

**THE MOD  $p$  REPRESENTATION THEORY OF  $p$ -ADIC  
GROUPS (MAT 1104, WINTER 2012)**

FLORIAN HERZIG

In these exercises,  $G = \mathrm{GL}_n(\mathbb{Q}_p)$ ,  $K = \mathrm{GL}_n(\mathbb{Z}_p)$ , and  $E$  is an algebraically closed field of characteristic  $p$ .

**Exercise 1** (Maximal compact subgroups of  $G$ ). A *lattice* in  $\mathbb{Q}_p^n$  is a finitely-generated  $\mathbb{Z}_p$ -submodule of  $\mathbb{Q}_p^n$  that generates  $\mathbb{Q}_p^n$  as vector space. In particular, it's free of rank  $n$ . Note that  $G$  acts transitively on the set of lattices in  $\mathbb{Q}_p^n$ .

- (i) Show that  $K = \mathrm{Stab}_G(\mathbb{Z}_p^n)$ .
- (ii) Suppose that  $K'$  is a compact subgroup of  $G$ . Show that  $K'$  stabilises a lattice. (Hint: show that the  $K'$ -orbit of  $\mathbb{Z}_p^n$  is finite and note that a finite sum of lattices is a lattice.)
- (iii) Deduce that every compact subgroup is contained in a maximal compact subgroup and that any maximal compact subgroup is conjugate to  $K$ .

**Exercise 2.** (In this exercise  $E = \overline{E}$  can be of any characteristic.) Suppose that  $\pi$  is any irreducible smooth representations of  $\mathbb{Q}_p^\times$ .

- (i) Show that there is an  $r \geq 1$  such that  $K(r) = 1 + p^r \mathbb{Z}_p$  acts trivially.
- (ii) Show that  $\mathbb{Z}_p^\times$  acts on  $\pi$  via a smooth character  $\mathbb{Z}_p^\times \rightarrow E^\times$ .
- (iii) By twisting we can assume that  $K = \mathbb{Z}_p^\times$  acts trivially, so  $\pi$  is an irreducible representation of  $G/K \cong \mathbb{Z}$ . Show that  $\pi$  is one-dimensional.

**Exercise 3** (Modular representations of finite groups). Suppose  $\Gamma$  is a finite group. Say that  $\gamma \in \Gamma$  is  *$p$ -regular* (resp.  *$p$ -singular*) if the order of  $\gamma$  is prime to  $p$  (resp. a power of  $p$ ). The aim of this exercise is to show that the number of irreducible  $\Gamma$ -representations over  $E$  is at most the number of  $p$ -regular conjugacy classes. (In fact, equality holds.) This will show that in class we constructed *all* irreducible representations of  $\mathrm{GL}_2(\mathbb{F}_p)$ .

- (i) Show that every element  $\gamma \in \Gamma$  can be uniquely written as  $\gamma_r \gamma_s = \gamma_s \gamma_r$ , where  $\gamma_r$  is  $p$ -regular and  $\gamma_s$  is  $p$ -singular.
- (ii) Suppose that  $g \in \mathrm{GL}_d(E)$  is of finite order. Show that  $g$  is  *$p$ -regular* (resp.  *$p$ -singular*) iff  $g$  is diagonalisable (resp. unipotent).
- (iii) Suppose that  $\rho$  is an irreducible  $\Gamma$ -representation. Show that  $\mathrm{tr} \rho : \Gamma \rightarrow E$  is a class function that is determined by its restriction to the set of  $p$ -regular elements. (Hint: show that  $\mathrm{tr} \rho(\gamma) = \mathrm{tr} \rho(\gamma_r)$ .)

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- (iv) Suppose that  $\rho_1, \dots, \rho_r$  are non-isomorphic irreducible  $\Gamma$ -representations. Show that  $\text{tr } \rho_i : \Gamma \rightarrow E$  are linearly independent. (Hint: use the result of Burnside that the group ring  $E[\Gamma]$  surjects onto  $\prod \text{End}_E(\rho_i)$ . Burnside's result holds whenever  $E$  is algebraically closed and  $\rho_i$  are non-isomorphic and irreducible. It's a consequence of the Artin-Wedderburn classification of semisimple rings.)
- (v) Deduce the result.

