University of Toronto – MAT246H1-S – LEC0201/9201 Concepts in Abstract Mathematics

# Problem Set n°5

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*Write your solutions concisely but without skipping important steps. Make sure that your submission is readable on Crowdmark.* 

Exercise 1.

Prove that  $\forall x \in \mathbb{R} \setminus \mathbb{Q}, \forall a, b, c, d \in \mathbb{Q}, ad - bc \neq 0 \implies \frac{ax+b}{cx+d} \notin \mathbb{Q}.$ 

*Remark: note that*  $cx + d \neq 0$  *under the given assumptions. Either* c = 0 *but then*  $cx + d = d \neq 0$  *since ad*  $-bc \neq 0$ . *Or*  $c \neq 0$  *but then*  $cx \in \mathbb{R} \setminus \mathbb{Q}$  *and*  $-d \in \mathbb{Q}$  *thus*  $cx + d \neq 0$ .

Exercise 2. Let *E* be a finite set. Express

 $|\{(A, B) \in \mathcal{P}(E) \times \mathcal{P}(E) : A \cup B = E\}|$ 

in terms of |E|.

Hint: you may start studying the case where the cardinality of A is fixed.

## Exercise 3.

The following questions are independent.

- 1. Does it exist a set *E* such that  $|\mathcal{P}(E)| = \aleph_0$ ?
- 2. Prove that |[0, 1]| = |(0, 1)|.

# Exercise 4.

We set

$$S = \left\{ x \in \mathbb{R} : \exists n \in \mathbb{N}, \exists a_0, a_1, \dots, a_n \in \mathbb{Z}, a_n \neq 0 \text{ and } a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 = 0 \right\}$$

What is |S|?

Remark: you can use basic facts concerning polynomials and their roots.

## Sample solution to Exercise 1.

Let  $x \in \mathbb{R} \setminus \mathbb{Q}$ . Let  $a, b, c, d \in \mathbb{Q}$  be such that  $ad - bc \neq 0$ . Assume by contradiction that  $q \coloneqq \frac{ax+b}{cx+d} \in \mathbb{Q}$ , then

$$\frac{ax+b}{cx+d} = q \Leftrightarrow x(a-qc) = qd-b$$

- First case: if  $a \neq qc$  then  $x = \frac{qd-b}{a-qc} \in \mathbb{Q}$ , so there is a contradiction.
- Second case: if a = qc then qd b = x(a qc) = 0, i.e. b = qd. Therefore ad - bc = qcd - qdc = 0, so there is a contradiction.

# Sample solution to Exercise 2.

Set  $n \coloneqq |E|$ . Let i = 0, ..., n. Set  $\Omega_i \coloneqq \{(A, B) \in \mathcal{P}(E) \times \mathcal{P}(E) : A \cup B = E \text{ and } |A| = i\}$ . There are  $\binom{n}{i}$  subsets  $A \in \mathcal{P}(E)$  such that |A| = i (See Q10E09). For a given A as above, in order to have  $A \cup B = E$ , B must be of the form  $B = A^c \sqcup C$  where  $C \subset A$ . There are  $2^i = |\mathcal{P}(A)|$  choices for such a subset C, and hence for B (See Q10E10). Therefore  $|\Omega_i| = \binom{n}{i} 2^i$ .

Finally

$$|\{(A, B) \in \mathcal{P}(E) \times \mathcal{P}(E) : A \cup B = E\}| = \left| \bigsqcup_{i=0}^{n} \Omega_{i} \right| = \sum_{i=0}^{n} |\Omega_{i}|$$
$$= \sum_{i=0}^{n} \binom{n}{i} 2^{i} = \sum_{i=0}^{n} \binom{n}{i} 2^{i} 1^{n-i} = (2+1)^{n} = 3^{n} = 3^{|E|}$$

# Sample solution to Exercise 3.

1. Let *E* be a set, then:

- Either *E* is finite and then  $\mathcal{P}(E)$  is finite too by Q10E10, so that  $|\mathcal{P}(E)| < \aleph_0$ .
- Or *E* is infinite and then  $\aleph_0 \le |E| < |P(E)|$  by Cantor's theorem.

In both cases  $|\mathcal{P}(E)| \neq \aleph_0$ , so there is no set *E* such that  $|\mathcal{P}(E)| = \aleph_0$ .

## 2. Method 1.

Note that  $(0, 1) \subset [0, 1]$ , therefore  $|(0, 1)| \le |[0, 1]|$ . Define  $f : [0, 1] \to (0, 1)$  by  $f(x) = \frac{x+1}{3}$ .

Note that *f* is well-defined since if  $0 \le x \le 1$  then  $0 < \frac{1}{3} \le \frac{x+1}{3} \le \frac{2}{3} < 1$ .

Besides *f* is injective since if  $x, y \in [0, 1]$  satisfy f(x) = f(y), then  $\frac{x+1}{3} = \frac{y+1}{3}$  which implies x = y. Therefore  $|[0, 1]| \le |(0, 1)|$ .

