R} |\phi'(x) - \psi'(x)| < \varepsilon \\ \dots \\ \sup_{|x| \leq R} |\psi^{(k)}(x) - \phi^{(k)}(x)| < \varepsilon \end{array} \right\}.$$

This makes X (a vector space)
into a topological vector space.

Q: When does (L, τ) come from $(L, \|\cdot\|)$?

i.e. When is a topological vector space normable?

defn: $M \subset L$ in (L, τ) is bounded if
given any neighborhood V of $\vec{0}$ $\exists \alpha \ni$
 $M \subset \alpha V = \{\alpha z \mid z \in V\}$.

Note: If $L = \mathbb{R}^2$ and the open sets are given by the base at $\vec{0}$ of
 $V_\varepsilon = \{(x, y) \mid |y| < \varepsilon\}$

then a set M can be bounded in the above sense w/o being bounded in our metric sense. You demand that $+, \cdot$ are cts.

defn: (L, τ) is locally bounded

if it contains at least one nonempty bounded open set.

thm: $(L, \|\cdot\|)$ is a locally bounded topological vector space

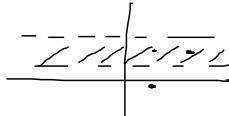
prof: fix $\varepsilon > 0$. $\{x \mid \|x\| < \varepsilon\}$ is bounded and nonempty and open.

defn: (L, τ) is locally convex if every nonempty open set contains a nonempty convex open set.

thm: $(L, \|\cdot\|)$ is locally convex

prof: any open set contains a ball and balls are convex, nonempty, and open //

thm: if (L, τ) is T_1 and locally convex and locally bounded, then (L, τ) is normable.

note: My example of  is not T_1 since we cannot find

$$\begin{aligned} V, U \ni (1, 1) \in V \quad (2, 1) \notin V \\ (1, 1) \notin U \quad (2, 1) \in U. \end{aligned}$$

(L, τ) is pretty tricky!! Work the problems in k+r!!

Let $-X = \{-x \mid x \in X\}$.

Then if U is open then $-U$ is open.

Also, if X and Y are two subsets of L and X is open then $X+Y$ is open.

(Proof): Fix $y \in Y$. Then $y+X \in \tau \Rightarrow \bigcup_y y+X \in \tau \Rightarrow X+Y \in \tau$)

Since mult is 4s, given $V \in \tau$, $\vec{0} \in V$, $\exists V' \in \tau, \vec{e} \in V'$ s.t. $\vec{0} + V' + V' \subset V$. By induction, $\exists V_n \in \tau$ of V_n s.t. $\underbrace{V_n + V_n + \dots + V_n}_{n \text{ times}} \subset V$.

Since $\vec{0} \in V \in \tau \Rightarrow -V \in \tau \Rightarrow V \cap (-V) \in \tau$
we can assume there's a symmetric open set inside every open set.

\Rightarrow given $\vec{0} \in U \in \tau \exists V_n \in \tau, \vec{0} \in V_n$, U symmetric so that

$$\underbrace{V_n + V_n + \dots + V_n}_{n \text{ times}} \subset U.$$

Lemma: Given a compact subset K in (L, τ) and a closed subset C , if

$K \cap C = \emptyset$ then \exists open nbhd of $\vec{0}, U \in \tau$

so that $[K+U] \cap (C+U) = \emptyset$

Note: Since $K+U \subset [K+U]$ and since $K+U$ and $C+U$ are open sets then contains $K+C$ this is a separation result!!