**Exercise 4** (Modular representations of  $\text{GL}_2(\mathbb{F}_q)$ ). Say  $q = p^f$ . Throughout, fix an embedding  $\mathbb{F}_q \rightarrow E$ , so  $\Gamma := \text{GL}_2(\mathbb{F}_q)$  acts on  $E^2$ . Let  $\phi : \Gamma \rightarrow \Gamma$  denote the homomorphism that sends a matrix  $(a_{ij})$  to  $(a_{ij}^p)$ . If  $V$  is a  $\Gamma$ -representation, let  $V^{(i)}$  denote the representation  $\Gamma \xrightarrow{\phi^i} \Gamma \rightarrow \text{GL}(V)$ . (So  $V^{(f)} \cong V$ .) The aim of this exercise is to show that the irreducible  $\Gamma$ -representations are given by:

$$(0.1) \quad \bigotimes_{i=0}^{f-1} (\text{Sym}^{a_i} E^2)^{(i)} \otimes \det^b,$$

where  $0 \leq a_i \leq p-1$  and  $0 \leq b < q-1$ . Write  $a := \sum a_i p^i$ .

- (i) To show irreducibility, we may suppose  $b = 0$ . Show that the representation above is isomorphic to the subrepresentation of  $\text{Sym}^a E^2$  (thought of as homogeneous polynomials in  $X, Y$  of degree  $a$ ) that has basis  $X^m Y^{a-m}$ , where  $m = \sum m_i p^i$  and  $0 \leq m_i \leq a_i$  for all  $i$ .
- (ii) As in class show that the  $\begin{pmatrix} 1 & \mathbb{F}_q \\ & 1 \end{pmatrix}$ -invariant vectors are spanned by  $X^a$ .
- (iii) Show that  $X^a$  generates the representation. (As in class, use a Vandermonde determinant.)
- (iv) Deduce that the representations in (0.1) are irreducible and non-isomorphic.
- (v) Using the previous exercise show that we have found all irreducible  $\Gamma$ -representations.

**Exercise 5.** Recall that  $F(a, b) = \text{Sym}^{a-b}(E^2) \otimes \det^b$  is an irreducible representation of  $\text{GL}_2(\mathbb{F}_p)$  when  $a - b \leq p - 1$ .

- (i) Show that  $F(a, b)^* \cong F(-b, -a)$ . (Hint: for  $k < p$  the usual natural pairing shows that  $(\text{Sym}^k \sigma)^* \cong \text{Sym}^k(\sigma^*)$ , so can reduce to  $a = 1, b = 0$ . Show that for any 2-dimensional representation  $\sigma$  of any group that  $\sigma^* \cong \sigma \otimes \det^{-1}$ .)
- (ii) Suppose  $\Gamma$  is a finite group and  $V$  a  $\Gamma$ -representation. Show that  $(V^*)^\Gamma \cong (V_\Gamma)^*$ . Use this to compute  $F(a, b)_{\overline{U}(\mathbb{F}_p)}$  in a different way than we did in class.

**Exercise 6** (Compact and parabolic inductions). Suppose that  $n = 2$ . Recall that for any weight  $V$  in a principal series  $\text{Ind}_B^G \chi$  we constructed a natural injective map

$$(0.2) \quad (\text{c-Ind}_K^G V)[T_1^{-1}] \rightarrow \text{Ind}_B^G (\text{c-Ind}_{T(\mathbb{Z}_p)}^T V_{\overline{U}(\mathbb{F}_p)})$$

that is  $\mathcal{H}_G(V)[G]$ -linear. We showed that it is surjective when  $\dim V > 1$ . Show that it fails to be surjective when  $\dim V = 1$ . (Pick a smooth character  $\chi : \mathbb{Q}_p^\times \rightarrow E^\times$  such that  $\chi \circ \det|_{T(\mathbb{Z}_p)} = V_{\overline{U}(\mathbb{F}_p)}$  and compose (0.2) with the natural surjection to  $\text{Ind}_{\overline{B}}^G(\chi \circ \det)$ . Show that the image of  $(\text{c-Ind}_K^G V)[T_1^{-1}]$  lands in the one-dimensional subrepresentation of  $\text{Ind}_{\overline{B}}^G(\chi \circ \det)$ .)

**Exercise 7** (Steinberg representation). Suppose that  $n = 2$ . Recall that  $\text{St} = C_c^\infty(\mathbb{P}^1(\mathbb{Q}_p), E)/1$ , where we identified  $\overline{B} \backslash G$  with  $\mathbb{P}^1(\mathbb{Q}_p)$  via the first row. The goal of this exercise is to show that  $\dim \text{St}^{I(1)} = 1$ . This completes the proof of irreducibility of  $\text{St}$  given in class, and also shows that  $\text{St}$  is admissible.