By Cantor–Schröder–Bernstein theorem, we conclude that |[0,1]| = |(0,1)|.

#### Method 2.

We know that  $(0, 1) \subset [0, 1] \subset \mathbb{R}$  and that  $|(0, 1)| = |\mathbb{R}|$  (see Q11E08). Therefore |[0, 1]| = |(0, 1)| (see Q11E01).

### Sample solution to Exercise 4.

**Comment:** a complex number that is the root of a non-zero polynomial with integers (or rational, it is equivalent) coefficients is said to be an algebraic number. Complex numbers which are not roots of such polynomials are called transcendental numbers.

The field of algebraic real numbers is quite often denoted by

$$\mathbb{R}_{\text{alg}} \coloneqq \left\{ x \in \mathbb{R} : \exists n \in \mathbb{N}, \exists a_0, a_1, \dots, a_n \in \mathbb{Z}, a_n \neq 0 \text{ and } a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 = 0 \right\}$$

The goal of this exercise was to prove that  $|\mathbb{R}_{alg}| = \aleph_0$ , *i.e.* there are infinitely countably many algebraic real numbers, so that almost all real numbers are transcendental (but it is usually quite difficult to prove that a number is transcendental: we still don't know whether  $\pi + e$  or  $\pi e$  are transcendental or not). This was first proved by Cantor in is famous article Ueber eine Eigenschaft des Inbegriffes aller reellen algebraischen Zahlen published 1874.

**Claim.**  $\forall n \in \mathbb{N} \setminus \{0\}, |\mathbb{Z}^n| = \aleph_0.$  *Proof by induction on n*  $\geq 1$ . *Base case at n* = 1:  $|\mathbb{Z}^1| = |\mathbb{Z}| = \aleph_0$  (from the lecture notes). *Induction step.* Assume that  $|\mathbb{Z}^n| = \aleph_0$  for some  $n \geq 1$ . Since  $|\mathbb{Z}^n| = |\mathbb{N}|$  and  $|\mathbb{Z}| = |\mathbb{N}|$ , we have  $|\mathbb{Z}^{n+1}| = |\mathbb{Z}^n \times \mathbb{Z}| = |\mathbb{N} \times \mathbb{N}| = \aleph_0.$ 

### Method 1.

For  $n \in \mathbb{N}$  and  $a_0, a_1, \dots, a_n \in \mathbb{Z}$  with  $a_n \neq 0$ , the set

{
$$x \in \mathbb{R}$$
 :  $a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 = 0$ }

is finite since a polynomial of degree *n* has at most *n* roots. For  $n \in \mathbb{N}$ , we set

$$A_n = \bigcup_{(a_0, a_1, \dots, a_n) \in \mathbb{Z}^n \times (\mathbb{Z} \setminus \{0\})} \{ x \in \mathbb{R} : a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0 = 0 \}$$

Since  $|\mathbb{Z}^n| = |\mathbb{N}|$  and  $|\mathbb{Z} \setminus \{0\}| = |\mathbb{N}|$ , we have that  $|\mathbb{Z}^n \times (\mathbb{Z} \setminus \{0\})| = |\mathbb{N} \times \mathbb{N}| = \aleph_0$ . Therefore  $A_n$  is countable as a countable union of finite sets. Hence  $S = \bigcup_{n \in \mathbb{N}} A_n$  is countable as a countable union of countable sets. Note that  $\mathbb{Z} \subset S$ , since  $m \in \mathbb{Z}$  is a root of x - m = 0. Therefore S is countably infinite, i.e.  $|S| = \aleph_0$ .

### Method 2.

For  $n \in \mathbb{N}$ , we denote by  $P_n$  the set of polynomials of degree *n* with integer coefficients.

Note that  $|P_n| = |\mathbb{Z}^n \times \mathbb{Z} \setminus \{0\}|$  since a polynomial  $a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$  of degree *n* is characterized by its coefficients  $(a_0, a_1, \dots, a_n) \in \mathbb{Z}^n \times (\mathbb{Z} \setminus \{0\})$ .

Since  $|\mathbb{Z}^n| = |\mathbb{N}|$  and  $|\mathbb{Z} \setminus \{0\}| = |\mathbb{N}|$ , we have that  $|P_n| = |\mathbb{Z}^n \times (\mathbb{Z} \setminus \{0\})| = |\mathbb{N} \times \mathbb{N}| = \aleph_0$ .

Hence the set  $P = \bigcup_{n \in \mathbb{N}} P_n$  of non-zero polynomials with integer coefficients is countable as a countable union

of countable sets.

Given  $f \in P$ ,  $f^{-1}(\{0\}) = \{x \in \mathbb{R} : f(x) = 0\}$  is finite since a polynomial of degree *n* has at most *n* roots. Therefore  $S = \bigcup_{f \in P} f^{-1}(0)$  is countable as a countable union of finite sets.

Note that  $\mathbb{Z} \subset S$ , since  $m \in \mathbb{Z}$  is a root of x - m = 0. Therefore *S* is countably infinite, i.e.  $|S| = \aleph_0$ .