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corr: If isolated points are closed in (L, τ) then (L, τ) is Hausdorff.

proof: Let $x, y \in L$, $x \neq y$. Then $\{x\}$ is compact and $\{y\}$ is closed. By lemma,
 \Rightarrow nbd of \bar{o} , $\cup \in \tau \ni$

$$[x+U] \cap [y+U] = \emptyset$$

$\Rightarrow (x+U) \cap (y+U) = \emptyset \Rightarrow$ have found a pair of disjoint open sets that

& separate $x + y$. //

corr: Let $E \subset L$. Let $\{U\}$ be a local basis of the topology of \bar{o} . Then

$$[E] = \bigcap_{U \in \tau} (E+U)$$

prof: Let $C = [E]$ then C is closed. Take $x \notin C$. Then $\{x\}$ is compact. \Rightarrow by lemma, $\exists U \in \tau$, $\bar{o} \in U$ so that $(x+U) \cap ([E]+U) = \emptyset$. Since

$$(E+U) \subset [E]+U, \text{ we have } (x+U) \cap (E+U) = \emptyset.$$

Since U is open, $\exists \tilde{V} \in \text{local basis} \Rightarrow$
 $\bar{o} \in \tilde{V} \subset U \Rightarrow (x+\tilde{V}) \cap (E+\tilde{V}) = \emptyset \Rightarrow (x+\tilde{V}) \cap \left[\bigcap_{U \in \tau} (E+U) \right] = \emptyset$

Therefore we've shown.

$$\textcircled{1} \quad \text{If } x \notin [E] \text{ then } x \notin \bigcap_{U \in \mathcal{U}} (E+U)$$

$$\Rightarrow \bigcap_{U \in \mathcal{U}} (E+U) \subseteq [E].$$

\textcircled{2}

Now I want to show $\bigcap_{U \in \mathcal{U}} (E+U)$ is closed.

Take $x \notin \bigcap_{U \in \mathcal{U}} (E+U)$. If $x \notin [E]$ then we're done by the prev. argument. Assume $x \in [E]$. Then for any open set containing x , ~~and~~ the open set intersects E . Let $V \in \text{local basis at } 0$, then $-V$ is open $\Rightarrow x - V \cap E \neq \emptyset$
 $\Rightarrow x \in (E+V)$. Since V was an arb. member of the local basis at 0 , this shows

$x \in \bigcap_{U \in \mathcal{U}} (E+U)$ ~~X~~ (Note: what I just showed is really $[E] \subseteq \bigcap_{U \in \mathcal{U}} (E+U)$)



Proof of Lemma concerning K compact, C closed:

Let $x \in K$. Since C is closed, \exists nbd of 0 so that $(x+U) \cap C = \emptyset$. By continuity of addition, and by the symmetrisation, \exists open nbd of 0 so that $N = -N$, N open, and $0 \in N+N+N \subset U$.

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$$\Rightarrow (x + (N+N+N)) \cap C = \emptyset$$

$$\Rightarrow (x + (N+N)) \cap (C-N) = \emptyset$$

$$\Rightarrow (x + (N+N)) \cap (C+N) = \emptyset$$

Denote N by N^x . So for each $x \in K$, we've found open nbhd of \vec{o} (watch out for the abuse of notation here!) so that

$$(x + (N^x + N^x)) \cap (C + N^x) = \emptyset,$$

Since K is compact, $\exists x_1 \dots x_n \ni$

$$K \subset (x_1 + N^{x_1}) \cup (x_2 + N^{x_2}) \cup \dots \cup (x_n + N^{x_n})$$

Let $V = \bigcap_{i=1}^n N^{x_i} \in \tau$, $\vec{o} \in V$.

$$\text{then } (K+V) \subseteq \bigcup_{i=1}^n (x_i + N^{x_i} + V)$$

$$\subseteq \bigcup_{i=1}^n (x_i + N^{x_i} + N^{x_i})$$

$$\text{and } (x_i + N^{x_i} + N^{x_i}) \cap (C + N^{x_i}) = \emptyset$$

$$\text{each } x_i \Rightarrow (x_i + N^{x_i} + N^{x_i}) \cap (C + V) = \emptyset$$

$$\Rightarrow (K+V) \cap (C+V) = \emptyset \text{ as desired} //$$

defn: $E \subset L$ is balanced if
for every α w/ $|\alpha| \leq 1$ we have
 $\alpha E \subseteq E$.