- (i) Show that  $\dim C_c^\infty(\mathbb{P}^1(\mathbb{Q}_p), E)^{I(1)} = 2$ . (For example, show that  $\overline{B} \backslash G/I(1)$  has two elements by the Cartan and the Bruhat decompositions.)
- (ii) It remains to show that the map  $C_c^\infty(\mathbb{P}^1(\mathbb{Q}_p), E)^{I(1)} \rightarrow \text{St}^{I(1)}$  is surjective. Suppose that  $f \in C_c^\infty(\mathbb{P}^1(\mathbb{Q}_p), E)$  maps to an element of  $\text{St}^{I(1)}$ . Show that the stabiliser of  $f$  in  $I(1)$  contains any element having a fixed point on  $\mathbb{P}^1(\mathbb{Q}_p)$ .
- (iii) Complete the proof by showing that  $I(1) = \begin{pmatrix} 1 & \\ p\mathbb{Z}_p & 1 \end{pmatrix} \begin{pmatrix} \mathbb{Z}_p^\times & \mathbb{Z}_p \\ & \mathbb{Z}_p^\times \end{pmatrix}$ , noting that the matrices in this product fix  $(1 : 0)$ , resp.  $(0 : 1)$ , in  $\mathbb{P}^1(\mathbb{Q}_p)$ .

**Exercise 8** (Steinberg representation II). Again,  $n = 2$ . The goal of this exercises is to give an alternative proof of irreducibility of  $\text{St}$ , by showing that  $\text{St}$  is irreducible even as  $B$ -representation.

- (i) Show that the “extension by zero” map  $C_c^\infty(\mathbb{Q}_p, E) \rightarrow \text{St}$  is an isomorphism of  $B$ -representations. Recall that  $T$  acts on the left by scaling and  $U$  by translations.
- (ii) Suppose that  $\pi$  is any nonzero  $B$ -subrepresentation of  $C_c^\infty(\mathbb{Q}_p, E)$ . Show that  $\pi \cap C_c^\infty(\mathbb{Z}_p, E) \neq 0$ .
- (iii) Use the  $p$ -groups lemma to show that  $\pi$  contains the characteristic function  $1_{\mathbb{Z}_p}$ .
- (iv) Use scaling and translation to show that  $\pi = C_c^\infty(\mathbb{Q}_p, E)$ .

**Exercise 9** (Schur’s lemma). Suppose that  $E$  is *uncountable* (of arbitrary characteristic). Let  $\pi$  be an irreducible smooth  $G$ -representation and suppose that  $f : \pi \rightarrow \pi$  is a non-zero  $G$ -linear map having no eigenvector.

- (i) Show that  $\dim_E \pi$  is countable. (Hint: one way to do this uses the Iwasawa decomposition, another way uses lattices as in Exercise 1.)
- (ii) Show that if  $P \in E[T]$  is a non-zero polynomial, then  $P(f) : \pi \rightarrow \pi$  is an isomorphism.
- (iii) Fix  $v \in \pi$  non-zero. Note that the elements  $\{(f - \lambda)^{-1}v : \lambda \in E\}$  are linearly dependent, and deduce a contradiction.
- (iv) Prove that  $\text{End}_G(\pi) = E$ . In particular,  $\pi$  has a central character.

**Exercise 10** (Finite-dimensional irreducible representations). Suppose that  $\pi$  is a finite-dimensional irreducible smooth  $G$ -representation.

- (i) Show that there is an open normal subgroup of  $G$  that acts trivially.
- (ii) Show that  $U$  and  $\bar{U}$  both act trivially. (Use the torus action.)
- (iii) Deduce that there is a smooth character  $\chi : \mathbb{Q}_p^\times \rightarrow E^\times$  such that  $\pi \cong \chi \circ \det$ . (Hint: it's known that  $U$  and  $\bar{U}$  generate  $\mathrm{SL}_n(\mathbb{Q}_p)$ . This is in fact true over any field.)

**Exercise 11.** Recall that in the proof of the Satake isomorphism we crucially used a certain compatibility relation between Cartan and Iwasawa decompositions. Let  $\bar{U}$  denote the unipotent radical of the lower-triangular Borel subgroup. Let  $\Lambda_- = \{\lambda \in \Lambda = \mathbb{Z}^n : \lambda_1 \leq \dots \leq \lambda_n\}$ . For any  $\mu \in \Lambda$  let  $t_\mu \in T$  be defined as the diagonal matrix  $\mathrm{diag}(p^{\mu_1}, \dots, p^{\mu_n})$ . For all  $\lambda \in \Lambda_-$  and  $\mu \in \Lambda$  we want to show that  $\bar{U}t_\mu \cap Kt_\lambda K \neq \emptyset$  implies that  $\mu \geq \lambda$ , i.e., that  $\sum_{i=1}^r \mu_i \geq \sum_{i=1}^r \lambda_i$  for all  $r$ , with equality when  $r = n$ .

- (i) Show that  $\sum_{i=1}^n \mu_i = \sum_{i=1}^n \lambda_i$ . [This would also follow from the general argument below.]
- (ii) Show that  $\mu_1 \geq \lambda_1$ .
- (iii) Now reduce the general case to the previous case: let  $V = E^n$  be the vector space on which  $G$  acts. We have a homomorphism  $G = \mathrm{GL}_E(V) \rightarrow \mathrm{GL}_E(\bigwedge^r V)$ , letting  $G$  act in the natural way on  $\bigwedge^r V$ . The standard basis  $(e_i)_{i=1}^n$  of  $V$  gives rise to the basis  $e_{i_1} \wedge \dots \wedge e_{i_r}$  with  $1 \leq i_1 < \dots < i_r \leq n$ . Apply this homomorphism to  $\bar{U}t_\mu \cap Kt_\lambda K \neq \emptyset$  and apply part (ii) to deduce  $\sum_{i=1}^r \mu_i \geq \sum_{i=1}^r \lambda_i$ .
- (iv) Use the same argument to show that  $\bar{U}t_\lambda \cap Kt_\lambda K = (\bar{U} \cap K)t_\lambda$ . (It helps to order the basis of  $\bigwedge^r V$  by the lexicographic order.)

[This is similar to Satake's argument in his 1963 paper. He notes, however, that for the purpose of establishing his isomorphism it suffices to show that  $\mu \geq_\ell \lambda$  in the *lexicographic* order  $\geq_\ell$  (the point is that if  $\lambda \in \Lambda_-$  is fixed, then there are only finitely many  $\mu \in \Lambda_-$  with  $\sum \mu_i = \sum \lambda_i$  and  $\mu \geq_\ell \lambda$ ), which is a little easier.]

**Exercise 12** (Explicit Satake transform for  $\mathrm{GL}_2$ ). Suppose that  $n = 2$ . Suppose that  $V$  is a weight of  $K$ . Recall that, with the notation of the previous exercise, for  $\lambda \in \Lambda_-$  we denote by  $T_\lambda \in \mathcal{H}_G(V)$  the unique element of support  $Kt_\lambda K$  such that  $T_\lambda(t_\lambda) \in \mathrm{End}_E(V)$  is a linear projection. Recall also that for  $\lambda \in \Lambda$  we denote by  $\tau_\lambda \in \mathcal{H}_T(V_{\bar{U}(\mathbb{F}_p)})$  the unique element of support  $(T \cap K)t_\lambda$  such that  $\tau_\lambda(t_\lambda) = 1$ .

For  $\lambda \in \Lambda_-$  show that  $\mathcal{S}_G(T_\lambda) = \tau_\lambda$  if  $\dim_E V > 1$  or if  $\lambda_1 - \lambda_2 \geq -1$ , and  $\mathcal{S}_G(T_\lambda) = \tau_\lambda - \tau_{\lambda+(1,-1)}$  otherwise. Use this to express  $T_{0,1}T_\lambda$  in terms of the  $T_\mu$ , and compare with the formulae of Barthel–Livné in [BL94], Proposition 8. [It's also possible to reverse the argument and first compute  $T_{0,1}T_\lambda$ , which inductively gives a formula for  $\mathcal{S}_G(T_\lambda)$ . There's also a much more general formula for (the inverse of)  $\mathcal{S}_G$ , see [Her11], Proposition 5.1.]

**Exercise 13** (Explicit Satake transform for  $GL_2$ , part II). For  $b \in \mathbb{Z}$  consider the weights  $V = F(b, b) = \det^b$  and  $V' = F(b + p - 1, b)$ . Consider Hecke operators  $\varphi_+ \in \mathcal{H}_G(V, V')$  and  $\varphi_- \in \mathcal{H}_G(V', V)$  whose support is  $K \begin{pmatrix} 1 & \\ & p \end{pmatrix} K$ . (We know that these exist and are unique up to nonzero scalar.) Fix an isomorphism  $V_{\overline{U}(\mathbb{F}_p)} \xrightarrow{\sim} (V')_{\overline{U}(\mathbb{F}_p)}$ , so that we can identify  $\mathcal{H}_T(V_{\overline{U}(\mathbb{F}_p)}, (V')_{\overline{U}(\mathbb{F}_p)})$ ,  $\mathcal{H}_T((V')_{\overline{U}(\mathbb{F}_p)}, V_{\overline{U}(\mathbb{F}_p)})$ ,  $\mathcal{H}_T(V_{\overline{U}(\mathbb{F}_p)})$ .