Note: balanced is stronger than symmetric!
(symmetric: $-E = E$)

lemma: let $\vec{o} \in U \subset T$. Then \exists balanced neighborhood N of \vec{o} $\ni \vec{o} \in N \subset U$.



Proof: Since $r: K \times L \rightarrow L$ is continuous given $\alpha \in K$ and $x_0 \in L$ and open nbhd of $U \ni x_0$, then $\exists \varepsilon > 0$ and V open nbhd of x_0 s.t.

$$|r - \alpha| < \varepsilon, \quad x \in V$$

$$\Rightarrow rx \in U$$

Specifically, mult is cs at $\alpha = o, x_0 = o \Rightarrow$

$\exists \varepsilon > 0$ and nbhd V of $o \ni$

$$|r - o| = |r| < \varepsilon, \quad x \in V \Rightarrow rx \in U.$$

Now fix α w/ $|\alpha| < \varepsilon$. Then $\alpha V \subset U$.

$$\Rightarrow U(\alpha V) \subset U$$

$$\alpha, |\alpha| < \varepsilon$$

and $W = \bigcup_{\alpha, |\alpha| < \varepsilon} (\alpha V)$ is a balanced open set



Lemma: given a convex neighborhood U of $\vec{0}$,
it contains V a convex balanced nbd of $\vec{0}$.

defn: $E \subset L$ is absorbing if given $x \in L$
 $\exists t \in \mathbb{R}$ (or \mathbb{C}) so that
 $x \in tE \quad \forall \alpha \text{ with } |\alpha| \geq t,$

Lemma: Let $\vec{0} \in U \subset L$ be a neighborhood of $\vec{0}$.
then $\exists V$ a neighborhood of $\vec{0}$, $V \subset U$
so that V is absorbing

proof: i) first, assume U is balanced (if U isn't,
then \exists balanced $W \subset U$ and we'll put V inside W)
fix $x \in L$. The map $f: \mathbb{R} \rightarrow L$ given by
 $f(\alpha) = \alpha x$ is a continuous map. \Rightarrow it's
continuous at $\alpha=0 \Rightarrow \exists \delta > 0$ s.t.
 $|\alpha - 0| < \delta \Rightarrow \alpha x \in U$. Since \mathbb{R} isn't discrete,
 $\exists \alpha_0 \neq 0 \quad |\alpha_0| < \delta \Rightarrow \alpha_0 x \in U \Rightarrow x \in \frac{1}{\alpha_0} U.$

and if $|\beta| \leq |\alpha_0| \Rightarrow \left| \frac{\beta}{\alpha_0} \right| \leq 1 \Rightarrow$

$$\left(\frac{\beta}{\alpha_0} \right) U \subset U \Rightarrow \beta x \in U \Rightarrow x \in \frac{1}{\beta} U.$$

$\Rightarrow x \in \gamma U \quad \forall \gamma \text{ with } |\gamma| \geq \frac{1}{\alpha_0} \Rightarrow U$ is absorbing. //

cts linear functionals

given $f : (L, \tau) \rightarrow \mathbb{R}$

$$f(\alpha x + \beta y) = \alpha f(x) + \beta f(y)$$

we say f is continuous at x_0 if given $\epsilon > 0$

$\exists x_0 \in U \ni \text{if } x \in U \text{ then}$

$$|f(x) - f(x_0)| < \epsilon.$$

f is continuous on L if f is continuous at every $x \in L$.

thm: Let f be a linear functional on (L, τ) .