- (i) Show that  $\mathcal{S}_G(\varphi_+) = \tau_{0,1}$  and  $\mathcal{S}_G(\varphi_-) = \tau_{0,1} - \tau_{1,0}$  in  $\mathcal{H}_T(V_{\overline{U}(\mathbb{F}_p)})$  (up to nonzero scalar).
- (ii) Deduce that  $\varphi_+ * \varphi_- = \varphi_- * \varphi_+ = T_1^2 - T_2$  (the latter up to nonzero scalar) in  $\mathcal{H}_G(V) \cong \mathcal{H}_G(V')$ , as we stated earlier.

**Exercise 14.** In class we proved the Satake isomorphism for  $G = GL_n(\mathbb{Q}_p)$ . The purpose of this exercise is to show that it also works for standard Levi subgroups of  $G$ . Suppose that  $M \cong GL_{n_1}(\mathbb{Q}_p) \times \cdots \times GL_{n_r}(\mathbb{Q}_p)$  (in this order). First, define the Satake transform by the Yoneda lemma just as in the  $GL_n$ -case. It is an algebra homomorphism  $\mathcal{S}_M : \mathcal{H}_M(V) \rightarrow \mathcal{H}_T(V_{(\overline{U} \cap M)(\mathbb{F}_p)})$  for  $V$  a weight of  $M \cap K$  (which is nothing but a tensor products of weights of  $GL_{n_i}(\mathbb{Z}_p)$ ). Show that its image consists of those functions that are supported on  $T^{-,M} = \{\text{diag}(t_1, \dots, t_n) : \text{ord}(t_1) \leq \cdots \leq \text{ord}(t_{n_1}), \text{ord}(t_{n_1+1}) \leq \cdots \leq \text{ord}(t_{n_1+n_2}), \dots\}$ .

[This is a somewhat lengthy exercise, but each step of the argument generalises from the  $GL_n$ -case.]

**Exercise 15** (Transitivity of parabolic induction). Suppose that  $P = M \rtimes N$  and  $Q = L \rtimes N'$  are standard parabolic subgroups of  $G$  such that  $P \subset Q$ . (In particular,  $M \subset L$  and  $N \supset N'$ .) Prove that for smooth  $M$ -representations  $\sigma$ , we have a natural isomorphism

$$\theta : \text{Ind}_P^G \sigma \cong \text{Ind}_Q^G (\text{Ind}_{P \cap L}^L \sigma),$$

where, as usual, we consider  $\sigma$  as  $\overline{P}$ -representation via the natural projection  $\overline{P} \twoheadrightarrow M$  and similarly we consider the induced representation inside parentheses as  $Q$ -representation.

(Hint: first note that  $\overline{P} \cap L = M \rtimes (\overline{N} \cap L)$ . The isomorphism can be described by  $\theta(f)(g)(l) = f(lg)$  and  $\theta^{-1}(F)(g) = F(g)(1)$ .)

**Exercise 16** (Generalised Steinberg representations). In class I explained without too many details that the generalised Steinberg representations

$$\text{Sp}_P = \frac{\text{Ind}_P^G 1}{\sum_{Q \supseteq P} \text{Ind}_Q^G 1},$$

for standard parabolic subgroups  $P$  are irreducible and are pairwise non-isomorphic [GK]. Let  $n_P$  denote the number of GL-blocks of the Levi of  $P$ . Let  $\pi_i := \sum \text{Ind}_P^G 1$ , where the sum is over all standard parabolics with  $n_P = i$ . Then  $\pi_i$  is an increasing filtration of  $\text{Ind}_B^G(1)$ .

Show by induction on  $i$  that the irreducible constituents of  $\pi_i$  are the  $\mathrm{Sp}_P$  with  $n_P \leq i$ , each occurring with multiplicity one. Deduce in particular that the irreducible constituents of  $\mathrm{Ind}_B^G(1)$  are all the  $\mathrm{Sp}_P$ , each occurring with multiplicity one. [I thank E. Große-Klönne for this suggestion.]

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DEPARTMENT OF MATHEMATICS, UNIVERSITY OF TORONTO, TORONTO, ON M5S  
2E4, CANADA

*E-mail address:* herzig@math.toronto.edu