If f is continuous at $x_0 \in L$ then f is continuous at $x_1 \in L$. for all x_1 . i.e. if f is continuous at one point then it is continuous everywhere.

proof: Fix $\epsilon > 0$. Then $\exists x_0 \in U$ such that

$$|f(u) - f(x_0)| < \epsilon. \quad \text{i.e. } y \in U \Rightarrow |f(y) - f(x_0)| < \epsilon.$$

Now, given x_1 , define

$$V = U + (x_1 - x_0) = \{z + x_1 - x_0 \mid z \in U\}$$

we know $V \in \tau$ and $x_1 \in V$.

Let $y \in V$. then $y = z + x_1 - x_0$ some $z \in U$

$$\Rightarrow f(y) = f(z) + f(x_1) - f(x_0) \Rightarrow |f(y) - f(x_1)| = |f(z) - f(x_0)| < \epsilon. \quad \checkmark$$



thm: Let f be a linear functional on (L, τ) .

Then f is cts on $L \Leftrightarrow f$ is bounded on some neighbourhood of $\vec{0}$.

proof:

(\Rightarrow) Since f is linear, $f(\vec{0}) = 0$.

Since f is cts at $\vec{0}$, given $\varepsilon > 0 \exists U \in \tau$

so that $0 \in U$ and $|f(x) - f(0)| = |f(x)| < \varepsilon \forall x \in U$.

$\Rightarrow f$ is bounded on U .

(\Leftarrow) Assume f is bounded on some neighbourhood of $\vec{0}$. i.e. $\exists U \in \tau \quad \vec{0} \in U$ so that

$|f(x)| < C \quad \forall x \in U$. Given $\varepsilon > 0$, let

$$V = \frac{\varepsilon}{C} U = \left\{ \frac{\varepsilon}{C} x \mid x \in U \right\}.$$

Then $y \in V \Rightarrow y = \frac{\varepsilon}{C} x \text{ some } x \in U$

$$\Rightarrow \frac{\varepsilon}{C} y \in U \Rightarrow |f(\frac{\varepsilon}{C} y)| < C \Rightarrow |f(y)| < \frac{\varepsilon}{C} \cdot C = \varepsilon$$

for all $y \in V \Rightarrow f$ is cts at $\vec{0}$

thm: Let f be a linear functional on (L, τ) . If f is continuous on L then f is bounded on every bounded set. If (L, τ) is first countable and f is bounded on every bounded set, then f is cont.

Proof:

1) Assume f is cts. Show f is bounded on all bounded sets. Let $M \subset L$ be a bounded set. Since f is cts at $\vec{0}$, $\exists U \in \mathcal{U}$ such that $\vec{0} \in U$ and f is bounded on U . Since M is bounded, $\exists d > M \subset \alpha U$. \Rightarrow If $y \in M$ then $y = \alpha x$ some $x \in U \Rightarrow |f(y)| = |f(\alpha x)| = |\alpha f(x)| \leq |\alpha|d \Rightarrow f$ is bounded on M .

2) Assume (L, \mathcal{U}) is first countable. Then \exists a countable base for the topology at 0 , i.e. $\{U_n\}_{n=1}^{\infty}$ s.t. given $U \in \mathcal{U}$ $\vec{0} \in U$ then $U_n \subseteq U$ some U_n . Moreover, we can assume $U_1 \supset U_2 \supset U_3 \supset \dots$ (just take $\tilde{U}_n = \bigcap_{k=n}^{\infty} U_k$). If f is not continuous at $\vec{0}$ then \nexists open set V s.t. f is bounded on V . $\Rightarrow f$ is not bounded on any of the U_n . \Rightarrow given $U_n \exists x_n \in U_n$ w/ $|f(x_n)| > n$.

We know $\{x_n\}$ is bounded because

given V open nbd of $\vec{0}$, V contains V an absorbing nbd $\Rightarrow V$ contains $\{x_n\}$ for $|x_n| \geq t \Rightarrow \alpha V$ contains $\{x_n\}$ for $|\alpha x_n| \geq t$.

We have a bounded set which f is not bounded on $\Rightarrow f$ is not bounded on every bounded set. //